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The Graduate School

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# SOIL CARBON DIOXIDE FLOW ASSOCIATED WITH THE SAN ANDREAS AND CALAVERAS FAULTS, CALIFORNIA

A Thesis in

Geosciences

by

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#### ABSTRACT

Seismic activity may create highly fractured fault zones, providing permeable pathways for the transport of gases from depth to the atmosphere. Also, the flow of deeply derived fluids within fault zones may generate high pore fluid pressures and contribute to fault zone weakness. The spatial and temporal variability, origin, and transport of  $CO_2$  in fractured terrain are evaluated from the perspective of field observations along the San Andreas fault (SAF) system, CA, and numerical modeling. In a preliminary soil  $\mathrm{CO}_2$  study conducted (July-August, 1998) along the Parkfield segment of the SAF, single or double-peak  $\mathrm{CO}_2$  flux anomalies were observed along fault-crossing transects at five sites. Values of  $\delta^{13}{\rm C}$  (-23.7 to -21.6  $^o/_{oo})$  and  $\Delta^{14}{\rm C}$  (98.4 to 112.4  $^o/_{oo})$  for soil CO  $_2$  were characteristic of CO<sub>2</sub> of biogenic origin. These observations suggest that anomalously high  $\mathrm{CO}_2$  fluxes are due to enhanced biogenic  $\mathrm{CO}_2$  flow along fault-related fractures. Soil CO<sub>2</sub> surveys were conducted (February-May, 2000) along the SAF in Parkfield and the Calaveras fault (CF) in Hollister, CA. CO<sub>2</sub> flux was measured within grids with portable instrumentation, and continuously with meteorological parameters at a fixed station, in both faulted and unfaulted areas. Areal trends observed within grids suggest that zones of elevated  $CO_2$  flux may be related to subsurface fracturing on small spatial scales. Greater spatial and temporal variability of surface  $\mathrm{CO}_2$  fluxes was observed at SAF and CF sites, relative to corresponding background areas.  $\delta^{13}$ C (-23.3 to -16.4 $^{o}/_{oo}$ ) and  $\Delta^{14}{\rm C}~(75.5~{\rm to}~94.4^o/_{oo})$  values of soil CO  $_2$  are indicative of biogenic CO  $_2.$  SAF CO  $_2$  flux and meteorological parameter time series indicate that effects of temperature variations on soil  $CO_2$  respiration and wind speed variations on atmospheric airflow though fractures modulate surface  $CO_2$  flux. Profiles of soil  $CO_2$  concentration ([ $CO_2$ ]) as a function of depth were measured at multiple sites within SAF and CF grids and indicate that advective CO<sub>2</sub> flow accounts for up to 85% of the surface flux. Decrease in [CO<sub>2</sub>] with depth observed in some profiles suggests atmospheric air flow through soil fractures. The relatively high spatial and temporal variability of surface CO<sub>2</sub> fluxes observed at SAF and CF sites is therefore interpreted to be due to wind-driven atmospheric air flow through more highly fractured soils at these sites, relative to corresponding background areas. The response of soil gas transport processes and resulting soil gas concentration profiles to changing soil physical properties, biological respiration rates, and boundary conditions was tested using one-dimensional finite difference models of diffusive CO<sub>2</sub> flow and advective-diffusive  $CO_2$  and air flow. When transport is purely diffusive, the shape of [CO<sub>2</sub>] profiles is sensitive to soil CO<sub>2</sub> production rates, CO<sub>2</sub> flux at the base of the soil column, and soil diffusivity. When advective and diffusive transport of CO<sub>2</sub> and air are considered, transport processes operating through the soil column and the geometry of gas concentration profiles are most sensitive to the basal gas flux, followed by soil diffusivity, permeability and CO<sub>2</sub> production rate. The time required for conditions in  $[CO_2]$  and [air] profiles to approximate steady state decreases as the relative advective contribution to flow increases. Results suggest that small magnitude basal gas fluxes can produce total pressure gradients sufficient to drive advective gas flow through soil columns. Therefore, interpretations of soil gas data collected in faulted/fractured

terrain should consider the effects of wind-driven air flow through soils on gas transport and resulting soil gas concentration profiles and surface fluxes.

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## Preface

The majority of Chapter One of this thesis was published as a multiple-authored work: Lewicki, J.L. and S.L. Brantley, CO<sub>2</sub> degassing along the San Andreas fault, Parkfield, California, *Geophys. Res. Lett.*, 27, 5-8, 2000. Chapter Two was submitted for review as a multiple-authored work: Lewicki, J.L., W.C. Evans, G.E. Hilley, M.L. Sorey, J.D. Rogie, and S.L. Brantley, Soil CO<sub>2</sub> flow in fractured terrain I: observations along the San Andreas and Calaveras faults, CA, *J. Geophys. Res.*, in review. Jennifer Lewicki was the first author of both of these manuscripts and was responsible for the majority of the related data collection and analysis and manuscript preparation.

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#### Chapter 1

## CO<sub>2</sub> Degassing along the San Andreas Fault, Parkfield, California

#### 1.1 Abstract

The fluxes, concentrations, and carbon isotopic compositions of soil  $CO_2$  were measured along the Parkfield segment of the San Andreas fault (SAF). Single or double-peak  $CO_2$  flux anomalies (> 18 g m<sup>-2</sup>d<sup>-1</sup>) were observed along 12 of 16 fault-crossing transects at five sites. Flux anomalies did not coincide with concentration anomalies. Flux anomalies paralleled the fault trace, suggesting that the enhanced flux was related to zones of high diffusivity/permeability. One flux anomaly may have been accentuated by a 0.3 mm creep event. However, values of  $\delta^{13}$ C (-23.7 to -21.6  $^{o}/_{oo}$ ) and  $^{14}$ C (110.5 to 111.9 pmC) for soil gas  $CO_2$  are characteristic of  $CO_2$  of biogenic origin. The  $CO_2$  flux anomalies are therefore consistent with fault-related biogenic gas flow and do not yield evidence for degassing of deeply derived  $CO_2$ .

#### 1.2 Introduction

One mechanism that may explain the weakness of the SAF is the generation of high pore fluid pressures within the fault zone [e.g., Zoback et al., 1987]. Since areas of CO<sub>2</sub> discharge globally coincide with regions of seismic activity, it has been suggested that CO<sub>2</sub> may increase pore fluid pressures within fault zones [e.g., Irwin and

Barnes, 1975; Barnes et al., 1978]. Current models for weakening of faults by pore fluid pressurization rely on fluid flux from deep crustal and mantle [Rice, 1992] or entirely crustal sources [Byerlee, 1990]. Calculations of pore fluid pressurization by degassing of deeply derived CO<sub>2</sub> assume a source of free CO<sub>2</sub> in the mantle or crust [Bredehoeft and Ingebritsen, 1990].

Recently, Kennedy et al. [1997] presented helium concentrations and isotopic data for fluids from SAF system springs and wells and used Varian-Phillips well (Figure 1) data to estimate a  $^3$ He flux averaged over the SAF system of approximately  $2x10^{-15}$  mol cm $^{-2}$ yr $^{-1}$ , and, assuming a mantle molar  $CO_2/^3$ He =  $10^{10}$ , a mantle-derived  $CO_2$  flux of approximately 0.02 g m $^{-2}$ d $^{-1}$ . Kennedy et al. [1997] hypothesized that the  $CO_2$  flux may generate fault-weakening pore fluid pressures. Kharaka et al. [1999] presented surficial and dissolved  $CO_2$  fluxes of approximately 0.086 to 86 g m $^{-2}$ d $^{-1}$  for two drainage areas within the SAF system. Using these flux values, they modeled pore fluid pressurization and suggested that their highest measured  $CO_2$  fluxes may regenerate lithostatic pressures following fault rupture on time scales consistent with SAF earthquake cycles. Anomalously high  $CO_2$  concentrations ([ $CO_2$ ]) have been measured in soils along the Calaveras fault, California [King et al., 1996]; however, no measurements of  $CO_2$  flux on the SAF have been published.

To determine the magnitude and source of  $CO_2$  fluxes along the SAF, we measured  $CO_2$  flux and collected soil gases along the Parkfield segment of the SAF (Figure 1). The Parkfield segment was chosen because: 1) This segment is creeping at the surface (1.8 cm yr<sup>-1</sup>) and has been the site of five moderately sized earthquakes since 1857 [Bakun and Lindh, 1985]. 2) Seismicity at Parkfield may be related to a highly

fractured fault zone with anomalously high fluid content [e.g., Johnson and McEvilley, 1995; Unsworth et al., 1997]. 3) Kennedy et al. [1997] reported a <sup>3</sup>He/<sup>4</sup>He ratio of 2 Ra in the Varian-Phillips well (Figure 1), indicating contribution of mantle-derived helium. 4) This segment of the fault is well monitored as part of the Parkfield prediction experiment [e.g., Bakun and Lindh, 1985].

#### 1.3 Field and Analytical Methods

 $CO_2$  flux  $(F, g m^{-2}d^{-1})$  was measured using a cylindrical open-bottomed accumulation chamber placed on the soil surface (see *Norman et al.* [1992]). The contained air was circulated through the chamber and a LI-COR 6262 infrared gas analyzer (IRGA). [ $CO_2$ ] was recorded every 1 s. Pressure (P, kPa) and temperature (T, K) were measured by a pressure transducer and a thermocouple, respectively. The air was desiccated with  $Mg(ClO_4)_2$  before entering the IRGA.

To measure flux when the gradient in  $[CO_2]$  at the soil-air interface was relatively undisturbed, the scrubbing protocol of *Norman et al.* [1992] was followed. The rate of change of  $[CO_2]$  in the chamber  $(d[CO_2]/dt$ , ppmv s<sup>-1</sup>) was then measured at the point when  $[CO_2]$  equaled ambient  $[CO_2]$ . The flux of  $CO_2$  was calculated according to

$$F = k \left(\frac{V}{A}\right) \left(\frac{T_o}{T}\right) \left(\frac{P}{P_o}\right) \left(\frac{d[\text{CO}_2]}{dt}\right)$$
 (1.1)

where k is a constant (155.87 g s m<sup>-3</sup>d<sup>-1</sup>),  $P_o$  and  $T_o$  are standard P and T, respectively, V is the volume of the system (m<sup>3</sup>), and A is the area of the chamber footprint (m<sup>2</sup>) [e.g., *Koepenick et al.*, 1996]. Based on laboratory measurements of imposed CO<sub>2</sub> fluxes,

systematic bias associated with the accumulation chamber method is estimated to be -12.5 % [Evans et al., 2001] and measurement reproducibility is  $\pm$  10 % [Chiodini et al., 1998].

[CO<sub>2</sub>] was measured in the field in soil gases collected at 30 cm (MM c and d and WR transects) or 50 cm (JR transect) depth with a Bacharach 2810 IRGA (measurement accuracy =  $\pm$  5 vol.% or  $\pm$  50 ppm). Soil gas samples were collected for carbon isotopic analyses at 50 cm depth in pre-evacuated 2 L Pyrex flasks. Stable carbon isotope ratios for CO<sub>2</sub> were measured by conventional gas-ratio mass spectrometry (analytical precision =  $\pm$  0.15  $^{o}/_{oo}$ ), relative to the PDB reference standard. The  $^{14}$ C of CO<sub>2</sub> samples was measured at the Lawrence Livermore National Laboratory (L.L.N.L.) Center for Accelerator Mass Spectrometry (measurement errors reported in Table 1).

Fluxes (and in some cases concentrations) of  $CO_2$  were measured at 2 to 10 m intervals along 16 transect lines (100 to 130 m long) that crossed approximately normal to the fault trace during July and August, 1998. Sites (Figure 1) were selected based on accessibility, geomorphic expression of the fault trace, and/or the presence of geophysical instrumentation. The fault trace was located to within  $\pm$  5 m. At most sites, measurements were made along multiple parallel transects located 5 to 20 m apart.

During the survey, no rain fell and daytime temperatures ranged from 27 to  $46^{o}$ C. Annual grasses (e.g., farmer's foxtail and wild oat) dominate vegetation types at survey sites.

#### 1.4 Results

In this study, 387 measurements of CO<sub>2</sub> flux (1 to 63 g m<sup>-2</sup>d<sup>-1</sup>) were made along transects at the MM (five transects), OR (three transects), PO (two transects), WR (three transects), and JR (three transects) sites (Figure 1), and 105 measurements of [CO<sub>2</sub>] (0.1 to 2.6 vol.%) were made along four of these transects at the MM, WR, and JR sites. Flux measurements were repeated along MM transects c (c1 and c2) and d (d1, d2, and d3). Flux measurements along all transects belong to a single lognormal population for which the mean ( $\mu$ ) and standard deviation ( $\sigma$ ) were calculated for the log-transformed data [David, 1977]. Measured soil gas concentrations >  $\mu$  +  $n\sigma$  for a survey population are commonly considered anomalously high; however, the choice of the value of n varies [Klusman, 1993]. Here, anomalous CO<sub>2</sub> flux values are defined as >  $\mu$  + 2 $\sigma$  of the entire population (>18 g m<sup>-2</sup>d<sup>-1</sup>) (Figure 2). Anomalously high CO<sub>2</sub> fluxes were measured along 12 of the 16 transects, always within 45 m of the fault trace.

Along all transects at the MM site, anomalously high CO<sub>2</sub> fluxes were measured 25 to 40 m SW of the fault trace (Figure 3). A fault-straddling double-peak anomaly was observed along MM line a. The CO<sub>2</sub> flux anomaly observed along MM line c (40 m SW of the fault trace), as well as a peak in [CO<sub>2</sub>] 30 m SW of the fault trace, increased from July 31 (transect c1) to August 3 (transect c2). The xmm1 creepmeter recorded 0.3 mm of right lateral movement on August 1, between approximately 9:00 AM and 5:00 PM at site MM [R. Leichti, U.S.G.S., pers. commun.]. Along MM lines c1 and c2, peaks in [CO<sub>2</sub>] SW of the fault trace are offset (located closer to the fault trace) from CO<sub>2</sub> flux anomalies.

Along all three transects at the OR site, anomalously high CO<sub>2</sub> fluxes were measured 5 to 25 m SW of the fault trace (Figure 4). A single-peak CO<sub>2</sub> flux anomaly was measured along each of the two transects at the PO site within 0 to 10 m NE of the fault trace (Figure 5). At the WR site, anomalous CO<sub>2</sub> fluxes displaying a double-peak feature were measured within 25 m of the fault trace along one transect (Figure 6). However, measured [CO<sub>2</sub>] showed little variation. A single-peak CO<sub>2</sub> flux anomaly was measured at the JR site 30 m SW of the fault trace (Figure 7). Again, measured [CO<sub>2</sub>] along this line was relatively constant.

Carbon isotopic compositions were measured on five soil gas samples, three of which were from sites with anomalously high CO<sub>2</sub> flux (Table 1);  $\delta^{13}$ C values for soil gas CO<sub>2</sub> range from -23.7 to -21.6  $^{o}/_{oo}$  (PDB).  $^{14}$ C contents of soil gas CO<sub>2</sub> range from 110.5 to 111.9 pmC.

#### 1.5 Discussion and Conclusions

Double and single-peak CO<sub>2</sub> flux anomalies (Figures 3-7) are similar to those observed in radon concentration surveys along the SAF system [King et al., 1993, 1996]. At the MM, OR, and PO sites, flux anomalies are observed sub-parallel to the fault trace and may be related to high-permeability fault-related fracture zones. For example, the increase in CO<sub>2</sub> flux and [CO<sub>2</sub>] measured on August 3 along MM line c2 may have resulted from deformation-enhanced permeability of the fault zone. However, MM lines c1 and c2 were measured at different times: c1 at 7:30 to 10:30 AM and c2 at 2:45 to 5:45 PM. Therefore, flux and [CO<sub>2</sub>] may also have changed due to diurnal variations in biological activity [e.g., Amundson and Davidson, 1990, and references therein].

 $\delta^{13}\mathrm{C}$  values for soil gas  $\mathrm{CO}_2$  at Parkfield fall within the range of values typical of bulk organic carbon of  $C_3$  plants  $[\textit{O'Leary},\ 1988]$  and do not indicate significant contribution of  $CO_2$  from sources such as marine carbonates ( $\delta^{13}C = 0 \pm 4^{o}/_{oo}$ , Ohmoto and Rye [1979]) or the mantle ( $\delta^{13}$ C of MORB CO<sub>2</sub> = -9 to -4  $^{o}/_{oo}$ , e.g., Pineau and Javoy [1983]). Root respiration of grasses should yield  $CO_2$  with  $^{14}C$  content near that of the atmosphere (e.g.,  $^{14}$ C content of 1998 clean air = 109.5  $\pm$  0.5 pmC, J. Southon, L.L.N.L., pers. commun.). The  $^{14}\mathrm{C}$  contents of most soil gas samples (Table 1) are greater than this, indicating admixture of  ${\rm CO}_2$  derived from decay of young (post-bomb testing) organic matter. Given that the lowest measured <sup>14</sup>C value = 110.5 pmC, the flux of mantle-derived  $CO_2$  reaching the soil surface at Parkfield must be  $\leq 0.03$  g m<sup>-2</sup>d<sup>-1</sup> if we can assume flux and  $^{14}{\rm C}$  content of biogenic  ${\rm CO_2}=6~{\rm g~m}^{-2}{\rm d}^{-1}$  and 111.0 pmC (Table 1), respectively, and no contribution of CO<sub>2</sub> from decarbonation. However, the samples as a group show a weak ( $R^2 = 0.53$ ) trend toward lighter  $\delta^{13}C$  values with decreasing <sup>14</sup>C content, opposite to the trend expected for mixing of young biogenic  $\mathrm{CO}_2$  with  $\mathrm{CO}_2$  derived from marine carbonates or the mantle. The anomalously high CO<sub>2</sub> fluxes along the Parkfield segment are therefore consistent with fault-related effects on biogenic gas flow.

Table 1. Carbon isotopic compositions of soil gas  ${\rm CO}_2.$ 

Sample site	Distance from fault trace	CO <sub>2</sub> flux	$[CO_2]$	$\delta^{13}C_{CO2}$	$^{14}\mathrm{C}_{CO2}$
		$(g m^{-2} d^{-1})$	(vol. $\%$ )	$({}^{o}/{}_{oo})$	(pmC)
MMd3	40 m SW	19	1.04	-21.6	$111.9 \pm 0.5$
MMc1	$40 \mathrm{\ m\ SW}$	20	2.09	-21.6	$111.1 \pm 0.5$
ORc	$25~\mathrm{m}~\mathrm{NE}$	12	1.03	-23.0	$111.2 \pm 0.7$
WRb	$0 \mathrm{\ m}$	6	1.14	-22.2	$111.0 \pm 0.8$
$_{ m JR}$	30  m SW	19	0.88	-23.7	$110.5 \pm 0.5$

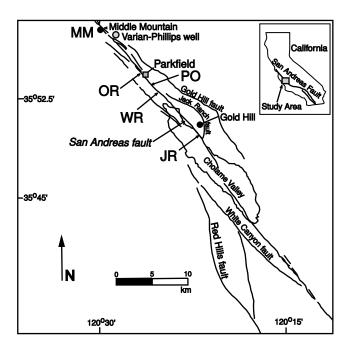


Fig. 1. Map of  $\mathrm{CO}_2$  flux survey sites along the Parkfield segment of the SAF (modified from *Thompson and White* [1991] and references therein). Sites include MM (Middle Mountain, at U.S.G.S. xmm1 creepmeter), OR (Owens Ranch, on NE side of Vineyard Canyon road), PO (U.S.G.S. Parkfield Office, at U.S.G.S. xta1 creepmeter), WR (Work Ranch, at U.S.G.S. wkr1 creepmeter), and JR (Jack Ranch, at U.S.G.S. xgh1 creepmeter).

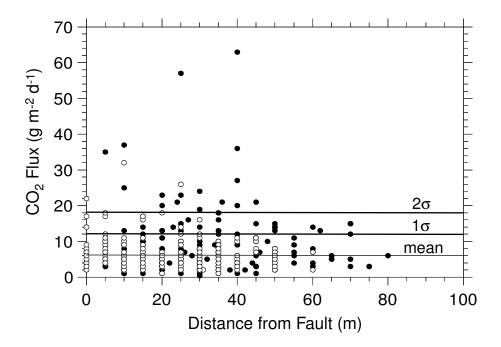


Fig. 2. Plot of  $CO_2$  flux (measured along 16 transects at MM, OR, PO, WR, and JR sites) vs. distance from the SAF trace. Solid and open circles represent fluxes measured SW of and NE of or on the fault trace, respectively. The light and dark lines represent the mean,  $\mu$ , (6 g m<sup>-2</sup>d<sup>-1</sup>) and >  $\mu$  + 1 $\sigma$  (12 g m<sup>-2</sup>d<sup>-1</sup>) and 2 $\sigma$  (18 g m<sup>-2</sup>d<sup>-1</sup>) of the entire population of  $CO_2$  flux measurements, respectively.

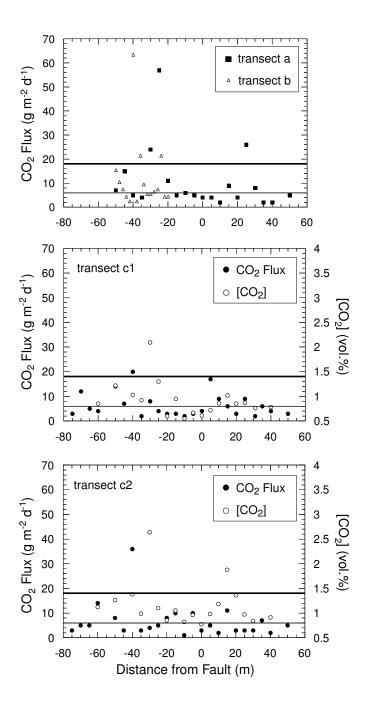


Fig. 3. Transects a-c at MM showing  $CO_2$  flux in g m<sup>-2</sup>d<sup>-1</sup> and  $[CO_2]$  in vol.% vs. distance from the SAF trace. Zero indicates the fault trace ( $\pm$  5 m). Negative values indicate distance SW of the fault trace. MM a, b, and c are parallel transects located 17, 5, and 10 m NW of the xmm1 creepmeter, respectively. The light and dark lines represent  $\mu$  and  $\mu + 2\sigma$  of the entire population of  $CO_2$  flux measurements, respectively.

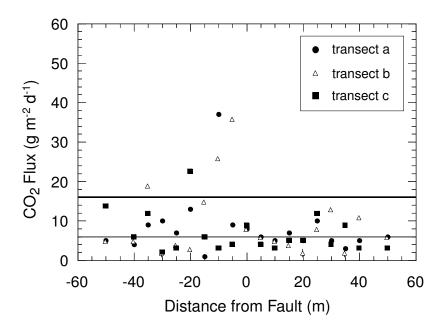


Fig. 4. Transects a-c at OR showing  $\rm CO_2$  flux vs. distance from the SAF trace (see Figure 3). OR b and c are parallel transects located 20 and 35 m SE of a, respectively.

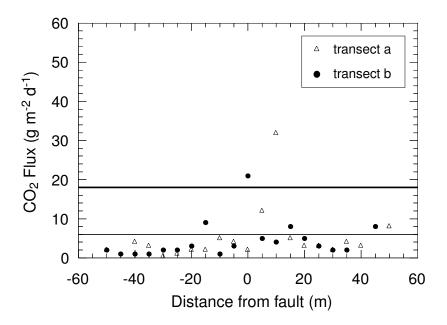


Fig. 5. Transects a and b at PO showing  $\rm CO_2$  flux vs. distance from the SAF trace (see Figure 3). PO a and b are parallel transects located 0.5 and 14 m NW of the xta1 creepmeter, respectively.

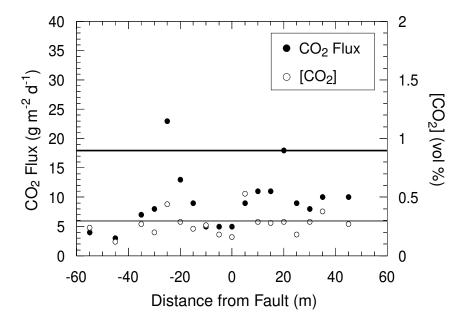


Fig. 6. Transect at WR showing  $\mathrm{CO}_2$  flux and  $[\mathrm{CO}_2]$  vs. distance from the SAF trace (see Figure 3).

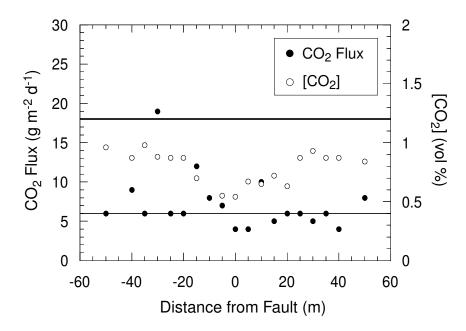


Fig. 7. Transect at JR showing  $\mathrm{CO}_2$  flux and  $[\mathrm{CO}_2]$  vs. distance from the SAF trace (see Figure 3).

