The Pennsylvania State University

The Graduate School

# FINITE ELEMENT ANALYSIS AND A TWO-STAGE DESIGN OPTIMIZATION PROCEDURE OF MULTIFIELD ORIGAMI-INSPIRED STRUCTURES

A Dissertation in

Mechanical Engineering

by

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Submitted in Partial Fulfillment of the Requirements for the Degree of

Doctor of Philosophy

May 2020

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#### ABSTRACT

This dissertation focuses on developing predictive models of the folding performance of multifield responsive structures and optimizing these structures based on design objectives. In particular, these origami-inspired structures incorporate smart materials such as electroactive polymers (EAPs) and magnetoactive elastomers (MAEs), which results in self-folding when one or more external fields are applied.

Two types of finite element analysis (FEA) models, i.e., continuum modeling and constitutive modeling, are developed to investigate the actuation performance of self-folding multifield origami that are actuated using either or both an electroactive polymer, i.e., PVDF-based terpolymer, and a magneto-active elastomer. In continuum modeling, surface tractions are applied to simulate the actuation effects resulting from the application of the external fields. The finite element analysis captures folding performance of electromechanical actuation for notched configurations and multifield (both magnetic and electric fields) actuation for a bifold structure. Quantitative comparison using the folding angle as the metric shows that FEA results are comparable to experiments for the terpolymer actuated single-notch configuration and the multifield bifold configuration. Geometric parameter studies show that folding angles increase as the notch length or beam length increases, while beam width does not have a notable effect on folding.

The constitutive models implemented through the FEA method successfully predict the coupled responses of the active materials, including folding behavior of the terpolymer-based actuation of the unimorph and bimorph configurations, the MAE-based actuation of the bimorph, and simultaneous actuation of the multifield bimorph, where an electric field and a magnetic field are applied simultaneously. In the modeling the multilayer terpolymer benders, glue layers are included between the terpolymer layers in the FEA models, and the material properties of the glue

layer are well approximated using a parametric study by comparing to the experiments. In the simultaneous actuation the multifield bimorph structure, the anticlastic curvature observed in the experiments is captured in the simulation results, where the curling in the cross-section prevents the bimorph from further deforming with an increasing external field. The history-dependent folding performance due to the anticlastic curvature is successfully simulated by the geometrically nonlinear FEA model.

A computationally efficient two-stage optimization procedure is developed as a systematic method for the design of multifield origami-inspired self-folding structures. In Stage 1, low-fidelity models are used within an optimization of the topology of the structure, while in Stage 2, high-fidelity FEA models are used within an optimization to further improve the best design from Stage 1. The design procedure is first described in a general formulation, applicable to any modeling methods. Further, to illustrate the optimization procedure, a specific formulation using a rigid body dynamic model in Stage 1, followed by FEA in Stage 2, is also developed.

To demonstrate the applicability and computational efficiency of the proposed two-stage optimization procedure, two case studies are investigated, namely, a three-finger soft gripper actuated using the terpolymer, and an origami-inspired multifield responsive "coffee table" configuration actuated using the terpolymer and the MAE. In Stage 1, low-fidelity models, such as analytical models and rigid body dynamic models, are implemented within an optimization of the topology of the structure, including the placement of the materials, the connectivity between sections and the amount and orientation of external loads. Distance measures and minimum shape error are applied as metrics to determine the best design in Stage 1, which then serves as the baseline design in Stage 2. In Stage 2, the high-fidelity FEA models are used within an optimization to fine-tune the baseline design. As a result, designs with better performance than the baseline design are achieved at the end of Stage 2 with computing times of 15 days for the gripper and 9 days for the

"coffee table", which would be over 3 months and 2 mothers for full FEA-based optimizations, respectively. In the design of the gripper, the best design exhibits a nearly tapered configuration, where thicker terpolymer and substrate layers are observed in the segments close to the root, while thinner layers close to the tip, which indicates that the segments close to the root exert greater influence on the blocked force and conversely the segments close to the tip play a more important role in enhancing free deflection. In the design of the "coffee table", wider creases are found favorable for both electric and magnetic actuations for a higher compliance. Moreover, in the electric actuation, thinner terpolyemr and substrate are favorable to achieve a higher bending curvature. To conclude, the applicability and computational efficiency of the two-stage optimization procedure are demonstrated through the two case studies.