#### Chapter 2

## Soil CO<sub>2</sub> Flow in Fractured Terrain I: Observations from the San Andreas and Calaveras Faults, CA

#### 2.1 Abstract

We evaluate a comprehensive soil  $CO_2$  survey along the San Andreas fault (SAF) in Parkfield, and the Calaveras fault (CF) in Hollister, CA in the context of spatial and temporal variability, origin, and transport of  $CO_2$  in fractured terrain.  $CO_2$  flux was measured within grids with portable instrumentation, and continuously with meteorological parameters at a fixed station, in both faulted and unfaulted areas. Greater spatial and temporal variability of surface CO<sub>2</sub> fluxes was observed at faulted SAF and CF sites, relative to corresponding background areas. Areal trends observed within the SAF grid suggest that zones of elevated CO<sub>2</sub> flux may be related to tectonic fractures; however,  $\delta^{13}{\rm C}$  (-23.3 to -16.4  $^{o}/_{oo})$  and  $\Delta^{14}{\rm C}$  (75.5 to 94.4  $^{o}/_{oo})$  values of soil CO  $_2$  in all areas are indicative of biogenic CO<sub>2</sub>. Spectral and correlation analysis of SAF CO<sub>2</sub> flux and meteorological parameter time series indicate that effects of temperature variations on soil  $\mathrm{CO}_2$  respiration and wind speed variations on atmospheric airflow though fractures modulate surface  $\mathrm{CO}_2$  flux over diurnal cycles. Profiles of soil  $\mathrm{CO}_2$  concentration as a function of depth were measured at multiple sites within SAF and CF grids and repeatedly at two locations at the SAF grid. These profiles suggest a surprisingly high component of advective  $\mathrm{CO}_2$  flow in soil columns, accounting for up to 85% of the surface flux. We propose a generalized model of soil  $\mathrm{CO}_2$  transport where biogenic  $\mathrm{CO}_2$  flow is focused in tectonic and non-tectonic fractures and modulated by temporal variations in meteorological parameters.

#### 2.2 Introduction

The chemical composition and transport of soil gases in fractured terrain have important implications for a broad range of studies including seismotectonics, volcano monitoring, natural resource exploration, and environmental problems. Researchers have measured soil gas concentration and flux anomalies along one-dimensional transects crossing faults [e.g., Duddridge et al., 1991; Klusman, 1993; King et al., 1996; Toutain and Baubron, 1999; Lewicki and Brantley, 2000] and, more rarely, over two-dimensional areas surrounding faults [e.g., Sorey et al., 1998; Ciotoli et al., 1998; Etiope, 1999]. These studies suggest that faults and fractures are highly permeable pathways for transport of gases from depth to the atmosphere.

Fault zone soil gas studies have mainly focused on correlation of the location of soil gas concentration or flux anomalies and faults or fractures. Separately, extensive work has been conducted to investigate unsaturated zone gas transport based on laboratory, numerical, and field experiments (see *Scanlon et al.* [1999] for a review of this work), and the influence of meteorological parameters on this transport [e.g., *Massmann and Farrier*, 1992; *Holford et al.*, 1993; *Chen et al.*, 1995; *Pinault and Baubron*, 1996; *Ouyang and Zheng*, 2000]. However, few investigations have integrated these studies using spatial and temporal field measurements of soil gas fluxes and chemistry to understand fault-zone

soil gas transport processes (a notable exception was the study of structurally controlled volcanic CO<sub>2</sub> degassing by *Rogie et al.* [2001] at Mammoth Mountain, CA).

The study of deeply derived fluids (e.g., <sup>3</sup>He and CO<sub>2</sub>) within the San Andreas fault (SAF) system has been of interest due to the potential role of pore fluid pressurization in the weakness of the SAF [e.g., Kennedy et al., 1997]. To investigate the magnitude and origin of SAF zone soil CO<sub>2</sub> fluxes, Lewicki and Brantley [2000] conducted a preliminary soil CO<sub>2</sub> survey along the Parkfield segment of the SAF in which they observed anomalously high CO<sub>2</sub> fluxes of biogenic origin along fault-crossing traverses. They concluded that CO<sub>2</sub> flux anomalies were consistent with fracture-related biogenic gas flow, rather than degassing of deeply derived CO<sub>2</sub>. However, the CO<sub>2</sub> flux and soil gas chemical and isotopic data set was of limited scope in that study and therefore did not allow for detailed characterization of the spatial and temporal variability of surface CO<sub>2</sub> fluxes and transport mechanisms of soil CO<sub>2</sub> to the atmosphere.

Expanding upon the work of Lewicki and Brantley [2000], we present a comprehensive soil CO<sub>2</sub> flux and soil gas chemical and isotopic data set collected (February-May, 2000) along the SAF in Parkfield, and the Calaveras fault (CF) in Hollister, CA (Figure 8). We investigate 1) spatial correlation between CO<sub>2</sub> fluxes measured within detailed grids and fault location, 2) temporal variation of CO<sub>2</sub> flux relative to meteorological parameters, 3) CO<sub>2</sub> origin, and 4) soil gas transport. Based on our field observations, we propose a generalized model of soil CO<sub>2</sub> transport where biogenic CO<sub>2</sub> flow is focused in highly permeable tectonic and non-tectonic fractures and modulated by temporal fluctuations in meteorological parameters.

#### 2.3 Survey Sites

Our study sites along the SAF and CF are shown in Figure 8. The SAF soil gas survey was conducted in Parkfield on the Taylor ranch at the U.S. Geological Survey (U.S.G.S.) xta1 creepmeter ( $35^{o}54.1^{\circ}N$ ,  $120^{o}25.5^{\circ}W$ ). The Parkfield segment of the SAF is creeping at the surface and has been the site of five moderately sized earthquakes since 1857 [Bakun and Lindh, 1985]. Northeast of the SAF, basement rock is Franciscan Complex, a melange composed mainly of weakly metamorphosed accretionary prism deposits. Southwest of the SAF, basement rock is Salinian granite. Depth to the water table at the SAF site (measured in U.S.G.S. well HR in Spring, 2000) is  $\sim$ 16 m [E. Roeloffs, U.S.G.S., pers. commun.]. The Parkfield background (PB) study site is located  $\sim$ 1.5 km northeast of the SAF site on the Taylor ranch, away from the fault zone. Soil textures at SAF and PB sites are silty clay loam and clay, respectively [Cook, 1978]. SAF and PB sites host similar annual grass communities (e.g., farmer's foxtail and wild oat).

The CF soil gas survey was conducted in Hollister at Dunne Park between Sixth and Seventh streets  $(36^o51.1^\circ\text{N}, 121^o24.2^\circ\text{W})$ . The sidewalk adjacent to Seventh street has been right-laterally offset by fault creep. Basement rock is Great Valley sequence (marine sandstone, mudstone, and conglomerate), underlain by Coast Range ophiolite and Franciscan Complex rocks. The Hollister background (HB) site is located  $\sim 2.5$  km southeast of the CF site, away from the fault zone. Soil textures are unknown at the CF and HB sites. Turf grass is the main vegetation type at both CF and HB sites.

#### 2.4 Methods

#### 2.4.1 Field Measurements

 ${
m CO_2}$  flux was measured over grids using a cylindrical open-bottomed accumulation chamber placed on the soil [e.g., Norman et al., 1992; Lewicki and Brantley, 2000]. The contained air was circulated through the chamber and a LI-COR 6262 infrared gas analyzer (IRGA).  ${
m CO_2}$  concentration ([CO<sub>2</sub>]) was recorded every 1 s, along with pressure  $(P, \, {\rm kPa})$  and temperature  $(T, \, {\rm K})$ , measured by a pressure transducer and a thermocouple, respectively. The air was desiccated with  ${\rm Mg}({\rm ClO_4})_2$  before entering the IRGA.

The scrubbing protocol of Norman et al. [1992] was followed to ensure that the gradient in  $[CO_2]$  at the soil-air interface was relatively undisturbed. The rate of change of  $[CO_2]$  in the chamber  $(d[CO_2]/dt$ , ppmv s<sup>-1</sup>) was then measured at the point when  $[CO_2]$  equaled ambient  $[CO_2]$ . The flux of  $CO_2$  (F, g m<sup>-2</sup> day<sup>-1</sup>) was calculated according to

$$F = k \left(\frac{V}{A}\right) \left(\frac{T_o}{T}\right) \left(\frac{P}{P_o}\right) \left(\frac{d[\text{CO}_2]}{dt}\right)$$
 (2.1)

where k is a constant (155.87 g s m<sup>-3</sup>day<sup>-1</sup>),  $P_o$  and  $T_o$  are standard P and T, respectively, V is the volume of the system (m<sup>3</sup>), and A is the area of the chamber footprint (m<sup>2</sup>). The IRGA was checked for instrumental drift and recalibrated when necessary, every three weeks. Based on laboratory measurements of imposed CO<sub>2</sub> fluxes, systematic bias associated with the accumulation chamber method is estimated to be -12.5 % [Evans et al., 2001] and measurement reproducibility is  $\pm$  10 % [Chiodini et al., 1998].

 ${
m CO_2}$  flux measurement locations were sited on evenly spaced grid points using a compass and tape measure. Fluxes were measured at 5 m intervals within SAF and PB grids, and at 2.5 m intervals within CF and HB grids. In addition,  ${
m CO_2}$  flux was measured at 5 m intervals along six parallel fault crossing transects, parallel to, and 5 to 50 m NW of, the SAF grid. Spacing between these transects varied from 3 to 15 m. During any given day, we measured fluxes sequentially along traverses oriented perpendicular to the SAF and CF fault traces. San Andreas and Calaveras fault traces were located to within  $\pm$  5 and 2 m, respectively.

A WEST Systems Continuous  $CO_2$  Flux Monitoring Station (CCFMS) was installed on fractured soil at a distance of 50 m perpendicular to the SAF trace (NE side), adjacent to the SAF flux grid (05-01-00 to 05-13-00) and unfractured soil at a background site  $\sim 1.5$  km north of the SAF grid (03-25-00 to 03-28-00). The CCFMS measured  $CO_2$  flux with a LI-COR GasHound Model LI-800 IRGA contemporaneously with atmospheric pressure ( $\pm$  0.15hPa), air temperature ( $\pm$  0.1  $^o$ C, respectively), and wind speed ( $\pm$  0.1 m s<sup>-1</sup>) and direction every 30 minutes over the measurement periods. The CCFMS accumulation chamber was automatically lowered onto a fixed collar in the soil and  $CO_2$  flux measurements were made as described above for the portable  $CO_2$  flux instrument (with the exception of the scrubbing protocol). At the SAF grid site, a ground crack (22.0 x 3.5 cm, unknown depth) was located in the accumulation chamber footprint. Soil was assumed to be unfractured at the background site because it was located away from the fault zone and there were no apparent ground cracks.

Soil gas  $\mathrm{CO}_2$  concentrations were measured in the field at 10 to 80 cm depth (hereafter this technique is referred to as soil [CO<sub>2</sub>] profile measurement) using a perforated soil probe and a Drager polytron or Geotechnical Instruments CD 1/8 IRGA (measurement precision =  $\pm$  0.15 and 0.1%, respectively). Soil [CO<sub>2</sub>] profiles were measured at different locations within SAF and CF grids by driving a perforated soil probe incrementally into the soil and pumping the soil gas to the  $[CO_2]$  analyzer. Surface  $CO_2$ flux above each  $[CO_2]$  profile and soil temperature at 20 cm depth were measured within several minutes of profile measurement. SAF profiles were measured from 03-20-00 to 05-03-00, over which time ground cracks (presumably caused by soil drying) were observed in SAF and PB grids, and there were light and heavy rain events on 04-14-00 and 04-16-00 to 04-17-00, respectively. There was no rainfall in Hollister during CF profile measurement time (04-21-00 to 04-22-00) and few ground cracks were observed in CF and HB grids. Soil [CO<sub>2</sub>] profiles were also measured repeatedly from 04-13-00 to 05-10-00 at two permanent sites, located adjacent to the SAF grid. At each of these sites, a "nest" of steel points with perforated ends attached to tubing was installed in the soil at 10 cm depth intervals and  $[\text{CO}_2]$  was monitored at each depth over time. Soil gas samples were collected for chemical and carbon isotopic analyses at 20-70 cm depth from soil [CO<sub>2</sub>] profiles in SAF and CF grids and at Parkfield background sites in pre-evacuated Pyrex flasks.

#### 2.4.2 Laboratory Analyses

Soil gas chemistry was determined by gas chromatography at the U.S. Geological Survey, Menlo Park, CA, and analytical precision errors are estimated as: [CO<sub>2</sub>],

 $\pm$  1%; [Ar],  $\pm$  2%; [N<sub>2</sub>] and [O<sub>2</sub>],  $\pm$  0.2%. Stable isotope ratios for CO<sub>2</sub> were measured by dual-inlet gas-isotope mass spectrometry. Isotopic abundances are reported in the standard delta notation, calculated relative to the PDB standard using the 18, 19, and 20 NBS standards (measurement accuracy and precision =  $\pm$  0.15°/ $_{oo}$  and 0.05°/ $_{oo}$ , respectively). The  $\Delta^{14}$ C values of CO<sub>2</sub> samples ( $\pm$  4.4 to 5.9°/ $_{oo}$ ) were measured at the Lawrence Livermore National Laboratory Center for Accelerator Mass Spectrometry [Southon et al., 1992]. Total porosity was determined for one soil sample collected from Dunne park in Hollister and for two soil samples collected from the SAF site in Parkfield using the clod and pycnometer techniques for bulk density and mean-harmonic particle density, respectively [Klute and Page, 1986].

#### 2.4.3 Data Analyses

Soil  $CO_2$  flux is known to show diurnal variations following a sinusoidal pattern, which has been attributed primarily to changes in meteorological and biological conditions [e.g., Osozawa and Hasegawa, 1995; Ouyang and Zheng, 2000]. Flux transects and grids measured over several hours must account for these diurnal changes in order to fully investigate areal  $CO_2$  flux variability. To subtract diurnal trends from SAF, CF, and PB  $CO_2$  flux grid data sets, we assumed a sinusoidal fluctuation in flux measured over each day (i.e., in plots of flux versus measurement time for each day) and used a least-squares inversion to find the best-fit sine wave to each grid dataset. Daily mean  $CO_2$  flux was constrained to be  $\geq 0$ . In addition, the frequency and phase shift of the sinusoidal fluctuation was required to be constant throughout each grid dataset. However, for those grids collected over several diurnal cycles, the daily mean flux and amplitude

were allowed to vary over each day for the SAF, CF, and PB grids. The best-fit sine wave was subtracted from each data point at time t. Results are reported as time-adjusted  $\rm CO_2$  flux.

Correlation coefficients  $(C_k)$  were calculated for 1)  $CO_2$  flux grid data as a function of separation distance  $(C_{\Delta X})$ , 2) CCFMS data as a function of time lag  $(C_{\Delta t})$ , and 3) soil  $[CO_2]$  profiles as a function of time lag according to

$$C_{k} = \frac{\sum_{i=1}^{n} (x_{i,j,k} - \overline{x})(y_{i,j,k} - \overline{y})}{\left[\sum_{i=1}^{n} (x_{i,j,k} - \overline{x})^{2} \sum_{i=1}^{n} (y_{i,j,k} - \overline{y})^{2}\right]^{1/2}}$$
(2.2)

where x and y are two series of observations for which the correlation coefficient is calculated and i, j, and k are measurement indices in space and time.

$$y_{X,\theta,\Delta X} = x_{X+\Delta X,\theta,\Delta X} \tag{2.4}$$

$$y_{t,\theta,\Delta t}, x_{t+\Delta t,\theta,\Delta t}$$
 (2.5)

$$y_{X,t,\Delta t} = x_{X,t+\Delta t,\Delta t} \tag{2.6}$$

Equations 2.4, 2.5, and 2.6 refer to calculation of the correlation coefficient for the  $CO_2$  flux grid, CCFMS, and soil  $[CO_2]$  profile data, respectively. For example, we calculated the correlation coefficient for soil  $[CO_2]$  profiles measured at different times  $(t \text{ and } t + \Delta t)$ 

by comparing [CO<sub>2</sub>] measurements made at corresponding locations in space (i.e., soil depth). Best-fit lines to soil [CO<sub>2</sub>] profile correlation coefficients as a function of time lag were constrained to equal one at zero time lag.

Power spectra for CCFMS  $\rm CO_2$  flux, air and soil temperature, wind speed, and atmospheric pressure data were estimated as the square of the coefficients of the fast Fourier transform with a Hanning window. For power spectra estimations, the mean was removed from each of the CCFMS time series by subtracting from each data point the average of the time series.

#### 2.5 Results

# **2.5.1** Surface $CO_2$ flux

### 2.5.1.1 Spatial variation

We made 646, 287, 436, and 97 CO<sub>2</sub> flux measurements at the SAF (4 to 107 g m<sup>-2</sup>d<sup>-1</sup>), PB (1 to 59 g m<sup>-2</sup>d<sup>-1</sup>), CF (10 to 428 g m<sup>-2</sup>d<sup>-1</sup>), and HB (21 to 97 g m<sup>-2</sup>d<sup>-1</sup>) sites, respectively. The 646 SAF flux measurements include measurements made within a grid at 5 m spacing and those made at 5 m intervals along six transect lines adjacent and parallel to the NW side of the grid. Statistics were calculated for this entire SAF population; however, the SAF CO<sub>2</sub> flux maps presented below do not include the six transects. Flux measurements for SAF, PB, and CF grids belong to lognormal populations, whereas HB grid flux measurements belong to a normal population. We estimated the mean,  $\mu$ , and standard deviation,  $\sigma$ , for the SAF, PB, and CF log-transformed flux grid data using Minimum Variance Unbiased estimators [Finney, 1941; Sichel, 1952] and for the

non-transformed flux data sets for all four grids. For SAF, PB, and CF data sets, these methods yield similar results (Table 2); we therefore focus consideration hereafter on  $\mu$  and  $\sigma$  values estimated for non-transformed data. After Lewicki and Brantley [2000], we define anomalously high  $CO_2$  flux for each data set as  $> \mu + 2\sigma$  of the population of flux measurements. Anomalously high  $CO_2$  fluxes were measured in SAF and CF grids within 95 and 27.5 m of the fault traces, respectively (Figure 9). Figure 9 shows that  $\mu$  and  $\sigma$  of both SAF and CF data sets are greater than PB and HB data sets, respectively. There is a systematic increase in  $\sigma$  with  $\mu$  for all grid data sets; however, when this trend was removed from data,  $\sigma$  of all on-fault data sets remained greater than that of corresponding off-fault data sets.

Temporally unadjusted SAF and PB grids show no clear trend in spatial distribution of areal CO<sub>2</sub> degassing (Figure 10a, d). Image and contour maps of correlation coefficients for SAF and PB grids show low spatial correlation overall (Figure 10b, c, e, f); however, relatively elevated correlation coefficients ( $C_{\Delta X} = 0.2$  to 0.3) are evident for distance > 5 m (i.e., > the measurement interval), with NE-trending direction of anisotropy, perpendicular to the fault trace. The CF grid exhibits a zone of enhanced CO<sub>2</sub> flux approximately perpendicular to the CF trace (Figure 11a). Also, CO<sub>2</sub> fluxes are moderately spatially correlated (up to  $C_{\Delta X} = 0.4$ ) at distances > 2.5 m, with E-trending direction of anisotropy (Figure 11b, c). There is no evident spatial trend in the HB CO<sub>2</sub> flux grid and CO<sub>2</sub> fluxes are poorly spatially correlated (Figure 11d-f).

Time-adjusted SAF  $C_{\Delta X}$  image and contour maps (Figure 12b, c) show similar magnitude of spatial correlation of CO<sub>2</sub> fluxes as observed in temporally unadjusted data. However, NE-trending direction of anisotropy oriented  $\sim 45^o$  to the fault trace is more

clearly evident for distance > 5 m in time-adjusted data (Figure 12b, c). Time-adjusted PB  $C_{\Delta X}$  maps (Figure 12e, f) show lower overall  $C_{\Delta X}$ , relative to temporally unadjusted data. The CF time-adjusted grid shows no trend in areal degassing (Figure 13a) and exhibits a lesser degree of spatial correlation than evident in temporally unadjusted data (Figure 13b, c). We did not observe a temporal trend in the HB grid data and therefore do not report time-adjusted HB grid results.

To test the effect of grid geometry on spatial correlation of  $CO_2$  flux, we generated random synthetic data sets with the same measurement spacing,  $\mu$ , and  $\sigma$  of SAF and CF data sets and varied grid aspect ratios from 1:1 to 1:10.  $C_{\Delta X}$  values for each grid geometry showed no grid shape bias on spatial correlation of  $CO_2$  flux.

## 2.5.1.2 Temporal variation

The CCFMS deployed on fractured soil 5 m from the SAF grid (Figure 10a) measured CO<sub>2</sub> flux, atmospheric temperature and pressure, and wind speed every 30 minutes from 05-01-00 to 05-13-00 (Figure 14). Over this period of time, there was no rainfall in Parkfield. Our CO<sub>2</sub> flux time series record indicates that change in flux over the sampling period was characterized by irregular variability (i.e., flux spikes), superimposed on sinusoidal variability. The  $\mu$  and  $\sigma$  of the flux population (n = 573) are 6 and 7 g m<sup>-2</sup> d<sup>-1</sup>, respectively. We confine spectral and correlation analysis of CCFMS time series to diurnal time scales due to the relatively short ( $\sim$ 13 day) CCFMS sampling duration. Power spectra for CO<sub>2</sub> flux and meteorological parameters (Figure 14) show peaks at one cycle d<sup>-1</sup> (24-hour period), as well as at lower frequencies. We calculated correlation coefficients as a function of time lag (up to  $\pm$ 12 hours) between CCFMS time

series (Figure 15). Results show that  $CO_2$  flux is moderately positively correlated ( $C_{\Delta t}$  = 0.4 to 0.5) with atmospheric temperature and wind speed at  $\sim$ 2 to 3 and 0.5-hour time lags, respectively, and poorly correlated with atmospheric pressure. Atmospheric temperature displays maximum positive correlation with wind speed at 0.5-hour time lag. Atmospheric pressure and wind speed are poorly correlated, whereas atmospheric temperature and pressure are poorly positively correlated and moderately ( $C_{\Delta t} \approx 0.4$ ) negatively correlated at -4-hour time lag.

The CCFMS was also deployed on unfractured soil at a background site in Parkfield (03-25-00 to 03-28-00) where  $CO_2$  flux and meteorological parameters were measured every 30 minutes. The mean and  $\sigma$  of the flux population (n = 125) are 7 and 1 g m<sup>-2</sup>d<sup>-1</sup>, respectively. Variability of the  $CO_2$  flux data set measured on fractured soil at the SAF site is greater that that of  $CO_2$  flux data set measured on unfractured soil at the Parkfield background site. Relatively short sampling duration ( $\sim$ 3 days) did not allow for spectral and correlation analysis of the Parkfield background data sets, due to poor spectral resolution.

#### 2.5.2 Soil gas chemistry and isotopic composition

Table 3 shows measured chemical and carbon isotopic compositions of soil gases collected from CF and SAF grids and background sites in Parkfield. Chemical and stable carbon isotopic compositions of an atmospheric air sample from Parkfield were also measured. Relative to atmospheric air, soil gases are CO<sub>2</sub>-enriched and O<sub>2</sub>-depleted; however, CF grid samples CF1, 3, and 5 are also N<sub>2</sub>-depleted.

 $\delta^{13}$ C and  $\Delta^{14}$ C values of soil CO<sub>2</sub> range from -23.3 to -16.4°/ $_{oo}$  and 75.5 to  $94.4°/_{oo}$ , respectively.  $\delta^{13}$ C values of Parkfield background soil CO<sub>2</sub> fall within the range of SAF grid soil CO<sub>2</sub> values. The  $\delta^{13}$ C value of Parkfield atmospheric CO<sub>2</sub> is -8.29°/ $_{oo}$ . A plot of soil gas  $\delta^{13}$ C versus 1/[CO<sub>2</sub>] (Figure 16) shows that gas samples collected from 10, 20, 40, 60 and 70 cm depth in [CO<sub>2</sub>] profile SAFA lie along a mixing line between the compositions of Parkfield atmospheric air (PBatm) and the soil gas collected from 70 cm depth. With the exception of sample SAFA60, soil gases show  $\delta^{13}$ C depletion and [CO<sub>2</sub>] increase with depth; SAFA60, collected at 60 cm depth, is  $\delta^{13}$ C enriched and has lower [CO<sub>2</sub>], relative to SAFA40, collected at 40 cm depth. CF1, 3, and 5 soil gases collected from 50 cm depth in CF1, 3, and 5 [CO<sub>2</sub>] profiles display progressive  $\delta^{13}$ C depletion with increasing surface CO<sub>2</sub> flux.

## 2.5.3 Soil $[CO_2]$ profiles

#### 2.5.3.1 Spatial variation

Eleven and five soil [CO<sub>2</sub>] profiles were measured within SAF and CF grids, respectively (Figure 17). Table 4 shows the location, date, and time of profile measurement and the measured surface CO<sub>2</sub> flux above each profile. We grouped soil [CO<sub>2</sub>] profiles into three categories, according to profile shape. Category I profiles exhibit [CO<sub>2</sub>] increase with depth. These profiles show a break in slope  $(\partial [CO_2]/\partial z)$ , usually between 20 and 40 cm depth, with greater  $\partial [CO_2]/\partial z$  at shallow depth. Category II profiles exhibit [CO<sub>2</sub>] increase then decrease with depth. Category III profiles display a complicated pattern, with repeated increase and decrease in [CO<sub>2</sub>] with depth. The distinction between categories is not always definite; for example, SAF10 and CF1 are considered here

as Category III profiles, but they exhibit only a minor decrease in [CO<sub>2</sub>] with depth and could also be Category I. We do not observe a consistent relationship between profile category and distance from the fault trace, measurement date, soil temperature, rainfall event, or vegetation density and type.

#### 2.5.3.2 Temporal variation

We monitored permanent [CO<sub>2</sub>] profiles SAFB and SAFA (Figure 10a) and associated surface  $CO_2$  fluxes from 04-13-00 to 05-10-00. Profile SAFB (Figure 18) shows [CO<sub>2</sub>] increase with depth (Category I) over the measurement period; however, profile  $\partial [CO_2]/\partial z$  varies from day to day and over the course of individual days (e.g., 04-13-00, 04-26-00, 04-28-00). Profile SAFB variability from morning to afternoon measurement time is often characterized by  $\partial [CO_2]/\partial z$  increase. Following a heavy rain event (04-16-00 to 04-17-00), profiles measured on 04-18-00 and 04-19-00 display both  $\partial [CO_2]/\partial z$ increase from 0 to 20 cm depth and surface flux increase, relative to earlier profiles. [CO<sub>2</sub>] below 20 cm on 04-18-00 and 04-19-00 is relatively constant with depth. From 04-19-00 to 05-10-00, profile  $\partial [CO_2]/\partial z$  decreases, along with a general decrease in associated surface flux. Permanent profile SAFA (Figure 19) displays Category III behavior from 04-13-00 to 04-28-00, with  $[CO_2]$  increase, decrease, then increase with soil depth, and Category I behavior from 05-08-00 to 05-10-00, with  $[CO_2]$  increase with soil depth. Similar to profile SAFB, profile SAFA  $\partial[CO_2]/\partial z$  increased from morning to afternoon measurement times; however, from 04-13-00 to 04-28-00, [CO<sub>2</sub>] concentration at 50 to 60 cm depth remained relatively low. SAFA profiles measured from 04-18-00 to 04-26-00 following the heavy rain event display both  $\partial [CO_2]/\partial z$  increase from 0 to 40 cm depth and surface flux increase, relative to earlier measurements. Profile  $\partial [CO_2]/\partial z$  decreases from 04-28-00 to 05-10-00.

We calculated correlation coefficients as a function of time lag for SAFB and SAFA profiles (Figure 20). Best-fit lines to correlation coefficients indicate high temporal correlation ( $C_{\Delta t} \approx 0.8$  to 1) of SAFB profiles over the entire measurement period and moderate to high temporal correlation ( $C_{\Delta t} = 0.5$  to 1) of SAFA profiles up to  $\sim$ 15-day time lag. SAFA profiles are moderately to poorly correlated at greater time lags.

## 2.6 Discussion

# 2.6.1 Fault zone $CO_2$ flux

Mean  $CO_2$  fluxes for the SAF and PB grid data sets fall within the mean rates of soil  $CO_2$  respiration in temperate grasslands (13 g m<sup>-2</sup>d<sup>-1</sup>), croplands (16 g m<sup>-2</sup>d<sup>-1</sup>), and tropical savannas and grasslands (19 g m<sup>-2</sup>d<sup>-1</sup>) [Raich and Schlesinger, 1992]. Mean  $CO_2$  flux of both CF and HB data sets is greater than the range for natural grasslands; however, we lack information on average respiration rates from areas where turf grass dominates vegetation type, which may be significantly higher. Mean  $CO_2$  flux for the on-fault SAF data set is greater than the off-fault PB data set, whereas mean  $CO_2$  fluxes are similar for CF and HB populations. Greater  $\mu$  for the SAF flux population relative to the PB flux population may indicate relatively high SAF zone  $CO_2$  production rate.  $CO_2$  production may be elevated at the SAF site due to higher water content in fractured fault zone media, enhancing biological respiration rates.

We do not observe clear spatial trends in  $CO_2$  flux within the CF grid, convincing of structurally related enhanced degassing. Although the CF grid displays a zone of moderately correlated elevated  $CO_2$  flux oriented perpendicular to the fault trace, the time-adjusted CF grids show poorly correlated fluxes (on spatial scale greater than the sampling interval) without spatial trends. The time-adjusted PB grid similarly shows decreased magnitude and degree of anisotropy of spatial correlation of  $CO_2$  flux, relative to the temporally unadjusted grid. These observations emphasize the influence of diurnal  $CO_2$  flux variations on spatial data sets and the need for consideration of these variations in sampling strategy. Poor spatial correlation of  $CO_2$  fluxes at distances  $\geq$  our sampling interval also indicates that our sampling interval was greater than the scale of spatial heterogeneity of our study sites; factors controlling spatial flux variability (e.g.,  $CO_2$  production rate, soil diffusivity/permeability) operate on spatial scales less than the sampling interval.

Relative to temporally unadjusted data, the time-adjusted SAF grid shows slightly enhanced magnitude and correlation of  $CO_2$  fluxes on spatial scales greater than the sampling interval, with NE-trending direction of anisotropy oriented  $\sim 45^{\circ}$  to the fault trace. Fractures with similar orientation would be consistent with dextral shear along the SAF. Correcting the spatial  $CO_2$  flux data set for temporal variance may therefore have allowed for detection of a tectonic fracture-related signature in  $CO_2$  degassing.

# 2.6.2 CO<sub>2</sub> source

Power spectral estimates for CCFMS time series indicate that variation in  $\rm CO_2$  flux and atmospheric temperature measured on and above fractured soil, respectively, at

the SAF site is dominated by diurnal periodicity. CO<sub>2</sub> flux is correlated with atmospheric temperature, a finding which may be consistent with solar heating of the soil and resulting soil CO<sub>2</sub> production by root respiration and/or heterotrophic bacteria [e.g., *Dörr and Münnich*, 1987; Wood et al., 1993].

Assuming a 1:1 relationship between biogenic  $O_2$  consumption and  $CO_2$  production in the soil, we compare  $[N_2]$  of soil gas samples  $([N_2]_{soil})$  with  $[N_2]$  of atmospheric air  $([N_2]_{atm})$  to evaluate possible addition of  $CO_2$  to soil gases from sources other than root respiration and oxidative decay of organic matter. If soil gas  $CO_2$  is derived exclusively from soil biogenic sources and there is no soil  $N_2$  loss and gain from  $N_2$  fixation and denitrification processes, respectively, we expect  $[N_2]_{soil}$  to be similar to  $[N_2]_{atm}$ . If  $CO_2$  is present in excess of soil biogenic sources, we expect soil gases to be  $[N_2]$ -depleted relative to atmospheric air. Although all soil gas samples from SAF, Parkfield background, and CF sites are  $[N_2]$ -depleted relative to atmospheric air, with the exception of CF samples,  $[N_2]$  depletion is  $\leq$  analytical error associated with the measurement ( $\pm$  0.2%). CF1, 3, and 5 samples, however, exhibit greater  $[N_2]$  depletion, which may be explained by a respiration quotient of less than one. Alternatively,  $[N_2]$  depletion may reflect addition of  $CO_2$  to CF1, 3, and 5 samples from processes such as  $CO_2$  exsolution from the water table or vadose zone water at the beginning of the dry season.

 $\delta^{13}$ C values for CF5, SAF3, SAF10, and SAFA40, 60, and 70 soil gas CO<sub>2</sub> fall within the range of values typical of bulk organic carbon of C<sub>3</sub> plants ( $\delta^{13}$ C = -34 to -22  $^{o}/_{oo}$ , O'Leary [1988]). All other CF, SAF, and Parkfield background soil CO<sub>2</sub> samples are relatively  $\delta^{13}$ C enriched.  $\delta^{13}$ C values for Parkfield background soil CO<sub>2</sub> fall within the range of SAF grid soil CO<sub>2</sub> values and do not suggest a unique CO<sub>2</sub> source.

Observed  $\delta^{13}$ C enrichment may be due to one or a combination of the following: 1) contribution of CO<sub>2</sub> derived from C<sub>4</sub> plants ( $\delta^{13}$ C = -20 to -10  $^{o}/_{oo}$ , O'Leary [1988]); 2) contribution of atmospheric air-derived CO<sub>2</sub> ( $\delta^{13}$ C of Parkfield atmospheric air = -8.29 $^{o}/_{oo}$ ); 3) admixture of CO<sub>2</sub> from isotopically enriched sources such as the mantle or marine carbonates.

Based on direct measurement of the  $^{14}\mathrm{C}$  content of 1996 atmospheric air ( $\Delta^{14}\mathrm{C}$  = 97 ± 1°/ $_{oo}$ , Gaudinski et al. [2000]) and  $\sim 8^{o}/_{oo}$  decline in  $\Delta^{14}\mathrm{C}$  per year [Levin and Kromer, 1997], the  $^{14}\mathrm{C}$  content of 2000 atmospheric air is estimated to be 65°/ $_{oo}$ . We expect the  $^{14}\mathrm{C}$  content of soil CO<sub>2</sub> derived from root respiration of annual grasses to be similar to that of the atmosphere.  $\Delta^{14}\mathrm{C}$  values of SAF and CF soil CO<sub>2</sub> samples are slightly enriched relative to that of the 2000 atmosphere and likely reflect admixture of CO<sub>2</sub> derived from oxidative decay of young (post-bomb testing) organic matter.  $\Delta^{14}\mathrm{C}$  values do not suggest contribution of CO<sub>2</sub> to soil gases from mantle or marine carbonate sources, consistent with the findings of Lewicki and Brantley [2000] for Parkfield SAF soil gas CO<sub>2</sub>. In addition,  $\Delta^{14}\mathrm{C}$  values of CF1, 3, and 5 soil gases support addition of CO<sub>2</sub> from a young source, such as seasonal groundwater degassing.

#### 2.6.3 Soil gas transport

Soil CO<sub>2</sub> transport to the atmosphere may be diffusive (concentration gradient driven), as described by Fick's first law:

$$F_D = -D_{CO2-air} n_a \tau \nabla [CO_2] \tag{2.6}$$

where  $F_D$  is mass diffusive  $CO_2$  flux (g cm<sup>-2</sup>s<sup>-1</sup>),  $D_{CO2-air}$  is the binary diffusion coefficient of  $CO_2$  in air at measured soil temperature (cm<sup>2</sup>s<sup>-1</sup>),  $n_a$  is air-filled porosity,  $\tau$  is tortuosity, and  $\nabla[CO_2]$  is the [CO<sub>2</sub>] gradient (g cm<sup>-4</sup>), and/or advective (total pressure gradient driven), as described by Darcy's law:

$$F_A = -\frac{B_k P_{CO2}}{\mu} \frac{\nabla P}{RT} \tag{2.7}$$

where  $F_A$  is molar advective  $CO_2$  flux (mol  $L^{-2}t^{-1}$ ),  $B_k$  is the intrinsic permeability of the medium  $(L^2)$ ,  $P_{CO2}$  is  $CO_2$  partial pressure  $(M L^{-1}t^{-2})$ ,  $\mu$  is dynamic gas viscosity  $(M L^{-1}t^{-1})$ ,  $\nabla P$  is the total pressure gradient  $(M L^{-2}t^{-2})$ , T is absolute temperature, and R is the gas constant  $(M L^2t^{-2}T^{-1}mol^{-1})$ . Small total pressure gradients (at present detection limits) can produce advective fluxes greater than diffusive fluxes [e.g., Thorstenson and Pollock, 1989]. Meteorological parameters may modulate soil  $CO_2$  flow to the atmosphere by influencing concentration and/or total pressure gradients either directly (e.g., barometric pumping effect) or indirectly (e.g., atmospheric and soil temperature effects on soil  $CO_2$  respiration).

To estimate the maximum extent to which Fickian diffusion accounts for surface  $CO_2$  fluxes measured above soil  $[CO_2]$  profiles in SAF and CF grids, we calculated diffusive  $CO_2$  fluxes based on near-surface  $[CO_2]$  gradients and inferred soil diffusivities (Equation 2.6). Calculated  $D_{CO2-air}$  values ranged from 0.14807 to 0.16384 cm<sup>2</sup>s<sup>-1</sup> [Marrero and Mason, 1972], depending on measured soil temperatures. Total porosity values,  $n_T$ , for a soil collected from the CF grid and two soils collected at permanent profile SAFB and SAFA sites are 0.52, 0.36, and 0.38, respectively; however, we lack  $n_T$ ,

water content, and  $\tau$  data for most CF and SAF profile sites. Therefore, we made the following assumptions: 1) measured  $n_T$  values for SAF and CF soils are representative of soils over their entire respective grids; 2)  $n_T = n_a$ ; 3)  $\tau = 0.66$  [Penman, 1940a, b]. In addition, maximum near-surface  $\partial [CO_2]/\partial z$  values were used in calculations. In cases where profile [CO<sub>2</sub>] at 10 cm depth was approximately equal to surface [CO<sub>2</sub>] (e.g., SAFB profiles), likely due to atmospheric airflow through shallow soil, maximum  $\partial [CO_2]/\partial z$  values were considered from depth to 10 cm over linear segments of profiles. These conditions yield maximum estimates of  $F_D$  values.

Results of diffusive flux calculations suggest that Fickian diffusion accounts at most for 39, 79, and 15% of measured surface  $CO_2$  flux above CF1, 3, and 5 profiles, respectively, and 39, 85, 52, 43, 58, and 71% of surface flux above SAF9, 1, 7, 3, 10, and 5 profiles, respectively. For profiles CF2, CF4, SAF2, SAF4, SAF6, SAF8, and SAF11, diffusion may account entirely for associated surface fluxes. Diffusion accounts at most for 46 to 92% of measured surface fluxes above permanent profile SAFA on 04-13-00, 04-14-00, 04-26-00 (09:52 to 16:00), 04-28-00 (15:15), 05-08-00, and 05-10-00 (14:00), whereas it may account entirely for all measured surface fluxes above permanent profile SAFB. For those profiles where maximum estimated diffusive flux accounts for less than 100% of associated surface flux, advection is likely an important process in the transport of soil  $CO_2$  to the atmosphere. Advection may also be important for other profiles if soil water content is considered in calculations. In addition, the spatial and temporal variability of estimated  $F_D$  values indicates that mode of soil  $CO_2$  transport varies not only from site to site within SAF and CF areas, but also over time at individual sites (e.g., profile SAFA).

Power spectral estimates for CCFMS time series indicate that variation in wind speed measured by the CCFMS deployed on fractured soil at the SAF site is dominated by diurnal periodicity, whereas atmospheric pressure shows a dominant PSD peak at lower frequency. Atmospheric pressure changes can strongly influence advective flow of soil gas to the atmosphere [e.g., Massmann and Farrier, 1992] via the barometric pumping effect, whereby pressure increase and decrease at the surface will force atmospheric air into the soil and soil air to the atmosphere, respectively. However, our observations show  $CO_2$  flux and atmospheric pressure are poorly correlated on diurnal time scales. The high PSD peak observed for atmospheric pressure at low frequency is consistent with a stronger control of low frequency events such as slow moving weather fronts on atmospheric pressure fluctuations than that of diurnal thermal and gravitational effects.  $CO_2$  flux and atmospheric pressure may therefore show higher correlation over cycles > 24 hours. Longer  $CO_2$  flux and atmospheric pressure time series records are necessary to resolve low frequency atmospheric pressure effects on surface  $CO_2$  flux.

Positive correlation of  $CO_2$  flux with wind speed at  $\sim 0.5$ -hour time lag suggests that  $CO_2$  flux may rapidly respond to changes in wind speed. Wind speed variability may create local fluctuations in air pressure, influencing soil aeration [e.g., Reimer, 1980]. In particular, correlation between wind speed and radon flux from soils has been observed and attributed to wind speed related variations in subsurface pressure gradients [Schery et al., 1984; Schery and Siegel, 1986]. We suggest that elevated wind speed may cause enhanced atmospheric airflow through fractured soil, allowing for air to serve as a carrier gas for soil-respired  $CO_2$ , which results in enhanced surface  $CO_2$  flux. Wind speed itself is poorly correlated with atmospheric pressure and displays a complex

relationship with atmospheric temperature variation. We propose that wind speed may be primarily responsible for the flux spikes observed in the SAF CCFMS time series due to the irregular nature of wind speed variability over diurnal cycles, relative to other more smoothly varying meteorological parameters. This effect on  $CO_2$  flux variability may be enhanced at the SAF fractured soil CCFMS site, relative to the unfractured soil site, as suggested by the greater estimated  $\sigma$  of the fractured soil  $CO_2$  flux times series. In addition, greater  $\sigma$  for SAF and CF grid  $CO_2$  flux data sets, relative to PB and HB data sets, respectively, may also reflect fault and/or fracture enhanced atmospheric airflow through soils.

Although we do not consider the relationship between  $\mathrm{CO}_2$  flux and soil water content here, soil water exerts an important control  $\mathrm{CO}_2$  transport by influencing biological respiration and soil air-filled porosity. Future work should include regular soil water content measurements in conjunction with  $\mathrm{CO}_2$  flux and meteorological parameters.

Researchers have observed that  $CO_2$  occupying soil pore space (expressed as  $[CO_2]$ ) is  $\delta^{13}C$ -enriched relative to that of corresponding  $CO_2$  flux passing through the soil (assumed to equal the  $\delta^{13}C$  of the source organic matter) [e.g., Dörr and Münnich, 1980; Cerling et al., 1991]. This minimum observed  $\delta^{13}C$  enrichment is close to the  $4.4^o/_{oo}$  difference in diffusion coefficients of  $^{12}CO_2$  and  $^{13}CO_2$  and is predicted by diffusion equations [Cerling et al., 1991; Davidson, 1995]. Their results indicate that at a given site, soil  $CO_2$  shows increasing  $\delta^{13}C$  enrichment from depth to the surface, due to admixture of atmospheric air. Our results for SAF grid permanent profile SAFA (Figure 16) are consistent with previous studies [e.g., Cerling et al., 1991], showing that compositions of soil  $CO_2$  lie along a mixing line between atmospheric air and sample

SAFA70, collected at 70 cm depth. However, sample SAFA60, collected at 60 cm depth, is  $\delta^{13}$ C-enriched and has lower [CO<sub>2</sub>] relative to SAFA40, collected at 40 cm depth. We interpret these observations to reflect addition of atmospheric air at 60 cm depth by means of lateral advection (possibly wind-driven) through fractured soil. The estimated maximum per-cent contribution of diffusive to total surface CO<sub>2</sub> flux for profile SAFA at 15:15 on 04-28-00 is 80%, supporting a component of advective flow.

CF3, 1, and 5 samples exhibit  $\delta^{13}$ C depletion with increasing surface flux. We infer that both diffusive and advective  $\mathrm{CO}_2$  transport are important at these CF profile sites. Interestingly, we observe  $4.3^o/_{oo}$  difference between CF3 and 5  $\delta^{13}$ C values, very close to the  $4.4^o/_{oo}$  isotopic fractionation associated with diffusion. These samples suggest two endmembers derived from a similar source organic mass  $\delta^{13}$ C value: a relatively high flux, advection dominated (no isotopic fractionation) site (CF5) and a relatively low flux, diffusion dominated site (CF3).  $\mathrm{CO}_2$  transport in the CF1 column may then be some combination of the two transport mechanisms. This interpretation is supported by the observed trend of decreasing per-cent contribution of diffusive to total surface flux for CF3, 1, and 5 profiles (79, 39, and 15%, respectively). Also, CF1 and 5 [N<sub>2</sub>] suggest greater contribution of  $\mathrm{CO}_2$  derived from sources other than biological respiration than does CF. This  $\mathrm{CO}_2$  may be transported by means of advection through fractured media.

[CO<sub>2</sub>] profile shape may provide information on gas transport in the soil environment at SAF and CF study sites. However, the pattern and behavior of [CO<sub>2</sub>] with soil depth depends on parameters such as CO<sub>2</sub> production rate and distribution, soil physical properties, influence of meteorological parameters on soil gas flow, and whether the profile results from transient or steady-state gas flow. To definitively evaluate mode of

gas transport from [CO<sub>2</sub>] profiles, these parameters must be constrained. Because many of these parameters are poorly known at our sampling sites, we present our preferred interpretations of SAF and CF profiles based on the cumulative body of evidence in this study, but acknowledge that alternative transport models may also explain our profile data.

Category I profiles likely reflect diffusion of soil  $CO_2$  to the atmosphere. Observed break in  $\partial [CO_2]/\partial z$  slope in these profiles (at 20 to 40 cm) may mark the depth of the base of the root zone. Also, we can not rule out a component of advective  $CO_2$  flow in Category I profiles; estimated maximum per-cent diffusive of total surface fluxes for Category I profiles SAF1, SAF3, and CF3 (85, 43, and 79%, respectively) indicate that a component of advective transport is important.

Category II-type [CO<sub>2</sub>] profiles have been previously described in the literature and interpreted to represent a transient state where diffusive transport dominates CO<sub>2</sub> flow [e.g., DeJong and Schappert, 1972; Osozawa and Hasegawa, 1995]. In this case, Category II profiles may occur after periods of heavy rainfall, causing a decrease in air-filled pore space available for CO<sub>2</sub> flow, and/or during the growing season, when biogenic CO<sub>2</sub> production increases. Under these circumstances, CO<sub>2</sub> accumulates in the shallow soil where pathways for gas flow are limited and/or production rate is high, generating a Category II-type profile.

We alternatively suggest that both diffusion and advection contribute to gas flow in Category II profiles at SAF and CF sites.  $[CO_2]$  may be lowered at depth in the soil column due to wind-driven atmospheric airflow through fractures.  $CO_2$  may be transported up through the column as the base of the column is aerated by atmospheric

air. Superimposed on this flow driven by a total pressure gradient is diffusive transport from high to low  $[CO_2]$ . This transport model may be appropriate for our study areas because extensive ground cracking was observed in SAF grid soil that could promote wind-driven air flow through highly permeable soil during profile measurement time. Also, SAF and CF profile categories show no consistent relationship with rain events, soil temperature, observed vegetation density and type, and measurement date (e.g., all three categories were observed at the SAF site on 04-29-00 and 05-03-00). Furthermore, the maximum per-cent of total surface flux that is diffusive estimated for profiles SAF7, SAF5, and CF5 (52, 71, and 15%, respectively) indicates a component of advective  $CO_2$  transport. It should be noted that diffusive flux may also not account for total surface fluxes above other Category II profiles if we account for reduced soil diffusivity due to soil water content in  $F_D$  calculations. We hypothesize that all three profile shapes were observed over single days due to heterogeneous soil structure within the SAF and CF study areas.

The irregular "saw-tooth" pattern observed in Category III profiles may also be explained by contribution of both diffusion and advection to gas flow in soil columns. In this case, relatively low [CO<sub>2</sub>] measured at various profile depths may be generated by lateral atmospheric airflow through soil fractures at those depths. For the reasons presented above for Category II profiles, we favor the diffusion-advection transport model for Category III profiles. In addition, [CO<sub>2</sub>] and  $\delta^{13}$ C values measured for soil gases with depth in permanent profile SAFA (a Category III profile) suggest addition of atmospheric air at the point of [CO<sub>2</sub>] decrease with depth.

Over the measurement period, profile SAFB exhibits Category I behavior; we therefore invoke a similar gas transport model to that presented above for Category I profiles. We attribute observed increase in profile SAFB  $\partial[\text{CO}_2]/\partial z$  from morning to afternoon measurement times (e.g., on 04-13-00, 04-26-00, 04-28-00) to increasing soil CO<sub>2</sub> production as soil temperature rises. Following the heavy rain event (04-16-00 to 04-17-00), it is likely that soil CO<sub>2</sub> production increased (as suggested by doubling of surface CO<sub>2</sub> flux relative to 04-14-00) and air-filled porosity decreased. SAFB profiles measured on 04-18-00 and 04-19-00 show increased profile  $\partial[\text{CO}_2]/\partial z$  in the shallow soil, which may be attributed to one or both of these factors. It is likely that from 04-19-00 to 05-08-00, CO<sub>2</sub> production decreased and/or accumulated CO<sub>2</sub> in the shallow soil was transported to the atmosphere, leading to profile straightening and lower  $\partial[\text{CO}_2]/\partial z$ . It should be recognized that following the rain event SAFB profiles do not display Category II behavior, further supporting our proposed gas flow model for Category II profiles.

We hypothesize that relatively low  $[CO_2]$  measured at 50 to 60 cm in permanent profile SAFA from 04-13-00 to 04-28-00 was due to lateral atmospheric air flow though the soil column at this depth. We also suggest that the change in shape of profile SAFA from 04-28-00 to 05-10-00 may be due to a change in soil permeability structure over this time, with possible fracture closing at 60 cm depth. In this scenario, the low  $[CO_2]$  condition imposed by atmospheric air flow is no longer present, allowing for diffusion of  $CO_2$  to 60 cm depth from above and below in the soil column until profile straightening occurs.

High correlation of measurements of permanent profile SAFB over the ~one month measurement period indicates that a relatively stable process controls the shape

of SAFB profiles. We suggest that this process is soil  $\mathrm{CO}_2$  respiration and that it shows relatively little variation over the measurement period and/or properties of the soil media act to buffer changes in  $[\mathrm{CO}_2]$  due to respiration rate variability. Well-correlated SAFA profiles on time scales up to  $\sim$ five days may also indicate that soil respiration (or a similarly stable process) controls profile shape over relatively short time scales. However, moderate to poor correlation of SAFA profiles at time lag up to one month indicates that additional processes may be acting to control  $[\mathrm{CO}_2]$  variation with depth on these longer time scales. An important process influencing SAFA profile shape is probably change in soil permeability structure (e.g., fracture opening and closing). The different behavior we observe for SAFB and SAFA profiles, located within only 15 m of each other, may reflect heterogeneous soil structure at the SAF study site. Diffusion accounts for only 46 to 92% of surface fluxes above the majority of measured SAFA profiles over time, whereas it accounts entirely for all surface fluxes above permanent profile SAFB. Variability of soil structure (e.g., permeability) may therefore influence the relative importance of diffusive and advective transport mechanisms in the soil column.

#### 2.6.4 Conceptual gas flow model

We summarize our observations of soil CO<sub>2</sub> at SAF and CF study sites with a conceptual model for CO<sub>2</sub> flow through fractured soils (Figure 21). CO<sub>2</sub> may be derived from root respiration (upper 40 cm of soil at SAF and CF sites), heterotrophic bacteria (possibly through the entire soil column), atmospheric air, and, in the case of the CF site, from biogenic source(s) such as seasonal groundwater degassing. Highly permeable tectonic and non-tectonic fractures are hypothesized to focus and enhance fault zone CO<sub>2</sub> flow. Wind, atmospheric and soil temperature, and atmospheric pressure fluctuations may modulate soil diffusive and advective CO<sub>2</sub> transport to the atmosphere by disturbing [CO<sub>2</sub>] and total pressure gradients, respectively. Specifically, temporal effects of meteorological parameters on soil CO<sub>2</sub> flow at the SAF (and possibly the CF) site include influence of diurnal variations in atmospheric (and therefore soil) temperature on soil CO<sub>2</sub> production rate and diurnal wind speed variations on soil atmosphere total pressure gradients. In particular, wind-driven atmospheric airflow though fractures may act to "flush" soil CO<sub>2</sub> through fractures, enhancing magnitude and variability of surface CO<sub>2</sub> flux. Although we did not collect soil water content data, we infer that soil water influences CO<sub>2</sub> production rate and air-filled pore space, therefore modulating CO<sub>2</sub> transport to the atmosphere. Total pressure gradients are most sensitive to variability of meteorological parameters in highly permeable fractured soils. We therefore suggest that the greater spatial and temporal variability of surface CO<sub>2</sub> fluxes observed at SAF and CF study areas, relative to corresponding background areas, is due to more highly fractured (tectonic and/or non-tectonic) soils at the SAF and CF sites.

Our generalized model does not specifically distinguish between tectonic and nontectonic fracture density and distribution, nor does it require that tectonic fractures reach the soil surface at our study sites. Based on our observations of spatial distribution of surface  $CO_2$  flux at the SAF site, high permeability/diffusivity NE-trending fault-related fractures may exist close to the surface separate from, or connected to, non-tectonic soil fractures. At the CF site, high surface fluxes and evidence of advective biogenic  $CO_2$  flow may be related to subsurface fracturing. Since no clear trend in fracture orientation is evident in our surface flux observations, tectonic fractures may not reach the soil surface due to soil/sediment overburden.

## 2.7 Conclusions

Conclusions drawn from the above observations and discussion include:

- 1. We find that the areal distribution of soil  $CO_2$  flux is complex and highly variable at and between our study sites. Regions of enhanced flux appear to derive from subsurface fracturing; however, areal trends may not consistently reflect regional tectonics and soil  $CO_2$  is of shallow, biogenic origin.
- 2. Typical soil gas flux and concentration surveys along transects or grids on active faults may be inaccurately interpreted unless the pattern of temporal fluctuations and scale of spatial heterogeneity are considered.
- 3. Both diffusion and advection are important modes of soil CO<sub>2</sub> transport to the atmosphere at SAF and CF study sites, the relative importance of which varies spatially within study areas and temporally at individual locations.
- 4. Surface wind, not barometric pumping, seems to be the main factor driving advective soil gas flow over diurnal cycles at study sites. Greater spatial and temporal variability of surface CO<sub>2</sub> fluxes observed at SAF and CF sites, relative to corresponding background areas, is likely due to wind-driven atmospheric airflow through more highly fractured soils at SAF and CF sites.
- 5. To better characterize the relative importance of specific physical processes and soil properties in soil gas transport in fractured terrain, it is necessary to conduct

additional field studies, supplemented by laboratory and numerical experiments in simple systems where the number of variables influencing gas transport can be controlled.

Table 2. Mean  $(\mu)$  and standard deviation  $(\sigma)$  of SAF, PB, CF, and HB CO<sub>2</sub> flux populations.  $\mu$  and  $\sigma$  were calculated for log-transformed and non-transformed data.

flux grid	log-	transformed	non-transformed				
	$\mu$	$\sigma$	$\mu$	$\sigma$			
	$(g m^{-2} d^{-1})$						
SAF	19	11	19	11			
PB	13	8	13	8			
$\operatorname{CF}$	55	23	56	29			
$_{ m HB}$	na	na	55	15			

SAFA10, 20, 40, 60, and 70 samples were collected from 10, 20, 40, 60, and 70 cm depth, respectively, in permanent [CO<sub>2</sub>] profile Table 3. Chemical and isotopic compositions of Parkfield and Hollister soil gases. CF, SAFA, SAF, and PB refer to soil gas With the exception of SAF12, CF and SAF samples were collected from 50 cm depth in CF and SAF [CO<sub>2</sub>] profiles, respectively. samples collected from CF grid, SAF grid permanent [CO<sub>2</sub>] profile SAFA, SAF grid, and Parkfield background areas, respectively. SAFA. Distance refers to distance from fault trace. Gas concentrations are reported on a water-free basis.

Sample	Distance	$CO_2$ flux	$[\mathrm{CO}_2]$	$[O_2]$	$[N_2]$	[Ar]	$\delta^{13} { m C}_{CO2}$	$\Delta^{14}{}_{CO2}$
		$({\rm g \ m^{-2} \ d^{-1}})$		(vol. %)	(vol. $\%$ )	(vol. %)	(o/o)	(o/o)
CF1	15 m W	111		19.2	77.3	0.931	-19.88	81.8
CF3	17.5  m E	84		19.4	77.5	0.945	-17.22	92.3
m CF5	$12.5~\mathrm{m}~\mathrm{E}$	428		19.4	77.3	0.919	-21.52	84.9
SAFA10	$45~\mathrm{m}~\mathrm{NE}$	18		21.0	77.9	0.924	-16.84	nd.
SAFA20	$45~\mathrm{m}~\mathrm{NE}$	18		20.7	77.9	0.936	-21.35	nd.
SAFA40	$45~\mathrm{m}~\mathrm{NE}$	18		20.5	77.8	0.930	-22.55	nd.
SAFA60	$45~\mathrm{m}~\mathrm{NE}$	18		20.7	78.0	0.924	-21.66	nd.
SAFA70	$45~\mathrm{m}~\mathrm{NE}$	18		20.2	77.8	0.940	-22.87	75.5
SAF3	5  m SW	34		20.2	77.7	0.920	-23.22	93.3
SAF10	20  m SW	25	0.868	20.4	77.9	0.929	-23.25	nd.
SAF12	$55~\mathrm{m}$ NE	20		21.0	78.0	0.934	-16.42	nd.
SAF8	$80 \mathrm{\ m\ NE}$	14		20.5	77.9	0.924	-21.45	nd.
PB1	background	6		20.3	6.77	0.926	-20.49	94.4
PB2	background	6		20.5	77.8	0.935	-20.14	nd.
PB3	background	18		20.6	78.0	0.922	-19.03	nd.
PBatm	atmospheric air	na		21.0	78.0	0.927	-8.29	nd.

Table 4. CF and SAF grid [CO $_2$ ] profile measurement date and time, distance from fault trace (Distance), soil temperature, and corresponding surface CO $_2$  flux.

	_		-	<u> </u>	_
Profile	Date	Time	Distance	Soil Temp.	Surface flux
				$^{o}\mathrm{C}$	$(g m^{-2} d^{-1})$
CF1	04-21-00	11:26	15 m W	16.8	111
CF2	04 - 21 - 00	17:30	$42.5~\mathrm{m}~\mathrm{W}$	18.0	60
CF3	04 - 22 - 00	12:29	$17.5 \mathrm{\ m\ W}$	16.0	84
CF4	04 - 22 - 00	13:35	$50 \mathrm{m} \mathrm{E}$	16.8	50
CF5	04 - 22 - 00	15:20	$2.5~\mathrm{m}~\mathrm{E}$	17.7	428
SAF1	04-29-00	13:15	$20~\mathrm{m~SW}$	15.2	43
SAF2	05 - 03 - 00	09:30	5  m NE	20.2	10
SAF3	05 - 03 - 00	13:00	5  m SW	24.3	34
SAF4	03-20-00	09:40	$45~\mathrm{m}~\mathrm{NE}$	14.0	27
SAF5	03-20-00	10:10	50  m NE	14.0	50
SAF6	04-29-00	09:25	30  m NE	17.8	13
SAF7	05 - 03 - 00	10:26	55  m NE	21.6	53
SAF8	03-20-00	10:35	80  m NE	10.0	14
SAF9	04-29-00	12:45	on fault	18.3	41
SAF10	05-03-00	14:10	$20~\mathrm{m~SW}$	22.0	25
SAF11	04-29-00	08:11	$50~\mathrm{m~SW}$	14.6	12

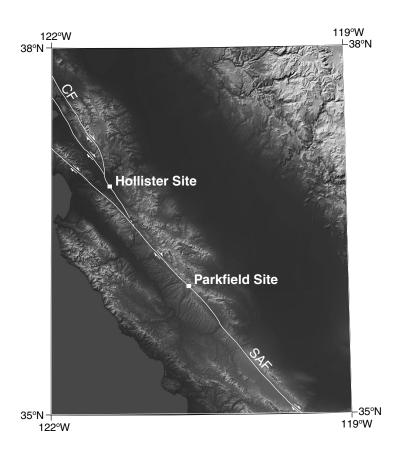


Fig. 8. Shaded relief map of central California showing study site locations along the San Andreas fault (SAF) in Parkfield and Calaveras fault (CF) in Hollister.

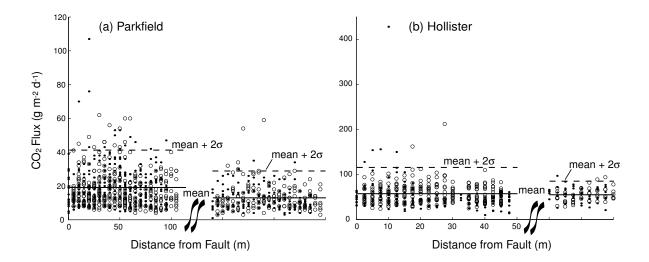
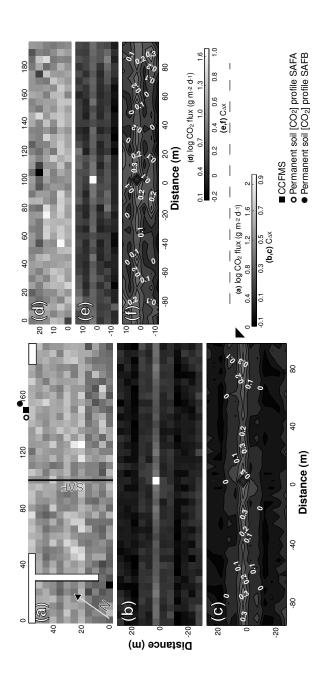


Fig. 9. (a) Plot of  $CO_2$  flux versus distance from the SAF fault trace for SAF (left side of break in x-axis) and PB (right side of break in x-axis) grids. PB grid is located ~1.5 km northeast of the SAF grid. SAF grid black dots and open circles are measurements made on northeast and southwest sides of fault trace, respectively. PB grid symbols represent measurements made on either side of a line drawn though the middle of grid. Solid and dashed horizontal lines represent the mean,  $\mu$ , and  $\mu + 2\sigma$ , respectively, of SAF and PB grid populations calculated for non-transformed data. (b) Plot of  $CO_2$  flux versus distance from CF fault trace for CF (left side of break in x-axis) and HB (right side of break in x-axis) grids. The HB grid is located ~2.5 km southeast of HF grid. CF grid black dots and open circles are measurements made on east and west sides of fault trace, respectively. HB grid symbols represent measurements made on either side of a line drawn though the middle of grid. Solid and dashed horizontal lines represent  $\mu$  and  $\mu+2\sigma$ , respectively, of CF and HB grid populations calculated for non-transformed data.



areas show where flux was not measured. (b) Image map of SAF grid CO<sub>2</sub> flux  $C_{\Delta X}$ . Each block represents a  $C_{\Delta X}$  value (Equation 2.2). (c) Map contoured for CO<sub>2</sub> flux  $C_{\Delta X}$ . (d) Image map of PB grid surface CO<sub>2</sub> flux. (e) Image map of PB grid CO<sub>2</sub> flux  $C_{\Delta X}$ . Fig. 10. (a) Image map of SAF grid surface CO<sub>2</sub> flux. Each 5x5 m block represents an flux measurement. White outlined

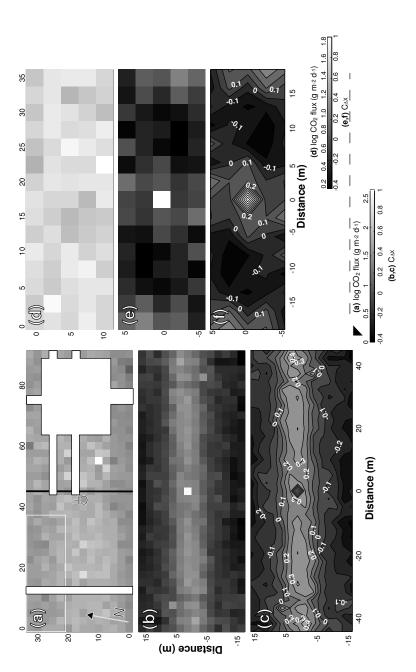


Fig. 11. (a) Image map of CF grid surface CO<sub>2</sub> flux. White outlined areas show where flux was not measured. The white rectangle in the northwest corner of the map represents the HB grid spatial scale. (b) Image map of CF grid CO<sub>2</sub> flux  $C_{\Delta X}$ . (c) Map contoured for CO<sub>2</sub> flux  $C_{\Delta X}$ . (d) Image map of HB grid surface CO<sub>2</sub> flux. (e) Image map of HB grid CO<sub>2</sub> flux  $C_{\Delta X}$ . (f) Map contoured for CO<sub>2</sub> flux  $C_{\Delta X}$ .

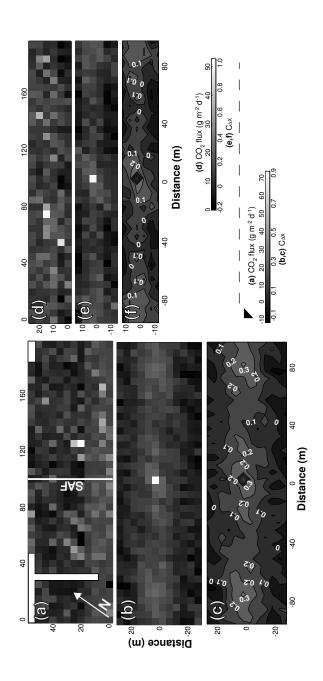


Fig. 12. (a) Image map of SAF grid time-adjusted surface CO<sub>2</sub> flux (see Figure 10a). (b) Image map of SAF grid time-adjusted CO<sub>2</sub> flux  $C_{\Delta X}$ . (c) Map contoured for time-adjusted CO<sub>2</sub> flux  $C_{\Delta X}$ . (d) Image map of PB grid time-adjusted CO<sub>2</sub> flux  $C_{\Delta X}$ . (f) Map contoured for time-adjusted CO<sub>2</sub> flux  $C_{\Delta X}$ .

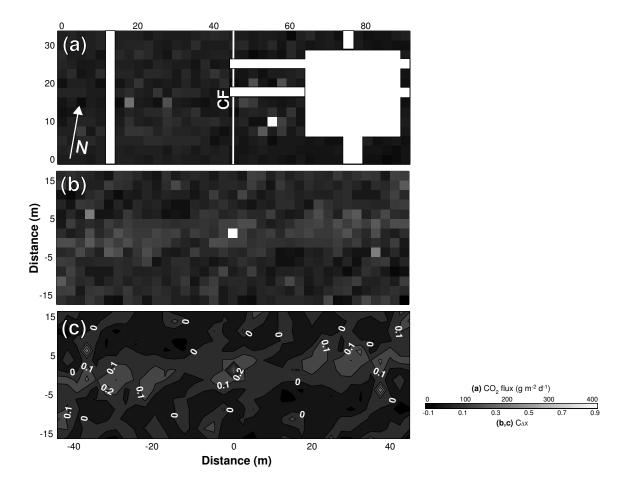


Fig. 13. (a) Image map of CF grid time-adjusted surface  $CO_2$  flux (see Figure 11a). (b) Image map of CF grid time-adjusted  $CO_2$  flux  $C_{\Delta X}$ . (c) Map contoured for time-adjusted  $CO_2$  flux  $C_{\Delta X}$ .

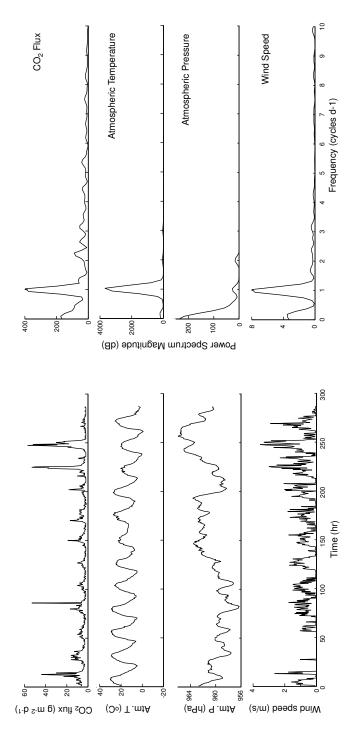


Fig. 14. Time series and power spectra for CCFMS CO<sub>2</sub> flux, atmospheric temperature and pressure, and wind speed measurements at the SAF fractured soil site.

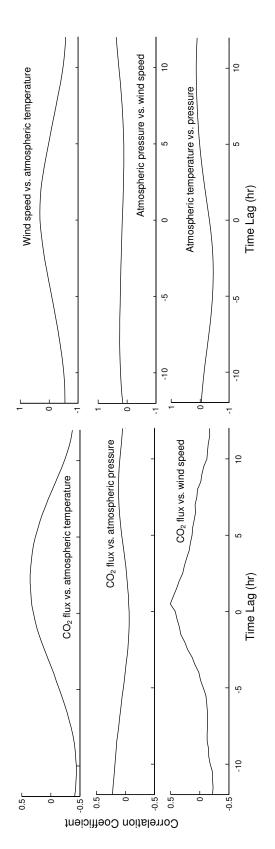


Fig. 15. Plots of correlation coefficients as a function of time lag for CCFMS  $\rm CO_2$  flux and meteorological parameter measurements at the SAF fractured soil site.

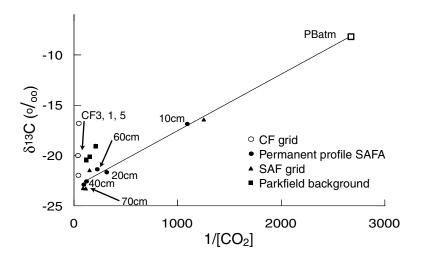


Fig. 16.  $\delta^{13}\mathrm{C}$  versus  $1/[\mathrm{CO}_2]$  for soil gases collected from SAF and CF grids and Parkfield background area. Permanent  $[\mathrm{CO}_2]$  profile SAFA samples were collected from 10 to 70 cm depth on 04-28-00 at 15:15 and lie on a mixing line between the compositions of Parkfield atmospheric air (PBatm) and the soil gas collected at 70 cm depth.

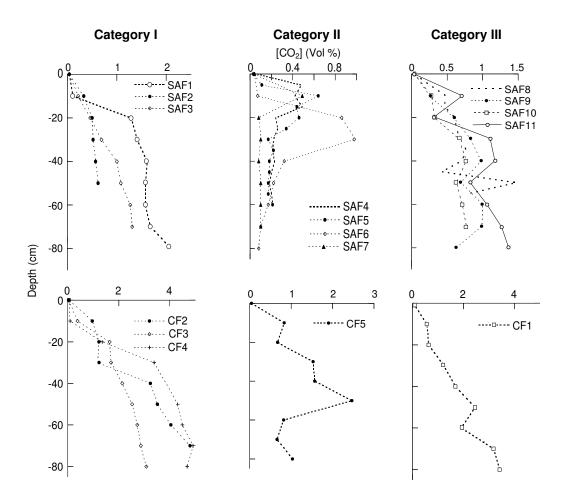


Fig. 17. Soil [CO<sub>2</sub>] profiles for SAF and CF survey sites (see Table 4 for profile location, date, time, soil temperature, and corresponding surface  $CO_2$  flux). Category I profiles show [CO<sub>2</sub>] increase with depth. Category II profiles exhibit [CO<sub>2</sub>] increase followed by decrease with depth. Category III profiles exhibit a "saw-tooth" pattern with repeated increase and decrease in [CO<sub>2</sub>] with depth.

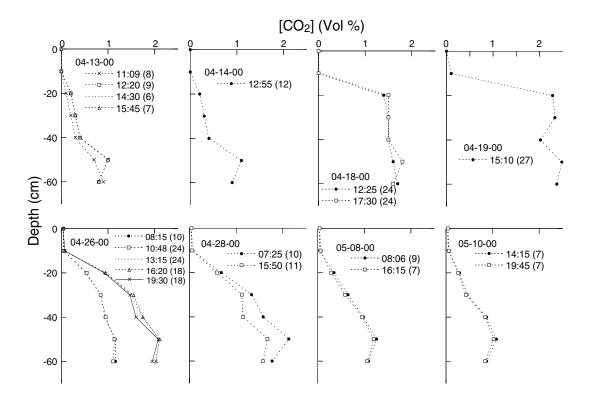


Fig. 18. Temporal variability (04-13-00 to 05-10-00) of permanent soil [CO<sub>2</sub>] profile SAFB. Measurement times are shown and corresponding surface CO<sub>2</sub> fluxes, in g m<sup>-2</sup>d<sup>-1</sup>, are in parentheses.

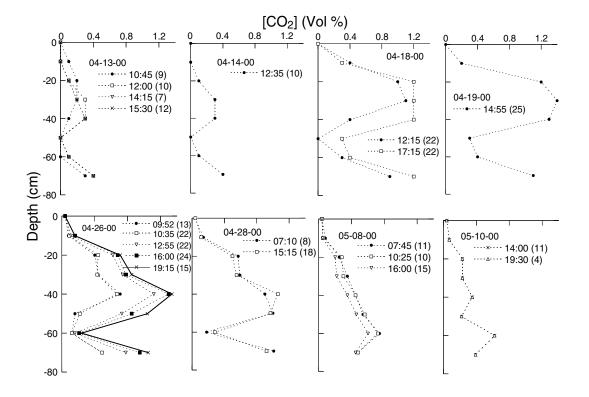


Fig. 19. Temporal variability (04-13-00 to 05-10-00) of permanent soil [CO<sub>2</sub>] profile SAFA. Measurement times are shown and corresponding surface CO<sub>2</sub> fluxes, in g m<sup>-2</sup>d<sup>-1</sup>, are in parentheses.  $\delta^{13}$ C values were measured for soil CO<sub>2</sub> collected from 10 to 70 cm depth at 15:15 on 04-28-00 (see Figure 16).

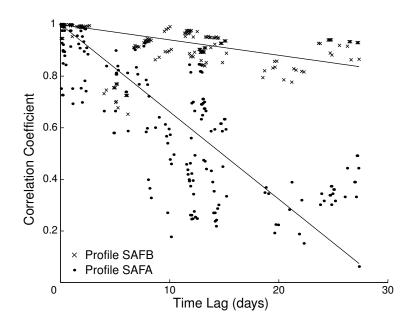


Fig. 20. Plot of correlation coefficients as a function of time lag for permanent soil  $[CO_2]$  profiles SAFB and SAFA. For best-fit lines to SAFB and SAFA profile data, correlation is constrained to be one at zero time lag.

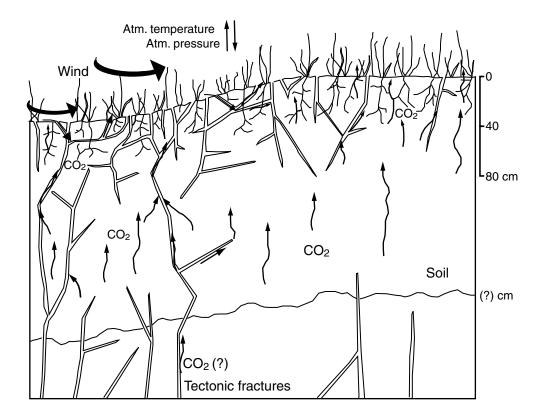


Fig. 21. Schematic of gas flow in fractured terrain showing movement of soil  $\mathrm{CO}_2$  to the atmosphere.  $\mathrm{CO}_2$  may be derived from root respiration (upper 40 cm at SAF and CF sites), heterotrophic bacteria (through the entire soil column), and, in the case of the CF site, possibly from seasonal groundwater degassing. Highly permeable fractures (tectonic and non-tectonic) are hypothesized to focus and enhance  $\mathrm{CO}_2$  flow. Wind, atmospheric and soil temperature, and atmospheric pressure fluctuations may modulate soil diffusive and advective  $\mathrm{CO}_2$  transport to the atmosphere by disturbing  $[\mathrm{CO}_2]$  and total pressure gradients, respectively. In particular, wind-driven atmospheric air flow though fractures is proposed to act as a carrier gas for soil  $\mathrm{CO}_2$ , enhancing surface  $\mathrm{CO}_2$  flux. Soil depth is unknown at SAF and CF sites. Fracture width and density are not to scale.

# Chapter 3

# Soil $CO_2$ Flow in Fractured Terrain II: Modeling Advective and Diffusive Transport of $CO_2$ and Air Through Soils

# 3.1 Abstract

We tested the response of soil gas transport processes and resulting concentrations as a function of soil depth (referred to hereafter as soil concentration profiles) to changing soil physical properties, biological respiration rates, and boundary conditions using one-dimensional finite difference models of diffusive  $CO_2$  flow and advective-diffusive  $\mathrm{CO}_2$  and air flow. When transport is purely diffusive, the shape of  $\mathrm{CO}_2$  concentration ([CO<sub>2</sub>]) profiles is sensitive to soil CO<sub>2</sub> production rates, CO<sub>2</sub> flux at the base of the soil column, and soil diffusivity. When advective and diffusive transport of  $CO_2$  and air are considered, transport processes operating through the soil column and the geometry of gas concentration profiles are most sensitive to the magnitude and chemical composition of the basal gas flux. These factors are also sensitive to soil diffusivity and permeability; however, when soil permeabilities are large, concentration profile shape is relatively insensitive to changes in permeability. Relative to other model parameters, the processes driving CO<sub>2</sub> transport are less sensitive to soil CO<sub>2</sub> production rate; however, the shape of concentration profiles is highly sensitive to this parameter. The contribution of advective to total  $CO_2$  flow across the soil/air interface is most sensitive to basal gas flux and soil diffusivity; at high basal gas fluxes and low soil diffusivities, advective surface  $\mathrm{CO}_2$  flux is important. Where basal gas fluxes with chemical compositions close to that of the atmosphere are maintained, transport of air through the soil column is dominantly advective. In general, the time required for conditions in [CO<sub>2</sub>] and air concentration ([air]) profiles to approximate steady state decreases as the relative advective contribution to flow increases. Because air transport through most soil columns is mainly advective and CO<sub>2</sub> transport is some combination of advection and diffusion, most [air] profiles reach steady state in less time than [CO<sub>2</sub>] profiles. Results suggest that small magnitude basal gas fluxes (relative to surface wind speeds) can produce total pressure gradients sufficient to drive advective gas flow through soil columns. Therefore, interpretations of soil gas data collected in faulted/fractured terrain should consider the effects of wind-driven airflow through soils on gas transport and resulting soil gas concentration profiles and surface fluxes.

#### 3.2 Introduction

The measurement of soil gas surface fluxes and concentration profiles can be used to evaluate soil gas transport processes in faulted/fractured environments [e.g., Schery et al., 1984; Etiope, 1999; Rogie et al., 2001; Lewicki et al., in review]. In turn, understanding of these processes is important to a variety of geologic and environmental problems. For example, many seismotectonic studies have focussed attention on the measurement of anomalously high soil gas concentrations and fluxes within fault zones, as these anomalies may reflect focussed gas flow from depth to the atmosphere along highly permeable faults and fractures [e.g., King, 1986; Toutain and Baubron, 1999; Lewicki and Brantley, 2000, and references therein]. However, interpretation of these field data may

be complicated by the range of factors that influence gas transport to the atmosphere including soil physical properties and meteorological and biological parameters, and their spatial and temporal variability, which are often poorly constrained in natural systems. As a result, the relative contributions of different transport mechanisms controlling soil gas flow to the atmosphere in fractured terrain are largely unknown.

Lewicki et al. [in review] presented the results of soil CO<sub>2</sub> surveys conducted along the San Andreas fault (SAF) in Parkfield, CA, and the Calaveras fault (CF) in Hollister, CA. They examined how soil CO<sub>2</sub> transport processes, and the resulting soil [CO<sub>2</sub>] profiles and surface fluxes were affected by pervasively fractured soil and temporal variation of meteorological parameters. Lewicki et al. [in review] measured  $CO_2$  flux within grids with portable instrumentation, and continuously at a fixed station with meteorological parameters, in both faulted and unfaulted background areas. In addition, they measured soil [CO<sub>2</sub>] profiles at multiple sites within SAF and CF grids (Figure 22) and repeatedly over time at two locations at the SAF grid. Lewicki et al. [in review] found that the areal distribution of soil CO<sub>2</sub> flux was highly variable in space and time at their study sites and regions of enhanced flux appeared to be related to subsurface fracturing on small spatial scales. Soil [CO<sub>2</sub>] profiles indicated a surprisingly high component of pressure-driven (advective)  $\mathrm{CO}_2$  flow in soil columns (accounting for up to 85% of total surface fluxes) that varied spatially within study areas and temporally at individual locations. Interestingly, time series of surface  ${\rm CO}_2$  fluxes and meteorological parameters showed CO<sub>2</sub> fluxes to be positively correlated with wind speed, suggesting that wind may strongly influence this pressure-driven soil  $CO_2$  flow. Based on these field observations, Lewicki et al. [in review] proposed a conceptual model for soil gas flow at their study sites (Figure 23), in which biogenic CO<sub>2</sub> flow is focused in highly permeable tectonic and non-tectonic fractures and modulated by temporal fluctuations in meteorological parameters. However, their work also illustrated the complexity of gas flow in fractured soils and the need to characterize the relative importance of specific physical processes and soil properties in this transport with numerical experiments where the number of variables influencing gas transport could be controlled.

We use one-dimensional steady state finite difference models of diffusive CO<sub>2</sub> flow and combined advective-diffusive two-component  $(CO_2$  and air) flow to develop a fundamental understanding of the conditions under which diffusive and/or advective flow may be important agents of gas transport in the soil. We explore the effects of different soil physical properties, biological production rates, and boundary conditions on the relative importance of the gas transport mechanisms under a range of physically diverse conditions. In addition, we study the impact of these parameters on  $[CO_2]$ profile geometry. In this way, we test the feasibility of Lewicki et al. [in review]'s basic interpretations by determining if their different field observations (e.g., surface CO<sub>2</sub> fluxes, [CO<sub>2</sub>] profiles, time-series of both, and isotopic measurements) are internally consistent and support a significant component of advective gas flow through the soil column. In addition, our results provide a guide for future soil gas field studies in faulted/fractured terrain to test the importance of advective flow and provide a basis for the development of multi-dimensional models that may be used to gain insight into the nature of soil gas flow in complex heterogeneous porous media typical of faulted/fractured areas.

# 3.3 Gas Transport Models

Extensive field, laboratory, and numerical work has been conducted to investigate unsaturated zone gas transport and the models developed for the study of this transport have varied in complexity, data requirements, and usefulness (see, e.g., Thorstenson and Pollock [1989], Scanlon et al. [1999] for reviews of this work). In our study, we use steady state, one-dimensional diffusive and advective-diffusive transport models to investigate gas flow in fractured media. Our one-dimensional modeling approach approximates gas flow in a soil column that has little or no lateral flow, and so all gas introduced at the base of or produced within the soil column may be only transported up or down. This simple model permits us to examine the basic properties of gas flow and the sensitivity of this flow to changing system parameters under conditions that limit the number of varying factors that may potentially influence transport processes. Also, measured soil  $[CO_2]$ profiles [Lewicki et al., in review] are themselves one-dimensional data and therefore provide limited information about lateral flow that may result from spatial variation in the soil system parameters. Our use of steady state models prevents us from considering the temporal development of gas concentrations and fluxes in soil profiles; however, it allows us to neglect the initial conditions in soil gas concentration profiles, which may be difficult to infer in natural soils. Also, it permits us to understand the state toward which all transient concentration profiles, fluxes, and transport mechanisms will evolve.

## 3.3.1 General formulation

#### 3.3.1.1 Diffusive transport

The first soil gas transport process we consider is molecular diffusion. This transport occurs when equimolar pairs of gases (i, j) counterdiffuse to reduce their concentration gradients. Fick's law can be used to predict the molar diffusive flux of gas i ( $Q_i^D$ , mol  $L^{-2}t^{-1}$ ) resulting from molecular diffusion of i in j in an isothermal and isobaric system [e.g.,  $Bird\ et\ al.$ , 1960] (see Table 5 for all parameter notation):

$$Q_i^{\ D} = -D_i^{\ *} \frac{\partial C_i}{\partial z} \tag{3.1}$$

where  $D_i^*$  is the diffusion coefficient for i in soil ( $L^2t^{-1}$ ),  $C_i$  is the molar density of i in soil air (mol  $L^{-3}$ ) and z is soil depth (L). According to Fick's law, the diffusive flux of gas i is proportional to its concentration gradient in the soil and  $D_i^*$ .  $D_i^*$  varies with the binary diffusion coefficient for gas i in j at a given soil temperature ( $D_{i-j}, L^2t^{-1}$ ), soil air-filled porosity  $(n_a)$ , and soil tortuosity  $(\tau)$ :

$$D_i^* = D_{i-j} n_a \tau \tag{3.2}$$

When the total flux of gas i across a unit area  $(Q_i^T, \text{ mol } L^{-2}t^{-1})$  in the soil increases along a flow path from point z to point  $z + \partial z$ , the concentration of i  $(C_i)$  must decrease, as more gas leaves the volume than enters. Conversely, when the flux decreases, the concentration must increase as gas accumulates in the volume. In addition, production of gas i in the soil column will increase  $C_i$ . Therefore, the mass conservation

law requires that the temporal change in  $C_i$  ( $\partial C_i/\partial t$ ) is equal to the production rate of i in the soil column ( $\phi$ , mol L<sup>-3</sup>t<sup>-1</sup>) minus the spatial change in  $Q_i^T$  ( $\partial Q_i^T/\partial z$ ):

$$\frac{\partial C_i}{\partial t} = -\frac{\partial Q_i^T}{\partial z} + \phi \tag{3.3}$$

When i is only transported by molecular diffusion,  $Q_i^T = Q_i^D$  and we may combine Equations 3.1 and 3.3 to express the rate of change in i as a function of the second spatial derivative of i:

$$\frac{\partial C_i}{\partial t} = D_i^* \frac{\partial^2 C_i}{\partial z^2} + \phi \tag{3.4}$$

Equation 3.4 shows that the rate of change of  $C_i$  ( $\partial C_i/\partial t$ ) is proportional to the curvature of the concentration profile ( $\partial^2 C_i/\partial z^2$ ). Over time, molecular diffusion acts to minimize the curvature of the concentration profile. When boundary conditions and internal production are fixed for a long period of time, the system will reach a steady state condition, where the spatial changes in the diffusive fluxes in the soil column will be balanced by the production of gas in the column and the rate of change in concentration at all points will equal zero:

$$\frac{\partial C_i}{\partial t} = 0 = D_i^* \frac{\partial^2 C_i}{\partial z^2} + \phi \tag{3.5}$$

Equation 3.5 can be integrated with respect to time to solve for steady-state  $C_i(z)$  soil profiles.

## 3.3.1.2 Advective-diffusive transport

If a total pressure gradient  $(\partial P/\partial z, \text{ M L}^{-2}\text{t}^{-2})$  exists in the soil as as result of external forces (e.g., fluctuations in barometric pressure, wind, or soil temperature), advective gas flow from high to low total pressure will occur [e.g., Massmann and Farrier, 1992; Holford et al., 1993]. The total pressure gradient is the driving force of advective gas flow and studies have shown that the presence of small total pressure gradients in the soil may produce advective fluxes that overwhelm diffusive fluxes [e.g., Thorstenson and Pollock, 1989]. The advective flux of gas i is proportional to its partial pressure in the bulk gas  $(P_i, \text{ M L}^{-1}\text{t}^{-2})$  and the soil intrinsic permeability  $(B_k, \text{L}^2)$  and inversely proportional to its viscosity  $(\mu, \text{ M L}^{-1}\text{t}^{-1})$ . If both partial and total pressure gradients exist in the soil, combined advective and diffusive gas transport will result and may be described by the advection-diffusion equation [e.g., Sleep and Skyes, 1989; Abriola et al., 1992]:

$$(RT)Q_i^T = -D_i^* \frac{\partial P_i}{\partial z} - \frac{B_k P_i}{\mu} \frac{\partial P}{\partial z}$$
(3.6)

where R is the ideal gas constant (M L<sup>2</sup>t<sup>-2</sup>T<sup>-1</sup>mol<sup>-1</sup>), T is temperature, and  $D_i^*$  is the diffusion coefficient (L<sup>2</sup>t<sup>-1</sup>) of the i<sup>th</sup> component. Equation 3.6 states that the total flux of species i is the sum of the diffusive (left term) and advective (right term) gas flows. In a two-component (i,j) gas mixture, i and j will flow from points of high to low P. As  $P = P_i + P_j$ , the total pressure gradient explicitly links the flow of the two components. Superimposed on this advective gas flow is counterdiffusion of components i and j from points of high to low partial pressures. If the partial and total pressure

gradients are in opposite directions, the net magnitude and direction of the flux of a given gas component will depend on the relative magnitudes of these partial and total pressure-driven flows.

The mass conservation law can be expressed as in Equation 3.3 if the bulk gas is assumed incompressible (constant mass density). Using this assumption, we combine Equations 3.6 and 3.3 to yield:

$$\frac{\partial C_i}{\partial t} = \frac{\partial}{\partial z} \left[ \frac{-D_i^* \frac{\partial P_i}{\partial z} - \frac{B_k P_i}{\mu} \frac{\partial P}{\partial z}}{RT} \right] + \phi \tag{3.7}$$

Equation 3.7 relates the relative contributions of diffusive and advective gas flow of component i through the soil column to the system initial and boundary conditions, soil  $\phi$ , and time evolution of the gas flow. When the system reaches steady state,  $\partial C_i/\partial t = 0$  and at any given point in the soil column, the sum of the diffusive and advective fluxes of i will equal the sum of the production rate of i integrated over the soil column below that point and the flux of i applied to the base of the soil column. We use equations 3.3, 3.6, and 3.7 to model two-component advective-diffusive gas transport.

#### 3.3.2 Solution methods

#### 3.3.2.1 Analytical solution for steady state diffusion model

In the case of diffusive transport of  $CO_2$ , concentrations and fluxes within the soil column through time depend on the initial profile  $CO_2$  concentrations (at t=0), soil  $\phi$ , the  $[CO_2]$  at the soil surface  $(C_{CO2}^{\ \ \ \ \ \ \ \ \ \ \ \ \ )$ , and the  $CO_2$  flux entering the base of the soil column  $(F_{CO2}^{\ \ \ \ \ \ \ \ \ \ \ \ \ \ )$ . Under steady state conditions, Equation 3.5 (written for diffusion of

We solve Equation 3.5 for the case where  $CO_2$  is produced in the soil at constant rates  $\phi_1$  and  $\phi_2$  through soil column layers with thickness  $L_1$  and  $L_2$ , respectively (Figure 24a), where

$$L = L_1 + L_2 (3.8)$$

and the following boundary conditions exist

$$atz = 0, C_{CO2} = C_{CO2}^{o} (3.9)$$

$$atz = -L, Q_{CO2}^{D} = F_{CO2}^{B} (3.10)$$

We solve for  $Q_{CO2}^{\ \ D}$  as a function of z by piecewise integration of the mass conservation equation (Equation 3.3) from -L to  $-L_1$  and from  $-L_1$  to the soil surface. To determine  $Q_{CO2}^{\ \ D}(z)$  in the lower soil layer, we integrate Equation 3.3, letting  $\phi = \phi_2$ , and solve for the constant of integration by requiring the flux at -L to equal  $F_{CO2}^{\ \ B}$  (Equation 3.10):

$$Q_{CO2,2}^{D}(z) = \phi_2 z + \phi_2 (L_1 + L_2) + F_{CO2}^{B}$$
(3.11)

To find  $Q_{CO2}^{\ \ D}(z)$  in the upper soil layer, we first integrate Equation 3.3, letting  $\phi = \phi_1$ . We then merge the top and bottom layers of the model by requiring the flux leaving the top of the bottom layer to equal that entering the bottom of the top layer:

$$Q_{CO2,1}^{D}(z) = \phi_1(z + L_1) + \phi_2 L_2 + F_{CO2}^{B}$$
(3.12)

Next, we solve for  $C_{CO2}(z)$  in the upper soil layer using Fick's law (Equation 3.1) by integrating, implementing the upper boundary condition (Equation 3.9), and combining this with the expression for the steady state flux (Equation 3.12):

$$C_{CO2,1}(z) = -\frac{z}{D_i^*} \left[ \frac{\phi_1}{2} z + \phi_1 L_1 + \phi_2 L_2 + F_{CO2}^B \right] + C_{CO2}^o$$
 (3.13)

We then find  $C_{CO2}(z)$  in the lower soil layer by substituting Equation 3.11 into Fick's law (Equation 3.1) and integrating:

$$C_{CO2,2}(z) = -\frac{z}{D_i^*} \left[ \frac{\phi_2}{2} z + \phi_2(L_1 + L_2) + F_{CO2}^B \right] + c_4$$
 (3.14)

We solve for the constant of integration  $(c_4)$  by requiring continuity of the concentrations within the profile  $(C_{CO2,2} = C_{CO2,1})$  at  $z = -L_1$  and then substituting into Equation 3.14:

$$C_{CO2,2}(z) = -\frac{z}{D_i^*} \left[ \frac{\phi_2}{2} z + \phi_2(L_1 + L_2) + F_{CO2}^B \right] + \frac{L_1}{D_i^*} \left[ \frac{\phi_1 L_1}{2} - \frac{\phi_2 L_1}{2} \right] + C_{CO2}^o$$
(3.15)

Equations 3.13 and 3.15 are used to calculate steady state soil [CO<sub>2</sub>] profiles for cases where  $\phi_1$  and  $\phi_2$  are constant within each soil layer,  $F_{CO2}^{\phantom{CO2}B}$  is constant, and  $C_{CO2}^{\phantom{CO2}o}$  is fixed at the soil surface.

# 3.3.2.2 Numerical solution of steady state advection-diffusion and transient diffusion and advection-diffusion models

In the case of combined advective and diffusive gas transport, one-dimensional equations (Equations 3.3, 3.6, and 3.7) for  $CO_2$  and air are solved simultaneously by a forward finite difference adaptive time integration algorithm to yield  $C_{air}(z)$  and  $C_{CO2}(z)$ . In this scheme, equations are differentiated with respect to space along even intervals in the model domain according to

$$\frac{\Delta A}{\Delta z} \left[ z + \left( \frac{\Delta z}{2} \right) \right] \simeq \frac{\left[ A(z + \Delta z) - A(z) \right]}{\Delta z} \tag{3.16}$$

[Shampine and Gordon, 1975] where  $A=C_i$  or  $Q_i$ . Equations are integrated with respect to time depending on the rate of change of the solution at a given time. An explicit Runge-Kutta solution method is used to ensure model stability and accurate

solutions. The  $C_{air}$  and  $C_{CO2}$  at the soil surface  $(C_{air}^{\phantom{air}o})$  and  $C_{CO2}^{\phantom{air}o}$ , respectively) were fixed to atmospheric values (Figure 24b). At the base of the one m thick soil column, constant molar fluxes of air  $(F_{air}^{\phantom{air}b})$  and  $CO_2$   $(F_{CO2}^{\phantom{air}b})$  were maintained. Initial model conditions were specified as  $C_{air}$  and  $C_{CO2}$  equal to atmospheric values at each point in the column. Soil  $CO_2$  was produced at constant rates  $\phi_1$  and  $\phi_2$  through soil column layers with thicknesses  $L_1$  and  $L_2$ , respectively.

Equations 3.3, 3.6, and 3.7 are solved for  $C_{air}(z)$  and  $C_{CO2}(z)$  in several steps. The model domain space is divided into n nodes with initial condition  $C_i$  values at nodes. First, nodes are spatially differentiated and n-1 fluxes between nodes are calculated according to Equation 3.6. The lower boundary condition is set to  $F_i^{\ B}$ .  $C_i^{\ o}$  is maintained at the model surface by setting the surface flux of gas i equal to the flux directly below the upper (surface) node, yielding n+1 fluxes in the model domain space. Next, fluxes are differentiated in space to determine  $\partial C_i/\partial t$  (Equation 3.3) and  $\phi$  is added to these values at each time step. Third, we integrate Equation 3.7 with respect to time to find the change of  $C_i$  and determine  $C_{air}(z)$  and  $C_{CO2}(z)$ . Equations 3.3, 3.6, and 3.7 are solved for  $10^{10}$  s (317.1 y) of model time to ensure steady state conditions.

To estimate the amount of time required for diffusion and advection-diffusion models to reach steady state, the average rate of change of mass of species i  $(\partial M_i/\partial t)$  for model domains as a function of model run time is calculated. At evenly spaced time intervals during each model run, the difference between  $M_i$  at time t and  $M_i$  at time  $t + \partial t$   $(\partial M_i)$  is calculated at each node in the model domain and the root-mean-squared  $\partial M_i$  value is determined and normalized by  $\partial t$ . This value is used to gauge how quickly the model solution, and hence, the gas flow, changes in time. When  $\partial M_i/\partial t$  values

are relatively high, concentrations and fluxes within the soil column reflect both the boundary and initial pressures in the column at t=0, whereas when values are relatively low (i.e., approach zero) steady state conditions are likely approximated. We define the model response time as the model run time at which  $\partial M_{CO2}/\partial t = 10^{-10}~g~s^{-1}$ .

#### 3.3.3 Model Parameters

Parameters used in diffusion and advection-diffusion gas flow models are given in units of g, cm, and s in Tables 6 and 7. For reference, the molecular weights of CO<sub>2</sub> and air used in calculations are shown in Table 6. The temperature of the gas system in all cases was 25°C. In the case of two-component (air and CO<sub>2</sub>) advection-diffusion models, air was treated as a gas mixture of 78% N<sub>2</sub>, 21% O<sub>2</sub>, and 1% Ar, by volume. The total, partial air, and partial CO<sub>2</sub> surface (atmospheric) pressures were held constant at 1,013,250 g cm<sup>-1</sup>s<sup>-2</sup> (approximately 1 atm), 1,012,845 g cm<sup>-1</sup>s<sup>-2</sup>, and 405 g cm<sup>-1</sup>s<sup>-2</sup>, respectively. Soil  $B_k$  and  $D_i^*$ ,  $\phi$ ,  $F_{CO2}^{\phantom{CO2}B}$ ,  $F_{air}^{\phantom{air}B}$ , and [CO<sub>2</sub>] of the total basal gas flux  $(F_T^{\phantom{T}B})$  were varied over low, medium, and high values. Soil  $D_i^*$  values were varied from 0.00306 to 0.07662 cm<sup>2</sup>s<sup>-1</sup> and correspond to  $n_a\tau$  values from 0.02 to 0.5  $(D_{CO2-air}=0.15323 \text{ cm}^2\text{s}^{-1}$  at 25°C, Marrero and Mason [1972]), the range most frequently quoted in the literature for air-dry soils [e.g., Glinski and Stepniewski, 1985]. Soil  $B_k$  was varied from 10<sup>-13</sup> to 10<sup>-4</sup> cm<sup>2</sup>. These values encompass the  $B_k$  range of most natural soils/sediments (i.e., from unweathered marine clay to gravel, Freeze and Cherry [1979]).

We varied  $F_{CO2}^{\phantom{CO2}B}$  and  $F_{air}^{\phantom{air}B}$  simultaneously from low to high values, fixing [CO<sub>2</sub>] of the air-CO<sub>2</sub> gas mixture to 0.04 vol.%. This simulates airflow through a soil

fracture with a top that ends at the base of our soil column. The mass flux of air through fractured soils at the SAF and CF study sites is unknown. We therefore varied  $F_T^{\ B}$  over a range of values that may contribute a reasonable corresponding range in  $F_{CO2}^{\ B}$  (2.31x10<sup>-10</sup> to 2.31x10<sup>-8</sup> g cm<sup>-2</sup>s<sup>-1</sup>), when a gas with [CO<sub>2</sub>] = 0.04 vol.% flows into the bottom of the soil column. Assuming a density of dry air at 25°C equal to 1.19x10<sup>-3</sup> g cm<sup>-3</sup>, these  $F_T^{\ B}$  values correspond to wind speeds of 3.20x10<sup>-4</sup> to 3.20x10<sup>-2</sup> cm s<sup>-1</sup>. For reference, the mean wind speed measured by  $Lewicki\ et\ al.$  [in review] over a one month period (sample frequency equal to one sample every 30 minutes) at the SAF site was 66.8 cm s<sup>-1</sup>, significantly higher than the values we use in models. The [CO<sub>2</sub>] of  $F_T^{\ B}$  was then varied from 0.04 to 1.0 vol.%, similar to the range of [CO<sub>2</sub>] values measured by  $Lewicki\ et\ al.$  [in review] at the base of [CO<sub>2</sub>] profiles at the SAF and CF sites (Figure 22), while fixing  $F_{CO2}^{\ B}$  to 2.31E-09 g cm<sup>-2</sup>s<sup>-1</sup>.

Soil  $\phi$  was varied over a one-m thick soil column in five cases: 1)  $\phi_1$  for  $L_1=20$  cm and  $\phi_2=0$ , with  $F_T{}^B; 2$ )  $\phi_1$  for  $L_1=40$  cm and  $\phi_2=0$ , with  $F_T{}^B; 3$ )  $\phi_1$  for  $L_1=40$  cm and  $\phi_2$  for  $L_2=60$  cm at one third the rate of  $\phi_1$ , with  $F_T{}^B; 4$ )  $\phi_1$  for  $L_1=20$  cm and  $\phi_2=0$ , without  $F_T{}^B; 5$ )  $\phi_1$  for  $L_1=40$  cm and  $\phi_2$  for  $L_2=60$  cm at one third the rate of  $\phi_1$ , without  $F_T{}^B$ . In each of these cases, the total  $CO_2$  flux resulting from soil  $\phi$  integrated over the soil column ranged from  $1.50 \times 10^{-8}$  to  $6.37 \times 10^{-8}$  g cm $^{-2}$ s $^{-1}$ . The low and high  $CO_2$  flux values correspond to the means of the  $CO_2$  flux populations measured by  $Lewicki\ et\ al.$  [in review] within grids at off-fault background study sites in Parkfield and in Hollister, CA, respectively. Soil  $\phi$  values are unknown at  $Lewicki\ et\ al.$  [in review]'s study sites and are likely variable in space and time. We therefore use a range of  $\phi$  values in models that, when integrated over a 1 m

thick soil column, equal the lowest (Parkfield) and highest (Hollister) mean  $\rm CO_2$  fluxes of background study site populations.

We examine the influence of each of the system parameters on steady state [CO<sub>2</sub>] and [air] profile shapes and relative contribution of advective versus diffusive gas flux through the soil column. Therefore, as each parameter was varied, all other parameters were held constant at their medium values (with the exception of the set of models in which [CO<sub>2</sub>] of  $F_T^{\ B}$  was maintained at its low value (0.04 vol.%) as  $B_k$ ,  $D_i^{\ *}$ ,  $\phi$ , and  $F_T^{\ B}$  were varied).

In diffusion-only models, total surface and soil column pressure were held constant at  $1,013,250~{\rm g~cm^{-1}s^{-2}}$  and  ${\rm CO_2}$  partial pressure at the surface was held constant at  $405~{\rm g~cm^{-1}s^{-2}}$ . Soil  $D_i^*$  was varied as described for advection-diffusion models with  $\phi_1$  ( $L_1=40~{\rm cm}$ ) constant at its medium value,  $\phi_2=0$ , and no  $F_{CO2}^{\phantom{CO2}B}$ . Soil  $\phi$  was varied in the following cases with soil  $D_i^*$  held at its medium value without  $F_{CO2}^{\phantom{CO2}B}$ : 1)  $\phi_1$  for  $L_1=20~{\rm cm}$  and  $\phi_2=0$ ; 2)  $\phi_1$  for  $L_1=40~{\rm cm}$  and  $\phi_2=0$ ; 3)  $\phi_1$  for  $L_1=40~{\rm cm}$  and  $\phi_2$  for  $L_2=60~{\rm cm}$  (one third the rate of  $\phi_1$ ). In each of these cases,  $\phi$  was varied over the range described for advection-diffusion models.  $F_{CO2}^{\phantom{CO2}B}$  was varied (without air) over the same range as in advection-diffusion models, first without soil  ${\rm CO_2}$  production and then with constant  $\phi_1$  ( $L_1=40~{\rm cm}$ ) fixed at its medium value.

#### 3.4 Results

# 3.4.1 Diffusion-only models

We examine the influence of each of the system parameters on steady state soil  $[CO_2]$  profile geometry when  $CO_2$  is transported through the soil column by molecular diffusion in air (Figure 25). At steady state, at any given point in the soil column, the  $[CO_2]$  gradient must be such that all  $CO_2$  produced below that point in the soil column is removed by upward diffusion. Soil  $\phi$  produces profile curvature, whereas  $F_{CO2}^{\phantom{CO2}B}$  controls profile slope. In all steady state  $[CO_2]$  profiles modeled,  $[CO_2]$  increases or remains constant with depth. Maximum  $[CO_2]$  in soil columns ranges from approximately 0.05 to 4.5 vol.%, except in the case of low soil  $D_i^{\phantom{i}*}$  (Figure 25a), where  $[CO_2]$  reaches approximately 15 vol.%.

Figure 25a shows the effect of decreasing  $D_i^*$ . The surface  $\mathrm{CO}_2$  flux in each of these cases is the same; therefore, the near-surface  $[\mathrm{CO}_2]$  gradient increases as  $D_i^*$  decreases. In Figures 25b-d, we explore the effects of changing production rate and production zone geometry. As  $\phi$  increases, profile curvature increases. However, the overall geometry of profiles varies depending on the thickness of the soil layer(s) in which  $\phi$  occurs; decreasing  $\phi$  over larger zones (to retain constant surface flux) decreases profile curvature. Figure 25e demonstrates the effect of varying  $F_{CO2}^{\phantom{CO2}B}$  with  $\phi=0$ ; the slope of the linear profile increases with increasing  $F_{CO2}^{\phantom{CO2}B}$ . Likewise, when there is production in the column (constant  $\phi$ ) (Figure 25f), overall profile slope increases with increasing basal flux.

Response times of all diffusion models (Figures 26 and 27) are within approximately 1.8 days, except in the case of low soil  $D_i^*$  (Figure 26a), which has a response time of approximately 11.6 days. This time decreases with increasing soil  $D_i^*$  and increases with increasing  $\phi$ , thickness of the soil layer(s) in which  $\phi$  occurs, and  $F_{CO2}^{\phantom{CO2}B}$ .

#### 3.4.2 Advection-diffusion models

In advection-diffusion models where  $F_T^{\ B}$  of sufficient magnitude is maintained to produce total pressure gradients, advective gas flow occurs. Also, large  $F_{air}^{\ B}$  relative to  $F_{CO2}^{\ B}$  applied in models favors advective transport of  $CO_2$  within the airflow upward through the soil column. Where  $CO_2$  is dominantly carried by air in the column,  $[CO_2]$  remains close to a value determined by the ratio of the basal mass fluxes of the two components. As this air/ $CO_2$  mixture rises through the  $CO_2$  production zone,  $[CO_2]$  increases as contribution of  $CO_2$  to the bulk flow increases. As the air/ $CO_2$  flow approaches the surface, juxtaposition of elevated  $[CO_2]$  in the production zone with atmospheric  $[CO_2]$  results in steep near-surface  $[CO_2]$  gradients. As these gradients steepen, the contribution of diffusive transport to the  $CO_2$  flow increases.

From these relationships, we expect the following general trends in  $CO_2$  transport processes throughout the steady state column: 1) Near the base of the soil column, due to large the contribution of air relative to  $CO_2$  to the total gas flow,  $CO_2$  is carried advectively upward by airflow.  $[CO_2]$  and [air] remain relatively constant as the surface is approached. 2) Within the production zone,  $[CO_2]$  rises. This increased  $[CO_2]$  towards the surface results in downward  $CO_2$  diffusion. However, because the magnitude of the upward advective  $CO_2$  flux is greater than that of the downward diffusive flux, the net

 $CO_2$  flux is upward. 3) As the surface is approached, steep near-surface  $[CO_2]$  gradients favor upward diffusion of  $CO_2$ . This causes the relative contribution of advective to total  $CO_2$  flow to decrease near the surface. Contrary to  $CO_2$ , we expect transport of air to be dominantly advective upward throughout the column when significant  $F_T^B$  is maintained. However, when no air is allowed to leave or enter the bottom of the column, any diffusive air transport due to  $CO_2$  production within the column must be balanced by an advective air flux of equal magnitude, but in the opposite direction. Finally, the changes in total pressure in soil columns are very small compared to the average total pressure in columns [Lewicki et al., 2001]; therefore, a change in  $P_i$  in a given direction in the soil column is approximately balanced by a change in  $P_j$  in the opposite direction.

#### 3.4.2.1 Effect of soil diffusivity

Figures 28a and b show the effect of soil  $D_i^*$  on the geometry of [CO<sub>2</sub>] and [air] profiles. With increase in  $D_i^*$ , concentration profiles show decrease in curvature. Maximum [CO<sub>2</sub>] values in profiles decrease with increasing soil  $D_i^*$  (from approximately 0.6 to 0.3 vol.%). Figures 28c, e, and g demonstrate the influence of soil  $D_i^*$  on the relative magnitudes of advective and diffusive  $CO_2$  fluxes through the soil column. At low  $D_i^*$  (Figure 28c), advective and diffusive surface  $CO_2$  fluxes are approximately equal. From the bottom of the column to near the surface,  $CO_2$  flux is dominantly advective in the upward direction. Increasing  $D_i^*$  increases the size of the diffusion-dominated upper section of the  $[CO_2]$  profile. At medium and high  $D_i^*$ , the surface  $CO_2$  flux is dominantly diffusive and the magnitude of diffusive  $CO_2$  fluxes through the zone of  $CO_2$  production increase, relative to the case of low  $D_i^*$ .

## 3.4.2.2 Effect of soil permeability

Figures 29a and b demonstrate that the curvature of  $[CO_2]$  and [air] profiles increases with  $B_k$ . Varying  $B_k$  from low to medium and medium to high values results in large and small changes in profile geometry, respectively. Maximum  $[CO_2]$  in profiles increases with soil  $B_k$  (from approximately 0.15 to 0.4 vol.%). Figures 28c, e, and g show that  $CO_2$  transport across the soil/air interface remains dominantly diffusive. Advective transport dominates  $CO_2$  flow through most of the soil column when  $B_k$  is medium or high. At low  $B_k$  (Figure 28c), simultaneous advective upward and diffusive downward flow is observed; however, near the surface, both mechanisms control gas flow to the surface. Although  $[CO_2]$  gradients are largely reduced through the soil column at low  $B_k$ , the relative contribution of diffusive to total  $CO_2$  flow increases. Relative magnitudes of advective and diffusive air fluxes through the soil column are largely insensitive to soil  $B_k$  (Figures 28d, f, and h).

## 3.4.2.3 Effect of basal gas flux

Figures 30a and b indicate that [CO<sub>2</sub>] and [air] profile geometry is sensitive to  $F_{CO2}^{\phantom{CO2}B}$ ; with increasing  $F_{CO2}^{\phantom{CO2}B}$ , profile curvature generally decreases. Maximum [CO<sub>2</sub>] values observed in profiles decrease with increasing  $F_{CO2}^{\phantom{CO2}B}$  (from approximately 1.0 to 0.1 vol%). Figures 30c, e, and g show that  $F_{CO2}^{\phantom{CO2}B}$  strongly affects transport of CO<sub>2</sub> across the soil/air interface; low and high  $F_{CO2}^{\phantom{CO2}B}$  result in dominantly diffusive and advective surface fluxes, respectively. This change in transport persists into the upper soil column as increasing  $F_{CO2}^{\phantom{CO2}B}$  creates sufficiently large total pressure gradients to drive advective flow at the expense of diffusive flow. Air flux through the soil column is

dominantly advective upward over the range of  $F_{CO2}^{\phantom{CO2}B}$  values modeled (Figures 30d, f, and h). However, at low  $F_{CO2}^{\phantom{CO2}B}$ , relatively small diffusive fluxes of air are observed in the soil column that are balanced by advective air fluxes in the opposite direction.

The effect of changing chemical composition of  $F_T^{\ B}$  is shown in Figure 30. Increasing [CO<sub>2</sub>] in  $F_T^{\ B}$  decreases [CO<sub>2</sub>] and [air] gradients in the lower part of the column while increasing them in the upper part. Maximum [CO<sub>2</sub>] values increase with [CO<sub>2</sub>] of  $F_T^{\ B}$  (from approximately 0.4 to 1.3 vol.%). Figures 31c, e, and g demonstrate that CO<sub>2</sub> fluxes across the soil/air interface and within the upper part of the column are relatively insensitive to change in [CO<sub>2</sub>] of  $F_T^{\ B}$ ; the surface CO<sub>2</sub> flux is dominantly diffusive over the range of values modeled. As the magnitude of this upward diffusive CO<sub>2</sub> transport increases with increasing [CO<sub>2</sub>] of the basal gas flux, so does the magnitude of the downward counterdiffusive flux of air into the soil.

# 3.4.2.4 Effect of soil $CO_2$ production

Figures 32 and 33 show the effect of varying  $\phi_1$  for  $L_1$ =20 cm and for  $L_1$ =40 cm, respectively. Figure 34 shows the effect of varying  $\phi_1$  for  $L_1$ =40 cm with  $\phi_2$  for  $L_2$ =60 cm. In general, the curvature of concentration profiles within the production zone increases with increasing  $\phi$ . In addition, increasing  $\phi$  causes a small decrease in the contribution of diffusive to total surface  $CO_2$  fluxes (Figures 32, 33, and 34c, e, and g). However,  $[CO_2]$  gradients created by the range of  $\phi$  values modeled remain large enough to maintain dominantly diffusive surface  $CO_2$  fluxes. Also, the relative contributions of advective and diffusive to total  $CO_2$  fluxes through the soil column are not strongly influenced by changing  $\phi$  at any given thickness of the soil layer(s) in which production

occurs. Distribution of  $CO_2$  production over progressively larger areas while fixing the surface flux decreases profile curvature. Maximum [ $CO_2$ ] values observed in profiles increase with  $\phi$ . Air fluxes through the soil column are dominantly advective upwards over the range of  $\phi$  magnitudes and spatial distributions modeled (Figures 32, 33, and 34d, f, and h).

Figure 35 shows the effect of varying  $\phi_1$  for  $L_1$ =40 cm when  $F_T^{\phantom{T}B}=0$ . Figure 36 shows the effect of varying  $\phi_1$  for  $L_1$ =40 cm with  $\phi_2$  for  $L_2$ =60 cm when  $F_T^{\phantom{T}B}=0$ . [CO<sub>2</sub>] increases or remains constant with depth in all profiles. Also, profile curvature increases with increasing  $\phi$ . For all cases, CO<sub>2</sub> flux is dominantly diffusive in the upward direction and decreases with depth to zero at the base of the soil layers(s) where CO<sub>2</sub> is produced (Figures 35 and 36 c, e, and g). Both profile geometry and fluxes are similar to those of purely diffusive CO<sub>2</sub> transport (Figures 25c and d). Finally, downward diffusion of air into the soil is balanced by upward advection of air to the soil surface. Our results indicate that at medium soil  $D_i^{\phantom{T}*}$  and  $B_k$  values, modeled  $\phi$  values are unable to produce total pressure gradients sufficient to drive significant advective CO<sub>2</sub> flow without  $F_T^{\phantom{T}B}$ .

#### 3.4.2.5 Effect of model parameters on response time

Figures 37-39 show average  $\partial M_i/\partial t$  values for advection-diffusion model domains plotted as a function of model run time. These plots are used to estimate the length of time required for model conditions to approximate steady state. All advection-diffusion models equilibrate within 4.6 days. In advection-diffusion models with  $F_T^{\ B}$ , [air] profiles have lower response times than [CO<sub>2</sub>] profiles. Without  $F_T^{\ B}$ , both [air] and [CO<sub>2</sub>] profiles have comparable response times to diffusion model [CO<sub>2</sub>] profiles determined for

equivalent system parameters. Overall, the time required for [air] and [CO<sub>2</sub>] profiles to reach steady state will depend on the relative contributions of advective and diffusive to total transport of these gases in soil columns. Because air transport is dominantly advective when  $F_T^{\ B}$  is present and CO<sub>2</sub> transport is some combination of advection and diffusion, [air] profiles generally reach steady state in significantly shorter periods of time than [CO<sub>2</sub>] profiles.

Figure 37 shows that with increasing soil  $D_i^*$ , [CO<sub>2</sub>] profile response time decreases to a small extent (from approximately 4 to 3 hours).  $B_k$  has little effect on [CO<sub>2</sub>] profile response time when medium to high values are used (approximately 3 hours); however, at low soil  $B_k$ , this time increases to approximately 4.6 days (Figure 37). With increasing  $F_T^{\ B}$  (Figure 37), [CO<sub>2</sub>] profile response time decreases significantly (from approximately 7 hours to 17 minutes), whereas increasing [CO<sub>2</sub>] in  $F_T^{\ B}$  increases the response time (from approximately 3 to 14 hours). Figures 38 and 39 demonstrate that for any given soil layer thickness in which  $\phi$  occurs, profile response time increases with increasing  $\phi$ . Also, response time increases with thickness of the soil layer(s) in which  $\phi$  occurs.

# 3.5 Discussion

#### 3.5.1 Comparison of model results to field observations

We compare our results for steady state diffusion and advection-diffusion models to soil gas data collected by *Lewicki et al.* [in review] along the San Andreas and Calaveras faults, CA (Figure 22). However, to make close comparisons between model

results and field observations, it is necessary to know soil physical properties and boundary conditions at field sites. These parameters were largely unknown at the SAF and CF study sites and were suggested to vary in both space and time [Lewicki et al., in review]. Therefore, we attempt to constrain system parameters to values which may be appropriate for study sites and discuss the conditions under which field observations are consistent with models. Where consistencies exist, we are able to make inferences about gas flow processes operating in soil columns at the SAF and CF sites.

Lewicki et al. [in review] estimated that maximum  $D_i^*$  values for SAF and CF site soils range from 0.03616 to 0.05369 cm<sup>2</sup>s<sup>-1</sup>, based on measured soil total porosities and temperatures. These values fall between medium and high soil  $D_i^*$  values used in models and provide an upper limit to soil diffusivities that we consider in this discussion. Soil texture at the SAF site was silty clay loam [Cook, 1978] which we expect to have a  $B_k$  value within the range of  $10^{-12}$  to  $10^{-8}$  cm<sup>2</sup> [e.g., Terzaghi and Peck, 1968]. However, extensive ground cracking was observed at study sites [Lewicki et al., in review]. The effect of increasing soil fracture density has been shown to have a similar effect on gas flow to increasing soil  $B_k$  [e.g., Holford et al., 1993]; therefore, we consider soil  $B_k$  to be largely unconstrained at study sites and assess the full the range of soil  $B_k$  values modeled. As described above,  $F_T^{\ B}$  and soil  $\phi$  were modeled over ranges assumed to be reasonable for the SAF and CF study sites and so we consider these full ranges in comparisons of field and model results.

Measured Category I-type profiles (Figure 22) show an increase in  $[CO_2]$  with soil depth and were interpreted by  $Lewicki\ et\ al.$  [in review] to reflect diffusion of soil  $CO_2$  to the atmosphere. Estimated contribution of diffusive to total surface  $CO_2$  fluxes suggest

that in some cases (SAF2, CF2, and CF4 profiles), diffusion may account entirely for surface fluxes above profiles, whereas in other cases (SAF1, SAF3, and CF3 profiles), a component of advective CO<sub>2</sub> transport across the soil/air interface may be important. The general shape of Category I profiles and the maximum  $[CO_2]$  values measured in these profiles compare well with both steady state diffusion-only models where  $\phi$  was held constant within two soil layers without  $F_{CO2}^{\phantom{CO2}B}$  (Figure 25d) and where  $F_{CO2}^{\phantom{CO2}B}$ was maintained with constant  $\phi$  in the upper soil layer (Figure 25f). These results suggest that for soil properties and boundary conditions considered reasonable for the SAF and CF study sites, steady state diffusive soil CO<sub>2</sub> flow may account entirely for some observed  $[CO_2]$  profiles and corresponding surface  $CO_2$  fluxes. However, for those Category I profiles where a component of advective gas transport is suggested to be important (i.e., SAF1, SAF3, and CF3), alternative gas flow models must be invoked. Most advection-diffusion models where advective CO<sub>2</sub> flow occurs within soil columns (i.e., where  ${\cal F}_T^{\ B}$  is maintained) are not consistent with the overall geometry of SAF1, SAF3, and CF3 profiles (Figures 22 and 28-34). One model that might be invoked to explain these profiles is the presence of a basal gas flux with relatively high [CO<sub>2</sub>] (e.g., 1-2 vol.%) to maintain relatively high [CO<sub>2</sub>] at the base of the soil column, but also with greater total magnitude than we modeled, to generate total pressure gradients sufficient to drive near-surface advective  $CO_2$  flow.

Category II profiles display an increase, then decrease in [CO<sub>2</sub>] with soil depth. Estimated contribution of diffusive to total surface CO<sub>2</sub> fluxes suggest that in some profiles (SAF5, SAF7, and CF5), advective CO<sub>2</sub> transport across the soil/air interface is important, whereas in others (SAF4 and SAF6), diffusive transport may account entirely

for surface  $CO_2$  fluxes. Our steady state diffusion-only models cannot account for the overall shape of Category II profiles. However, Category II-type profiles have been observed in soils after periods of heavy rainfall and/or during the growing season [e.g.,  $DeJong\ and\ Schappert$ , 1972;  $Osozawa\ and\ Hasegawa$ , 1995] and interpreted to represent transient diffusive  $CO_2$  flow. In this case, decreased air-filled pore space available for  $CO_2$  flow and/or increased soil  $\phi$  may cause  $CO_2$  accumulation in the shallow soil, generating a Category II-type profile. While this interpretation may explain some data sets well, evidence of advective surface  $CO_2$  fluxes above many SAF and CF profiles [ $Lewicki\ et\ al.$ , in review] indicate that an alternative gas transport model is required for these sites. Also, the shapes of SAF and CF profiles showed no consistent relationship with rainfall events, soil temperature, observed vegetation density and type, and measurement date [ $Lewicki\ et\ al.$ , in review].

Lewicki et al. [in review] inferred relatively low [CO<sub>2</sub>] at depth in these profiles to be related to wind-driven atmospheric airflow through fractured soils. In this scenario, atmospheric air would flow from the soil surface through soil fracture networks that intersect the base of profiles, creating a  $F_T^B$ . The shape of most measured Category II profiles (as well as the maximum [CO<sub>2</sub>] values in these profiles) are consistent with our advection-diffusion model results where soils have moderate to high  $B_k$  values and  $F_T^B$  with relatively low [CO<sub>2</sub>] (0.04-0.10 vol.%) is maintained. The magnitude of  $F_T^B$  was demonstrated to strongly influence the magnitude of the advective surface CO<sub>2</sub> flux. Therefore, in Category II profiles where diffusion accounts entirely for surface fluxes above profiles,  $F_T^B$  ranging from the low to medium values used in models are reasonable. In these situations, advective transport of CO<sub>2</sub> upward through most of the soil

column is important, but diffusive transport dominates across the soil/air interface. If higher  $F_T^{\ B}$  is maintained, advective CO<sub>2</sub> transport across the soil/air interface becomes important, consistent with SAF5, SAF7, and CF5 profiles. In all  $F_T^{\ B}$  cases, air transport is dominantly advective upward through the entire soil column. Maximum [CO<sub>2</sub>] values measured in and at the base of the CF5 profile are higher than those observed in other Category II profiles and most advection-diffusion models with  $F_T^{\ B}$ . Also, the surface CO<sub>2</sub> flux above CF5 is approximately an order of magnitude greater than those measured above other Category II profiles. One explanation for these observations, as well as the large advective component of surface CO<sub>2</sub> flux above this profile, may be the combined effects of higher soil  $\phi$  in the CF5 column and higher  $F_T^{\ B}$ .

Category III profiles show a more complicated pattern of repeated increase and decrease in  $[CO_2]$  with soil depth. As observed for Category I and II profiles, maximum estimated per-cent diffusive of total surface  $CO_2$  fluxes above these profiles suggest that in some profiles advective  $CO_2$  transport across the soil/air interface is important, whereas in others, this flux is entirely diffusive. The general shape of these profiles is not consistent with our steady state diffusion model results. Lewicki et al. [in review] suggested that lateral airflow through fractures at depth may account for relatively low observed  $[CO_2]$  values in these soil columns. Also, stable carbon isotopic compositions of soil  $CO_2$  were determined in the SAF12 profile (Figure 22) and suggest addition of atmospheric air (perhaps wind-driven) at -60 cm depth where  $[CO_2]$  is relatively low  $[Lewicki\ et\ al.$ , in review]. Although a multi-dimensional model is required to fully examine lateral airflow in soil columns, our models approximate this airflow by considering a fracture that ends at the base of our Category II-type modeled profiles and originates

at the soil surface. We may therefore treat the upper portion of Category III profiles, where [CO<sub>2</sub>] increases, then decreases with soil depth, as Category II profiles. As a result, the same basic features of gas flow we observe in our Category II-type models may apply to the upper sections of measured Category III profiles.

Lewicki et al. [in review]'s interpretations of measured [CO<sub>2</sub>] profiles required that steady state gas flow was approximated in soil columns. To assess the temporal variation of [CO<sub>2</sub>] profiles, they repeated measurements of two profiles (a Category I profile where diffusion accounted entirely for associated surface CO<sub>2</sub> fluxes and a Category III profile where advective CO<sub>2</sub> flow across the soil/air interface was important) over a one month period. Lewicki et al. [in review] found that the general shape of both profiles was remarkably stable over the measurement period. Also, repeated measurements of the Category I and III profiles were spatially well correlated on temporal scales up to one month and approximately 15 days, respectively. These observations suggested steady state conditions in those soil columns. Our results show that the response times of all diffusion models are within approximately 11.6 days; however, disregarding the case of low soil  $D_i^*$ , model response times are within 1.8 days. Response times of all advection-diffusion models where  $F_T^B$  is maintained are within approximately 4.6 days. We therefore conclude that Lewicki et al. [in review]'s assumption of steady state conditions in soil columns was reasonable.

#### 3.5.2 Implications for fault zone soil gas studies

Our results show that  $F_T^{\ B}$  of small magnitude (relative to surface wind speeds) can produce total pressure gradients sufficient to drive advective gas flow through soil

columns. Also, relative to total atmospheric pressure, these pressure gradients in the soil are themselves small. These results agree with previous field, laboratory, and numerical studies [e.g., Thorstenson and Pollock, 1989; Massmann and Farrier, 1992] indicating that very small total pressure gradients can produce advective gas fluxes in soils that overwhelm diffusive fluxes. It is therefore important for soil gas studies to consider the possible effects of wind-driven airflow through faulted/fractured soils (as well as other shallow processes) on gas transport and resulting soil gas concentration profiles and surface fluxes. The effects of these shallow processes on soil gas flow must be understood in order to meaningfully interpret fault zone soil gas flux and concentration measurements.

CO<sub>2</sub> fluxes measured by the accumulation chamber method must consider the influence of advective gas (air and CO<sub>2</sub>) transport across the soil/air interface on the flux measurement [Evans et al., 2001]. Evans et al. [2001] demonstrated in laboratory experiments that when an accumulation chamber placed on the soil surface encounters upward advective gas flow, the chamber can disturb the pressure gradient, causing the gas flow to be diverted around the chamber. The authors proposed that this gas flow diversion may be responsible for systematic underestimation of surface CO<sub>2</sub> fluxes measured by the accumulation chamber method. While Evans et al. [2001] focussed their investigation on gas transport in high CO<sub>2</sub> emission volcanic-metamorphic environments, the results of our study indicate that similar transport processes may affect CO<sub>2</sub> flux measurements in areas where CO<sub>2</sub> derives mainly from soil respiration.

Our model results show that gas transport processes through soils are sensitive to both soil physical properties and boundary conditions over the range of values we modeled. In particular, the magnitude and chemical composition of  $F_T^{\phantom{T}B}$  strongly influence both the dominant transport mechanisms through soil and the geometry of gas concentration profiles. Also, gas transport and profile geometry were shown to be sensitive to soil  $D_i^*$  and  $B_k$ . Relative to varying other model parameters, the contributions of advective and diffusive  $CO_2$  flow through soil columns seemed to be less sensitive to varying soil  $\phi$ ; however, for any given  $\phi$ , the thickness of the soil layer(s) in which  $\phi$ occurs influences these relative contributions. The wide range of gas flow behavior observed over parameter values that may vary temporally and spatially in natural systems [Lewicki et al., in review] indicates that field data must be collected to constrain model parameters contemporaneously with soil gas measurements in two to three dimensions. We recommend [CO<sub>2</sub>] and total gas pressure measurements within three-dimensional arrays in the soil, along with corresponding surface  $\mathrm{CO}_2$  fluxes above each  $[\mathrm{CO}_2]/\mathrm{total}$ pressure profile. In addition, multi-dimensional measurements should be made of soil water content, total porosity, and texture to constrain  $D_i^*$ . Soil crack densities and air permeabilities as a function these densities should also be measured. Furthermore, the influence of surface wind speed and direction on soil total pressure gradients should be established to better understand the relationship between wind and advective gas flow through fractured soils. With these constrained parameters, meaningful tests of multi-dimensional gas flow models applied to natural systems may be made.

# 3.6 Conclusions

We draw the following conclusions based on our soil gas transport modeling results:

- 1) The shape of [CO<sub>2</sub>] profiles modeled for one-dimensional diffusion of CO<sub>2</sub> in air is sensitive to both properties of the soil and boundary conditions. An increase in profile curvature is caused by increasing soil CO<sub>2</sub> production rate ( $\phi$ ) and decreasing the soil diffusion coefficient ( $D_i^*$ ). Increasing the basal flux of CO<sub>2</sub> ( $F_{CO2}^B$ ) causes an overall increase in profile slope.
- 2) Models of combined advective and diffusive transport of  $\mathrm{CO}_2$  and air indicate that contribution of advective versus diffusive  $\mathrm{CO}_2$  flow through the soil column and the geometry of gas concentration profiles are highly sensitive to the magnitude and chemical composition of the total basal gas flux  $(F_T^{\ B})$ . Increasing  $F_T^{\ B}$  causes increasing upward advective  $\mathrm{CO}_2$  flow through the entire soil column. Increasing  $[\mathrm{CO}_2]$  of  $F_T^{\ B}$  (and corresponding decreasing  $F_{air}^{\ B}$ ) causes increasing diffusive  $\mathrm{CO}_2$  flow through the soil column. At all  $F_T^{\ B}$  magnitudes, transport of air is dominantly advective upward through the soil. Without  $F_T^{\ B}$ , modeled soil  $\phi$  values are insufficient to generate total pressure gradients to drive significant advective gas flow;  $\mathrm{CO}_2$  fluxes are dominantly diffusive upwards and net air flux is zero.
- 3) Advective and diffusive soil gas transport processes and shape of concentration profiles are sensitive to soil  $D_i^*$ . With increasing  $D_i^*$ , the contribution of diffusive to total  $CO_2$  flow through the soil column increases and curvature of concentration profiles decreases.
- 4) Soil gas transport and concentration profile shape are sensitive to soil permeability  $(B_k)$ . Increase in soil  $B_k$  from low to medium values causes the contribution of advective to total  $CO_2$  flow through most of the soil column to increase; however,  $CO_2$  transport across the soil/air interface is largely insensitive to varying  $B_k$ . Varying  $B_k$

from low to medium values also causes an increase in curvature of concentration profiles. Gas transport processes through the soil column and concentration profile shape show little change from medium to high  $B_k$  values.

- 5) Compared to other parameters, the relative contributions of advective and diffusive to total  $CO_2$  fluxes through the soil column seem to be less sensitive to  $\phi$ , in areas of the soil column in which production occurs. However, the geometry of concentration profiles is sensitive to  $\phi$ ; increasing  $\phi$  causes curvature of profiles to increase.
- 6) Model response time is sensitive to the relative contributions of advective and diffusive to total gas flow through soil columns; therefore, varying model parameters that influence these contributions affects response time. The response time of models where diffusive gas transport dominates through the soil column is generally higher than models where advective transport is important. Similarly, because air transport through most of the soil columns where  $F_T^{\ B}$  is maintained is mainly advective and  $CO_2$  transport is some combination of advection and diffusion, most [air] profiles have significantly shorter response times than  $[CO_2]$  profiles.
- 7) [CO<sub>2</sub>] profiles modeled for one-dimensional diffusion of CO<sub>2</sub> in air using soil properties and boundary conditions considered reasonable for the SAF and CF study sites compare well with the geometry of Category I-type [CO<sub>2</sub>] profiles measured by Lewicki et al. [in review] at these sites. However, while cases where diffusion accounts entirely for measured surface CO<sub>2</sub> fluxes above observed Category I profiles may be explained well by diffusive soil CO<sub>2</sub> transport models, alternative gas transport models must be invoked for measured profiles where a component of advective surface CO<sub>2</sub> transport is indicated.

- 8) One-dimensional models of advective-diffusive gas flow in which soils have moderate to high  $B_k$  values and  $F_T^{\ B}$  with relatively low [CO<sub>2</sub>] is maintained compare well with the geometry of Category II-type [CO<sub>2</sub>] profiles measured by Lewicki et al. [in review] and the estimated contribution of advective to total surface CO<sub>2</sub> fluxes above these profiles. While other gas transport models may also account for these field observations, consistency between field observations and our model results suggests that wind-driven airflow through fractured soils along the San Andreas and Calaveras faults may cause significant advective CO<sub>2</sub> flow at these study sites, as proposed by Lewicki et al. [in review].
- 9) Our model results suggest that relatively small magnitude  $F_T^{\ B}$  can produce total pressure gradients sufficient to drive advective gas flow through soil columns. Therefore, interpretations of soil gas data collected in faulted/fractured terrain should consider the effects of wind-driven airflow through soils on gas transport and resulting soil gas concentration profiles and surface fluxes. Also, the effects of this advective gas flow on soil  ${\rm CO}_2$  flux measurements by the accumulation chamber method should be taken into account.
- 10) To extend our one-dimensional advection-diffusion models to the study of gas flow in complex heterogeneous soils typical of faulted/fractured areas, we recommend that laboratory experiments first be conducted to determine if our models adequately approximate real gas flow in simple systems where the system parameters are well known. If so, multi-dimensional models should be developed, complemented by multi-dimensional field measurements of soil physical properties, soil gas concentrations and fluxes, and meteorological parameters.

## 3.7 Appendix I

Under steady-state conditions in a soil column with thickness of L, Equation 3.5 is solved analytically to yield  $C_{CO2}$  as a function of soil depth z. We consider the case where  $CO_2$  is produced in the soil at constant rates  $\phi_1$  and  $\phi_2$  through soil layers with lengths  $L_1$  and  $L_2$ , respectively, where

$$L = L_1 + L_2 (3.17)$$

and the following boundary conditions exist

$$atz = 0, C_{CO2} = C_{CO2}^{o} (3.18)$$

$$atz = -L, Q_{CO2}^{\ \ D} = F_{CO2}^{\ \ B} (3.19)$$

where  $C_{CO2}^{\phantom{CO2}o}$  is the atmospheric molar density of  ${\rm CO}_2$  and  $F_{CO2}^{\phantom{CO2}B}$  is a constant molar flux of  ${\rm CO}_2$  applied to the base of the soil column.

We initially solve for  $Q_{CO2}^{\ \ D}$  as a function of z in the upper soil layer from -L to  $-L_1$ , and then in the lower soil layer from  $-L_1$  to the soil surface. For the upper soil layer, the mass conservation equation (Equation 3.3) reduces to

$$\left[\frac{\partial Q_{CO2}^{\ \ D}}{\partial z}\right]_2 = \phi_2 \tag{3.20}$$

Integration of Equation 3.20 gives

$$Q_{CO2,2}^{D}(z) = \phi_2 z + c_1 \tag{3.21}$$

where  $c_1$  is the constant of integration. We implement the lower boundary condition (Equation 3.19) to give

$$Q_{CO2.2}^{D}(-L_1 - L_2) = \phi_2(-L_1 - L_2) + c_1 = F_{CO2}^{B}$$
(3.22)

We then rearrange Equation 3.22 to solve for  $c_1$  and substitute into Equation 3.22 to yield  $Q_{CO2,2}^{\quad D}$  as a function of soil depth from -L to  $-L_1$ :

$$Q_{CO2,2}^{D}(z) = \phi_2 z + \phi_2 (L_1 + L_2) + F_{CO2}^{B}$$
(3.23)

For the upper soil layer, the mass conservation equation (Equation 3.3) is

$$\left[\frac{\partial Q_{CO2}^{D}}{\partial z}\right]_{1} = \phi_{1} \tag{3.24}$$

To solve for  $Q_{CO2,1}^{\quad D}(z)$  in the upper soil layer, we first integrate Equation 3.24:

$$Q_{CO2,1}^{D}(z) = \phi_1 z + c_2 \tag{3.25}$$

where  $c_2$  is the constant of integration.  $Q_{CO2}^{\ \ D}$  leaving the top of the bottom soil layer must enter the bottom of the top soil layer, at  $z=-L_1$ . To merge the top and bottom soil layers, we first solve for  $c_2$  at  $z=-L_1$  and require  $Q_{CO2}^{\ \ D}$  at  $z=-L_1$  in the upper layer to equal  $Q_{CO2}^{\ \ D}$  at  $z=-L_1$  in the bottom layer to yield

$$c_2 = \phi_1 L_1 + Q_{CO2,1}^{D}(-L_1) = \phi_1 L_1 + Q_{CO2,2}^{D}(-L_1)$$
(3.26)

We then find  $Q_{CO2,2}^{\quad D}$  (Equation 3.23) at  $z=-L_1$ :

$$Q_{CO2,2}^{D}(-L_1) = -\phi_2 L_1 + \phi_2 (L_1 + L_2) + F_{CO2}^{B}$$
(3.27)

We solve for  $c_2$  as a function of  $Q_{CO2}^{\ \ D}$  at the top of the bottom soil layer by substituting Equation 3.27 into 3.26:

$$c_2 = \phi_1 L_1 + \phi_2 L_2 + F_{CO2}^B \tag{3.28}$$

and then substituting  $c_2$  (Equation 3.28) into 3.25 to give

$$Q_{CO2,1}^{D}(z) = \phi_1(z + L_1) + \phi_2 L_2 + F_{CO2}^{B}$$
(3.29)

Next, we solve for  $C_{CO2}(z)$  in the upper soil layer. Substitution of Equation 3.29 into Fick's law (Equation 3.1) yields

$$\label{eq:cost} \left[\frac{\partial C_{CO2}}{\partial z}\right]_{1} = -\frac{1}{{D_{i}}^{*}}\left[\phi_{1}z + \phi_{1}L_{1} + \phi_{2}L_{2} + F_{CO2}^{\phantom{CO2}B}\right] \tag{3.30}$$

Integration of Equation 3.30 gives

$$C_{CO2,1}(z) = -\frac{1}{D_i^*} \left[ \frac{\phi_1}{2} z^2 + \phi_1 L_1 z + \phi_2 L_2 z + F_{CO2}^B z \right] + c_3$$
 (3.31)

where  $c_3$  is a constant of integration. Implementation of the upper boundary condition (Equation 3.18) by setting  $c_3 = C_{CO2}^{\quad o}$  provides  $C_{CO2}$  as a function of soil depth from  $-L_1$  to the soil surface:

$$C_{CO2,1}(z) = -\frac{z}{D_i^*} \left[ \frac{\phi_1}{2} z + \phi_1 L_1 + \phi_2 L_2 + F_{CO2}^B \right] + C_{CO2}^o$$
 (3.32)

We then solve for  $C_{CO2}(z)$  in the lower soil layer. We substitute Equation 3.23 into Fick's law (Equation 3.1) to give

$$\left[\frac{\partial C_{CO2}}{\partial z}\right]_{2} = -\frac{1}{D_{i}^{*}} \left[\phi_{2}z + \phi_{2}(L_{1} + L_{2}) + F_{CO2}^{B}\right]$$
(3.33)

and integrate Equation 3.33 to find

$$C_{CO2,2}(z) = -\frac{z}{D_i^*} \left[ \frac{\phi_2}{2} z + \phi_2(L_1 + L_2) + F_{CO2}^B \right] + c_4$$
 (3.34)

where  $c_4$  is the constant of integration. We solve for  $c_4$  by requiring  $C_{CO2,2}$  at the top of the bottom soil layer to equal  $C_{CO2,1}$  at the bottom of the top layer (i.e., Equation 3.34 = Equation 3.32 at  $z = -L_1$ ) and substitute into Equation 3.34:

$$C_{CO2,2}(z) = -\frac{z}{D_i^*} \left[ \frac{\phi_2}{2} z + \phi_2(L_1 + L_2) + F_{CO2}^B \right] + \frac{L_1}{D_i^*} \left[ \frac{\phi_1 L_1}{2} - \frac{\phi_2 L_1}{2} \right] + C_{CO2}^o$$
(3.35)

Equations 3.35 and 3.32 are used to calculate soil [CO<sub>2</sub>] profiles from -L to  $-L_1$  and from  $-L_1$  to the soil surface, respectively.

Table 5. Notation of model parameters.

Notation	Parameter	Dimensions
$\overline{B_k}$	Soil intrinsic permeability	$L^2$
$C_{air}^{''}$	Molar density of air	$\mathrm{mol}\ \mathrm{L}^{-3}$
$C_{CO2}$	Molar density of $CO_2$	$\mathrm{mol}\ \mathrm{L}^{-3}$
$C_{i}$	Molar density of component $i$	$\mathrm{mol}\ \mathrm{L}^{-3}$
$C_{ain}^{ o}$	Atmospheric molar density of air	$\mathrm{mol}\ \mathrm{L}^{-3}$
$C_{CO2}^{aii}$	Atmospheric molar density of $CO_2$	$\mathrm{mol}\ \mathrm{L}^{-3}$
$D_i^*$	Diffusion coefficient of component $i$ in soil	$L^2t^{-1}$
$D_{air-CO2}^{'}$	Binary diffusion coefficient of air in $CO_2$	$L^2t^{-1}$
$D_{CO2-air}$	Binary diffusion coefficient of CO <sub>2</sub> in air	$L^2t^{-1}$
$D_{i-i}$	Binary diffusion coefficient of component $i$ in $j$	$L^2t^{-1}$
$F_{CO_2}^{B}$	Basal flux $CO_2$	$\mathrm{mol}\ \mathrm{L}^{-2}\mathrm{t}^{-1}$
$F_{air}^{BB}$	Basal flux air	$\mod L^{-2}t^{-1}$
$F_T^{ai}B$	Total basal gas flux	$\mathrm{mol}\ \mathrm{L}^{-2}\mathrm{t}^{-1}$
$egin{array}{c} F_T^{\ B} \ L \end{array}$	Thickness of soil column	L
$L_1$	Thickness of upper layer of soil column	L
$L_2$	Thickness of lower layer of soil column	L
$M_{i}$	Mass of component $i$	M
$M_{air}$	Mass of air	M
$M_{CO2}$	Mass of CO <sub>2</sub>	M
$n_a$	Soil air-filled porosity	$M L^{-1} t^{-2}$
-	Total pressure	$M L^{-1} t^{-2}$
$P_{air}$	Partial pressure of air	$\stackrel{\mathrm{M}}{\mathrm{L}} \stackrel{\mathrm{t}}{\mathrm{t}}^{-2}$
$P_{CO2}$	Partial pressure of CO <sub>2</sub>	$M L^{-t}$ $M L^{-1}t^{-2}$
$P_i$	Partial pressure of component $i$	M L 't -
$Q_i^D$	Diffusive flux of component $i$	$\text{mol } L^{-2}t^{-1}$
$P_i \\ Q_i^D \\ Q_i^T$	Total flux of component $i$	$\text{mol } L^{-2}t^{-1}$
R	Ideal gas constant	$M L^2 t^{-2} T^{-1} mol^{-1}$
T = T	Time Absolute temperature	$egin{array}{c}  ext{t} \  ext{T} \end{array}$
z	Absolute temperature Soil depth	L
$\phi$	Soil $CO_2$ production rate	$mol L^{-3}$
$\overset{\scriptscriptstyle{ au}}{\phi}_1$	$CO_2$ production rate in upper layer of soil column	$\text{mol L}^{-3}$
$\phi_2$	$CO_2$ production rate in lower layer of soil column	$\mathrm{mol}\ \mathrm{L}^{-3}$
$\mu$	Gas viscosity	$M L^{-1} t^{-1}$
au	Soil tortuosity	

Table 6. Gas parameters used in models.

Parameter	Units	$CO_2$	air
Molecular weight	$g \text{ mol}^{-1}$	44.0	28.9
Gas viscosity $\mu$	${\rm g \ cm^{-1} s^{-1}}$	0.000147	0.000181
Atmospheric partial pressure	$\mathrm{g~cm}^{-1}\mathrm{s}^{-2}$	405	1,012,845
Diffusion coefficient in air $D_{CO2-air}$	$\mathrm{cm}^2\mathrm{s}^{-1}$	0.15323	_
Diffusion coefficient in $CO_2$ $D_{air-CO2}$	$\mathrm{cm}^2\mathrm{s}^{-1}$	_	0.15323

Table 7. Range of parameter values used in models. Ranges of basal flux air and  $\rm CO_2$  values correspond to  $\rm [CO_2]$  of the basal air- $\rm CO_2$  gas mixture equal to 0.04 vol.%.

Parameter	Units	Low	Medium	High
Soil intrinsic permeability $B_k$	${ m cm}^2$	1E-13	1E-08	1E-04
Soil porosity x tortuosity $n_a \tau$		0.02	0.26	0.50
Basal flux $CO_2 F_{CO}^{2B}^{2B}$ Basal flux air $F_{air}^{B}$	$\mathrm{g~cm}^{-2}\mathrm{s}^{-1}$	2.31E-10	2.31E-09	2.31E-08
Basal flux air $F_{qir}^{B}$	$\mathrm{g~cm}^{-2}\mathrm{s}^{-1}$	3.80E-07	3.80E-06	3.80E-05
Basal gas flux $[CO_2]$	vol.%	0.04	0.10	1.0
1.) CO <sub>2</sub> production rate $\phi_1$ ( $L_1$ =20 cm)	${\rm g \ cm^{-3} s^{-1}}$	7.52E-10	2.08E-09	3.18E-09
2.) CO <sub>2</sub> production rate $\phi_1$ ( $L_1$ =40 cm)	${\rm g \ cm^{-3} s^{-1}}$	3.76E-10	1.04E-09	1.59E-09
3.) CO <sub>2</sub> production rate $\phi_1$ ( $L_1$ =40 cm)	${\rm g \ cm^{-3} s^{-1}}$	2.51E-10	6.94E-10	1.06E-09
$\phi_2 \ (L_2 = 60 \ \text{cm})$	$\mathrm{g~cm}^{-3}\mathrm{s}^{-1}$	8.36E-11	2.31E-10	3.54E-10

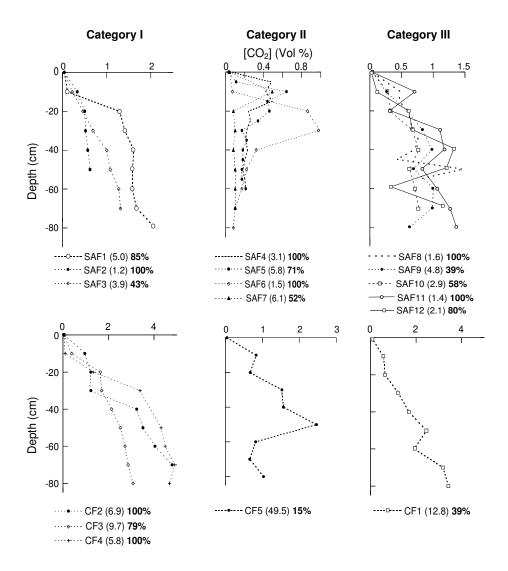


Fig. 22. Soil  $[CO_2]$  profiles measured within SAF and CF grids (modified from Lewicki et al. [in review]). Also shown are corresponding surface  $CO_2$  fluxes in parentheses (in  $10^{-8}$  g cm $^{-2}$ s $^{-1}$ ) and estimated per-cent diffusive of total surface  $CO_2$  fluxes in bold (see Lewicki et al. [in review]) for description of this calculation using Fick's law). Profiles were categorized based on general shape: Category I profiles show  $[CO_2]$  increase with depth; Category II profiles exhibit  $[CO_2]$  increase followed by decrease with depth; Category III profiles exhibit a saw-tooth pattern with repeated increase and decrease in  $[CO_2]$  with depth. Lewicki et al. [in review] interpreted Category I profiles to reflect diffusion of  $CO_2$  to the atmosphere, whereas the observed decrease(s) in  $[CO_2]$  with depth in Category II and II profiles were attributed to wind-driven atmospheric airflow through fractured soil. A consistent relationship was not observed between profile category and distance from the fault trace, measurement date, soil temperature, rainfall event, or vegetation density and type.

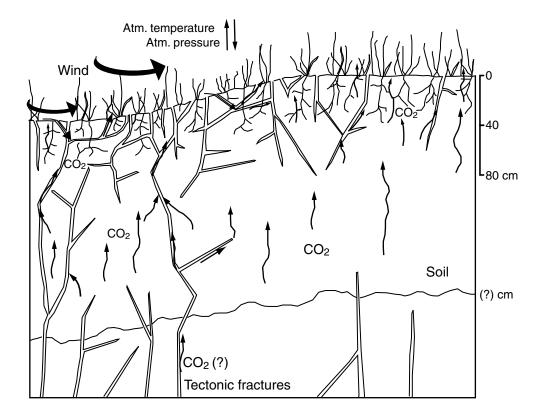


Fig. 23. Schematic of gas flow in fractured terrain proposed by Lewicki et al. [in review] showing movement of soil CO<sub>2</sub> to the atmosphere. Sources of CO<sub>2</sub> may include root respiration, heterotrophic bacteria, and seasonal groundwater degassing. Highly permeable fractures (tectonic and non-tectonic) may focus and enhance CO<sub>2</sub> flow. Temporal fluctuations in meteorological parameters may modulate transport of soil CO<sub>2</sub> to the atmosphere by disturbing soil [CO<sub>2</sub>] and total pressure gradients. In particular, Lewicki et al. [in review] suggested that wind-driven atmospheric air flow though fractures acts as a carrier gas for soil CO<sub>2</sub>, resulting in enhanced surface CO<sub>2</sub> flux. Soil depth is unknown at SAF and CF study sites. Fracture depth and density are not to scale.

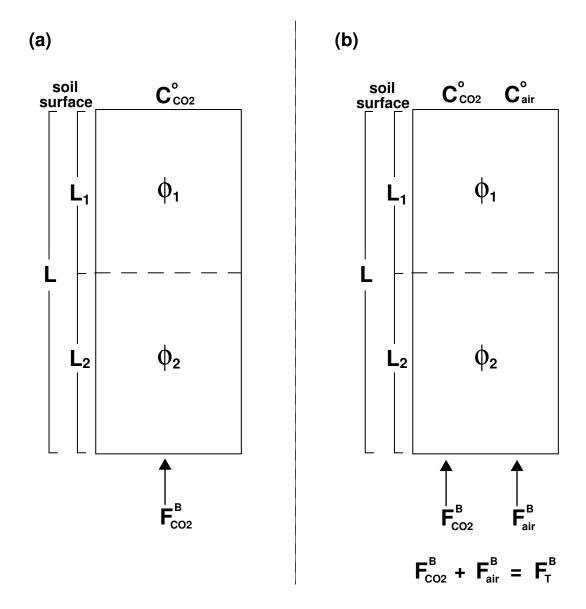


Fig. 24. (a) Boundary conditions for model of one-dimensional diffusive  $\text{CO}_2$  flow through a soil column where  $\text{CO}_2$  is produced in the soil at constant rates  $\phi_1$  and  $\phi_2$  through zones with thicknesses  $L_1$  and  $L_2$ , respectively.  $C_{CO2}^{\phantom{CO2}o}$  is constant surface  $[\text{CO}_2]$  and  $F_{CO2}^{\phantom{CO2}o}$  is constant molar flux of  $\text{CO}_2$  at the base of the soil column. (b) Boundary conditions for model of one-dimensional combined advective and diffusive air and  $\text{CO}_2$  flow through a soil column where  $\text{CO}_2$  is produced in the soil at constant rates  $\phi_1$  and  $\phi_2$  through zones with thicknesses  $L_1$  and  $L_2$ , respectively.  $C_{air}^{\phantom{air}o}$  and  $C_{CO2}^{\phantom{CO2}o}$  are constant surface  $[\text{CO}_2]$  and [air], respectively, and  $F_{air}^{\phantom{air}o}$  and  $F_{CO2}^{\phantom{CO2}o}$  and are constant molar fluxes of air and  $\text{CO}_2$  at the base of the soil column, respectively.

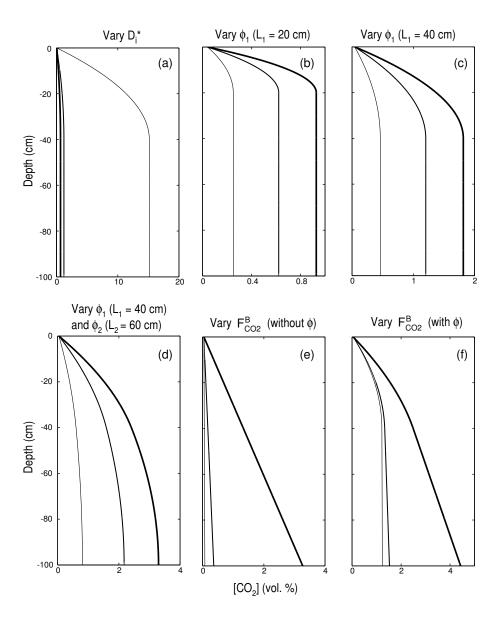


Fig. 25. Effect of varying diffusion model parameters on soil  $[CO_2]$  profile shape. Light, medium, and heavy weighted lines represent low, medium and high model parameter values, respectively (Table 7).

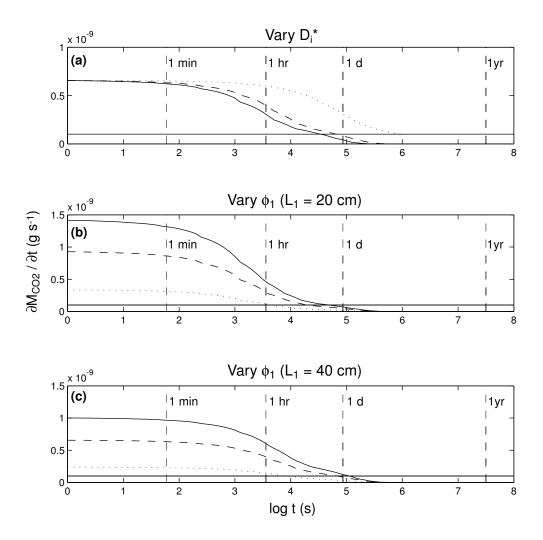


Fig. 26. Average rate of change of  $\text{CO}_2$  mass in diffusion model domains  $(\partial M_{CO2}/\partial t)$  as a function of model run time t for cases where (a) soil  $D_i^*$ , (b)  $\phi_1$  for  $L_1$ =20 cm, and (c)  $\phi_1$  for  $L_1$ = 40 cm were varied. Dotted, dashed and solid lines represent low, medium, and high parameter values. Response times for soil [CO<sub>2</sub>] profiles are shown when  $\partial M_{CO2}/\partial t = 10^{-10}~g~s^{-1}$  (solid horizontal lines). See text for complete model descriptions.

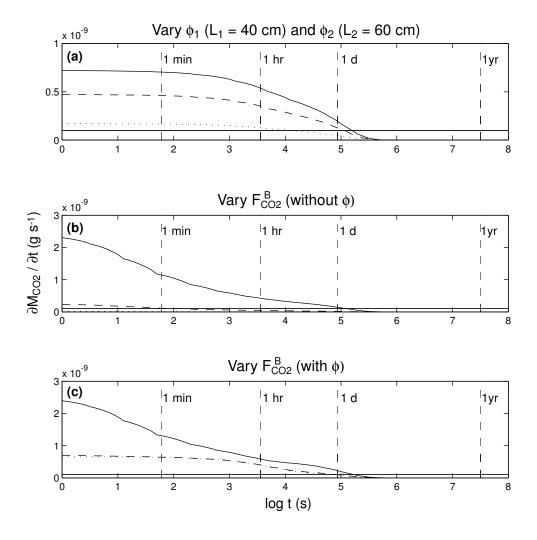


Fig. 27. Average rate of change of CO<sub>2</sub> mass in diffusion model domains  $(\partial M_{CO2}/\partial t)$  as a function of model run time t for cases where (a)  $\phi_1$  for  $L_1$ =40 cm and  $\phi_2$  for  $L_2$ =60 cm, (b)  $F_{CO2}^{\phantom{CO2}B}$  without soil  $\phi$ , and (c)  $F_{CO2}^{\phantom{CO2}B}$  with soil  $\phi$  ( $L_1$ =40 cm) were varied. Response times for soil [CO<sub>2</sub>] profiles are shown when  $\partial M_{CO2}/\partial t = 10^{-10}~g~s^{-1}$  (solid horizontal lines). See text for complete model descriptions.

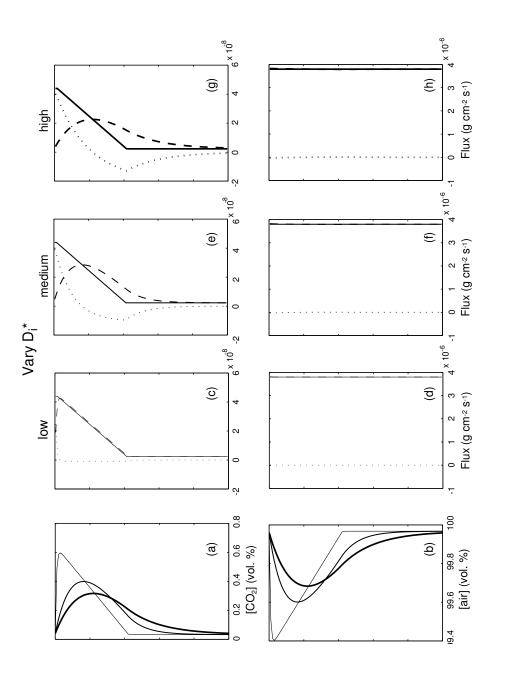


Fig. 28. Effect of varying soil diffusivity,  $D_i^*$  (Equation 3.2), on soil (a) [CO<sub>2</sub>] and (b) [air] profile shape. Light, medium, and high  $D_i^*$  values, respectively (top and bottom rows are  $CO_2$  and air fluxes, respectively). Positive and negative flux magnitudes heavy weighted lines represent low, medium and high  $D_i^*$  values. Also shown is effect of varying  $D_i^*$  on magnitude of total (solid lines), advective (dashed lines), and diffusive (dotted lines) fluxes through soil column for (c, d) low, (e, f) medium, and (g, h) represent upward and downward fluxes, respectively.

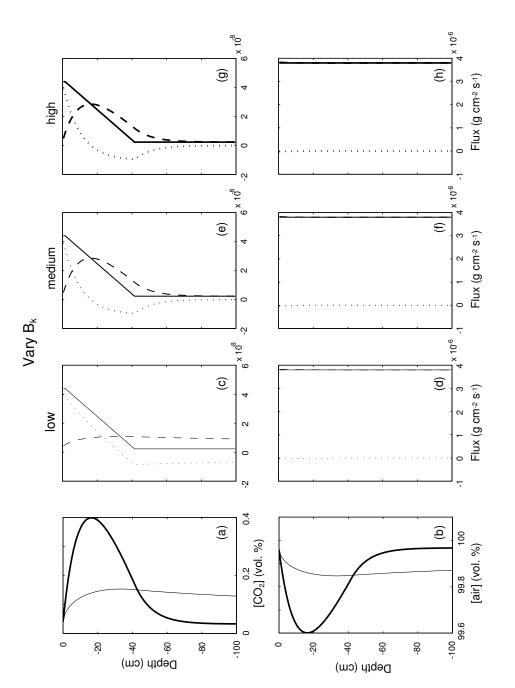


Fig. 29. Effect of varying soil permeability,  $B_k$ , on (a)  $[CO_2]$  and (b) [air] profile shape and (c-h) magnitude of total, advective, and diffusive  ${\rm CO}_2$  and air fluxes through soil column (see Figure 28 for complete figure explanation).

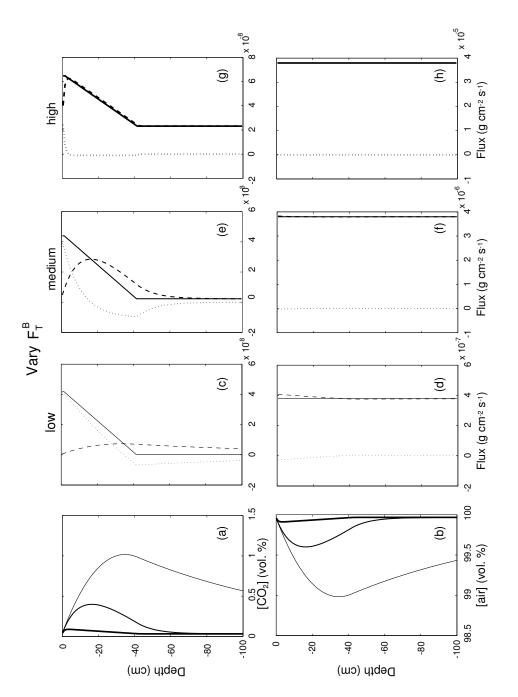


Fig. 30. Effect of varying total basal gas flux,  $F_T^B$ , on soil (a)  $[\mathrm{CO}_2]$  and (b)  $[\mathrm{air}]$  profile shape and (c-h) magnitude of total, advective, and diffusive  $\mathrm{CO}_2$  and air fluxes through soil column (see Figure 28 for complete figure explanation).

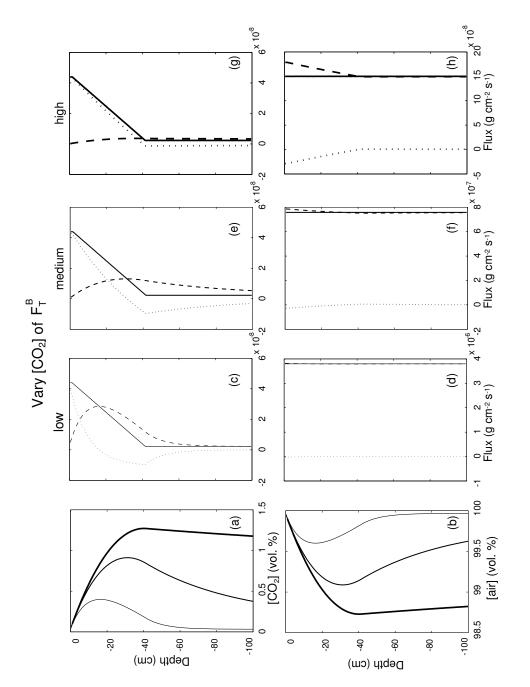


Fig. 31. Effect of varying  $[CO_2]$  of  $F_T^{\ B}$  on (a)  $[CO_2]$  and (b) [air] concentration profile shape and (c-h) magnitude of total, advective, and diffusive  $CO_2$  and air fluxes through soil column (see Figure 28 for complete figure description).

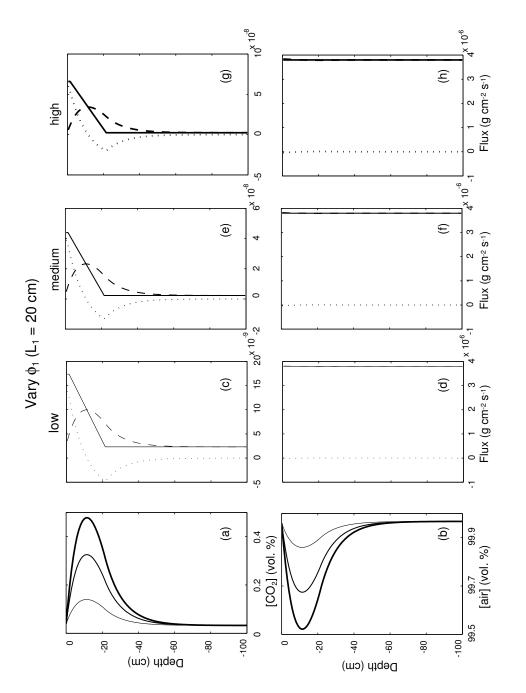


Fig. 32. Effect of varying soil CO<sub>2</sub> production rate within the upper soil layer,  $\phi_1$ , for thickness of this layer  $L_1$ =20 cm on soil (a) [CO<sub>2</sub>] and (b) [air] profile shape and (c-h) magnitude of total, advective, and diffusive CO<sub>2</sub> and air fluxes through soil column (see Figure 28 for complete figure explanation).

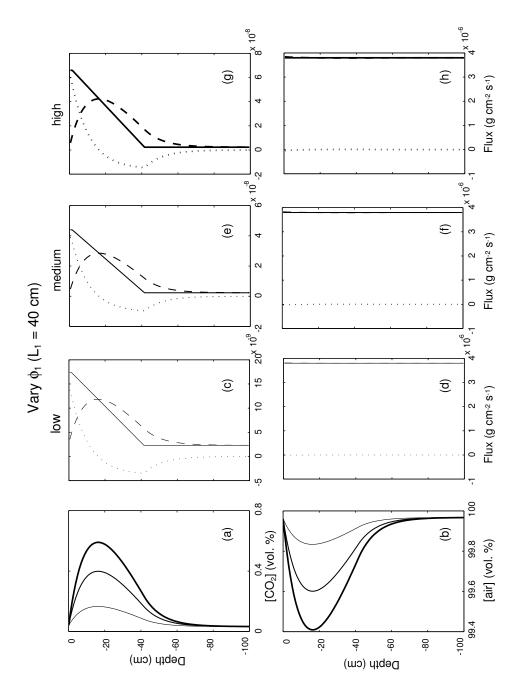


Fig. 33. Effect of varying  $\phi_1$  for  $L_1$ =40 cm on (a) [CO<sub>2</sub>] and (b) [air] profile shape and (c-h) magnitude of total, advective, and diffusive CO<sub>2</sub> and air fluxes through soil column (see Figure 28 for complete figure explanation).

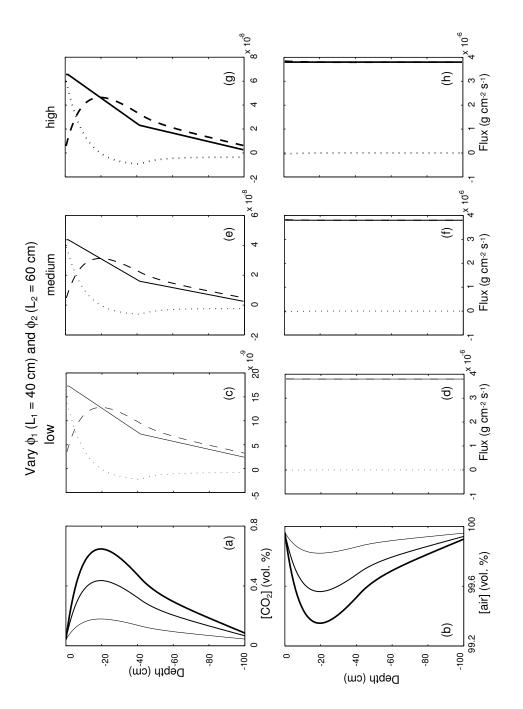


Fig. 34. Effect of varying  $\phi_1$  for  $L_1=40$  cm and  $\phi_2$  for  $L_2=60$  cm on soil (a) [CO<sub>2</sub>] and (b) [air] profile shape and (c-h) magnitude of total, advective, and diffusive  $CO_2$  and air fluxes through soil column (see Figure 28 for complete figure explanation).

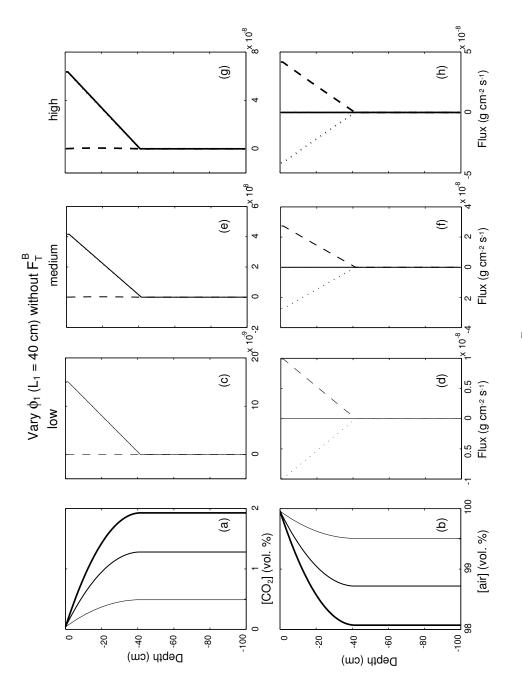


Fig. 35. Effect of varying  $\phi_1$  for  $L_1$ =40 cm in the absence of  $F_T^{\ B}$  on (a) [CO<sub>2</sub>] and (b) [air] profile shape and (c-h) magnitude of total, advective, and diffusive CO<sub>2</sub> and air fluxes through soil column (see Figure 28 for complete figure explanation).

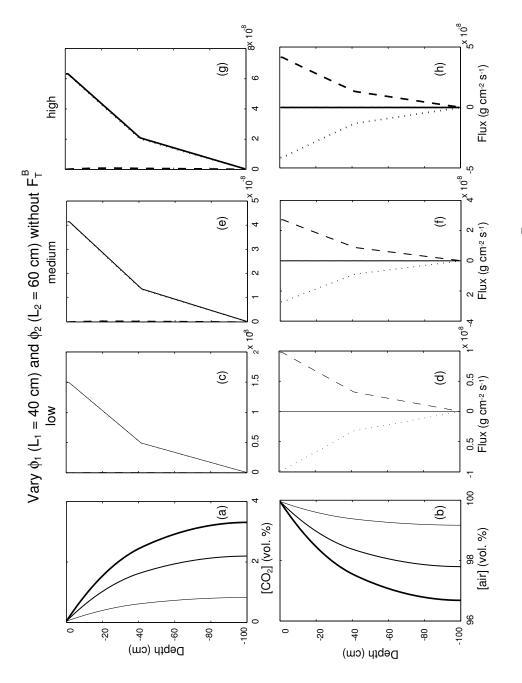


Fig. 36. Effect of varying  $\phi_1$  for  $L_1$ =40 cm and  $\phi_2$  for  $L_2$ =60 cm (without  $F_T^{\ B}$ ) on soil (a) [CO<sub>2</sub>] and (b) [air] profile shape and (c-h) magnitude of total, advective, and diffusive CO<sub>2</sub> and air fluxes through soil column (see Figure 28 for complete figure explanation).

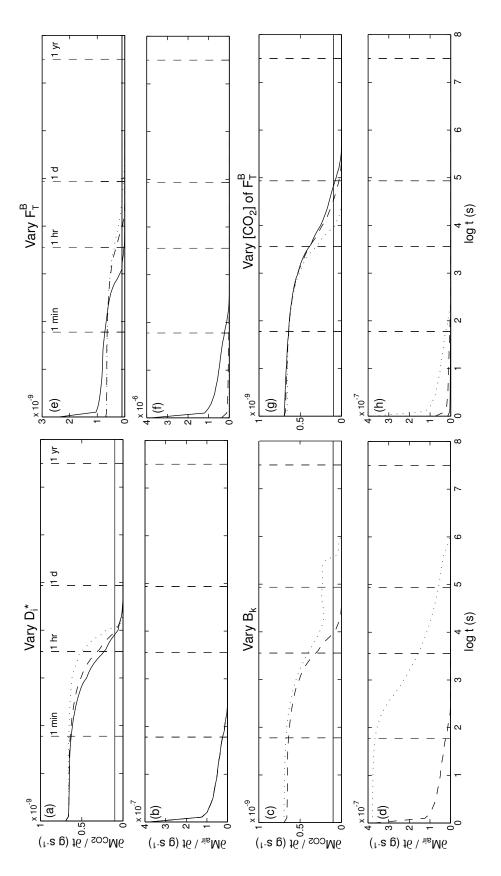
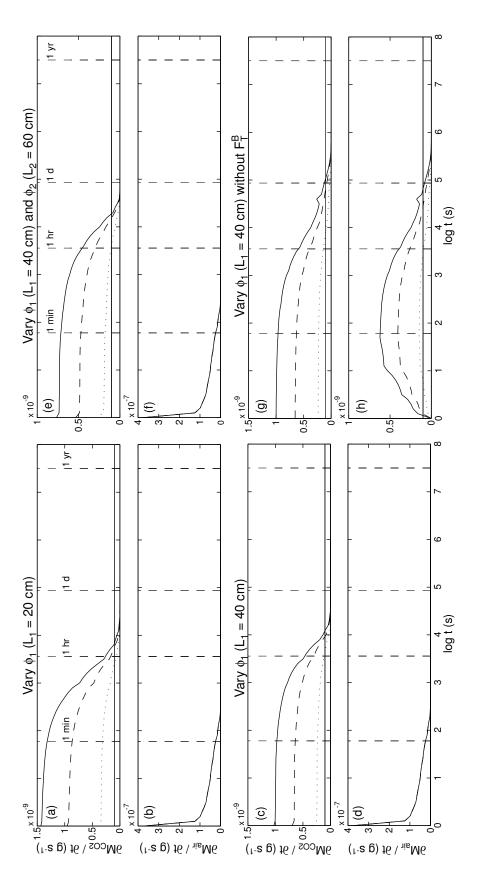


Fig. 37. Average rate of change of mass of gas i in two-component advection-diffusion model domains  $(\partial M_i/\partial t)$  as a function of times for soil [CO<sub>2</sub>] and [air] profiles are shown when  $\partial M_{CO2}/\partial t = 10^{-10}~g~s^{-1}$  (solid horizontal lines). See text for complete of  $F_T^B$  was varied. Dotted, dashed, and solid lines represent low, medium, and high parameter values, respectively. Response model run time t. (a) and (b) Soil  $D_i^*$  was varied. (c) and (d) Soil  $B_k$  was varied. (e) and (f)  $F_T^B$  was varied. (g) and (h)  $[CO_2]$ model descriptions.



of model run time t. (a) and (b)  $\phi_1$  for  $L_1$ =20 cm was varied. (c) and (d)  $\phi_1$  for  $L_1$ =40 cm was varied. (e) and (f)  $\phi_1$  for  $L_1$ =40 cm and  $\phi_2$  for  $L_2$ =60 cm were varied. (g) and (h)  $\phi_1$  for  $L_1$ = 40 cm without  $F_T^{\phantom{T}B}$  was varied. Dotted, dashed, and solid Fig. 38. Average rate of change of mass of gas i in two-component advection-diffusion model domains  $(\partial M_i/\partial t)$  as a function lines represent low, medium, and high parameter values, respectively. Response times for soil [CO<sub>2</sub>] and [air] profiles are shown when  $\partial M_{CO2}/\partial t = 10^{-10} g s^{-1}$  (solid horizontal lines). See text for complete model descriptions.

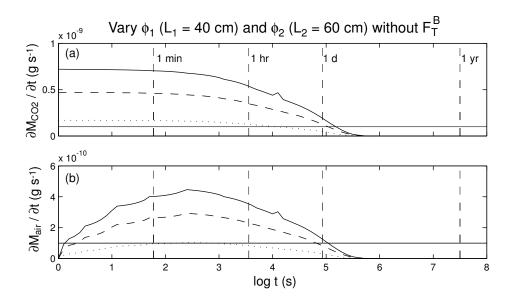


Fig. 39. Average rate of change of mass of gas i in two-component advection-diffusion model domains  $(\partial M_i/\partial t)$  as a function of model run time t. (a) and (b)  $\phi_1$  for  $L_1=40$  cm and  $\phi_2$  for  $L_2=60$  cm were varied without  $F_T^{\ B}$ . Dotted, dashed, and solid lines represent low, medium, and high parameter values, respectively. Response times for soil [CO<sub>2</sub>] and [air] profiles are shown when  $\partial M_{CO2}/\partial t=10^{-10}~g~s^{-1}$  (solid horizontal lines). See text for complete model descriptions.

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## Vita

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Jennifer L. Lewicki was born in Stanford, Connecticut on December 13, 1971. She is the daughter of John and Marilyn Lewicki. She attended Hamilton College from 1990 to 1994 where she completed a thesis titled "Mineralogical and Geochemical Variation in Andesites from Imbabura and Puluagua Volcanoes, Northern Ecuador" with Professor David Bailey and received the B.A. degree in Geology, cum laude. Jennifer attended Arizona State University from 1995 to 1997 where she completed a thesis titled "Geochemical and Isotopic Variation in Fumarolic Gases and Thermal Waters from Cumbal Volcano, Colombia" with Professor Stanley Williams and received the M. S. degree in Geology. In 1998, she enrolled in the Ph. D. program in Geosciences at The Pennsylvania State University and from 1998 to 2002 worked with Professor Susan Brantley in the Department of Geosciences. From 1998 to 1999, she was employed in the Department of Geosciences as a teaching assistant. In 2000, she was a visiting instructor in the Department of Geology at Bucknell University. Jennifer received a NASA Earth System Science Fellowship in 1999 and from 1999 to 2002 was a research fellow at Penn State. During her graduate career, she conducted research in the areas of volcano gas and thermal water geochemistry, measurement and interpretation of  ${\rm CO}_2$  fluxes from faulted and volcanically active areas, and unsaturated zone gas transport, published several referred journal articles on these subjects, and presented her research at national and international professional meetings.