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### NOMENCLATURE

Symbol	Description				
<b>a</b> *	Displacement vector of a unit normal vector				
Α	Area				
$a_x, a_y, a_z$	Components of displacement of a unit normal vector				
b	Body force				
С	Weight in distance measure				
С	Total number of the creases				
d	Distance				
$E, E_i$	Electric field				
$F_b$	Blocked force				
g	Gravitational acceleration				
h	Type of external field				
Н	Magnetic field				
i, j, k	Index				
<i>k</i> <sub>13</sub>	Ratio of the transverse strain to the longitudinal strain				
ko	Number of design objectives				
K	Spring constant of a revolute joint				
l	Material length				
m	Torque generated by a unit volume of the active material				
М	Remanent magnetization per unit volume				
$M_{ij}, M_{ijkl}$	Electro-mechanical coupling coefficient				
$M_{eq}$ , $M_{int}$	Equivalent and internal bending moment				
n	Unit normal vector				
$n_x, n_y, n_z$	Components of unit normal vector				
Ν	Total number of the nodes				
obj	Design objective value				
obj <sub>low</sub>	Design objectives for the low-fidelity models				
obj <sub>FEA</sub>	Design objectives for the FEA models				
р	Power in distance measure				
Р	Total number of the panels				
R	Rotation matrix				

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S <sub>ijkl</sub>	Material compliance
t	Material thickness
Τ	Magnetic torque
U	Distance measure score
ν	Velocity
V	Material volume
var	Design variables
var <sup>1</sup>	Design variables in Stage 1
var <sup>2</sup>	Design variables in Stage 2
<i>var<sub>eff</sub></i>	Effective design variables
var <sub>in</sub>	Initial design variable values
var <sub>up</sub>	Updated design variable values
W	Material width
<i>x</i> , <i>y</i> , <i>z</i>	Cartesian coordinate system
$x_0, y_0, z_0$	Cartesian coordinates of the target shape
$Y, Y_{ijkl}$	Elastic modulus
α, β	Rotation angle
$\alpha^l$	The lower factor
$\alpha^u$	The upper factor
δ	Tip displacement
$\Delta_{free}$	Free deflection
$\psi$	Shape error
ε	Strain
σ	Stress
λ, μ	Elastic parameters
$\lambda_n$	Dual factor
υ	Poisson's ratio
ρ	Material density
θ	Folding angle
κ	Bending curvature
τ	Surface traction
Ω	Penalty term

\*The bolded symbols represent the vectors, matrices or tensors, while the correspoding unbolded symbols represent their magnitudes.

#### ACKNOWLEDGEMENTS

I would first like to thank my dissertation advisors, Drs. Zoubeida Ounaies and Mary Frecker, without whom I could not have become a qualified researcher and achieved this much in the research field. I sincerely appreciate the time, efforts and most importantly, patience they have put in supervising me during weekly meetings and editing all my research writings. Their research insights and integrity have influenced me much and will be my life-long treasures.

I am grateful for the supporting committee, which contains Dr. von Lockette, Dr. Simpson and Dr. Costanzo besides my advisors. Whenever I was in trouble with either computational modeling or material physics, and asking for their help, they always kindly spared their time with me and offered valuable thoughts and suggestions. I appreciate the support from my committee members sincerely.

I always feel lucky to work with the collaborative, kind and caring labmates from the EMCLab led by Dr. Ounaies, EDOG lab jointly led by Dr. Frecker and Dr. Simpson, and the EFRI team which contained even more professors and students. I am grateful for my labmates Ahmed, Jon Hong, Sarah Masters and Corey Breznak, who have put great efforts to conduct the experiments which are extremely important to validate my models and provide physics insights. I appreciate the help from Dr. Bowen, Dr. Calogero, Brad Hanks, Jivtesh Khurana and Cody Gonzalez who helped me a lot solve the problems in my software programs and optimization algorithms.

I am grateful for the generous funding of our work by the National Science Foundation and the Air Force Office of Scientific Research (grant number 1240459). Without this funding, my work would not be possible.

I am so thankful for the unconditional love and support from my family. My wife Bing Bong has been my closest friend and strongest mental reliance. We have learned so much from each other during our Ph.D. careers and conquered many obstacles together through this challenging process. My parents are so wonderful that their love provides to me the ultimate courage to try and to grow. I will respond to your love with my love and make you proud.

### Chapter 1

### Introduction

#### **1.1 Motivation**

This dissertation focuses on developing predictive models and optimizing the folding performance of origami-inspired multifield responsive structures. In recent years, the promise of origami-inspired folding and assembly of materials and structures have broadly inspired researchers and engineers. Origami is an ancient Japanese art which involves folding flat paper into various three-dimensional shapes [1]. Origami continues to draw interest from artists and mathematicians on the design of complex shapes and path planning analysis. Montroll [2] provided step-by-step instructions on over 700 diagrams for different origami configurations. The concept of origami has also inspired engineering design [3-12] due to its simple assembly process (folding), the ability to reversibly fold and unfold to desired shapes and the corresponding potential for lower cost and weight compared to traditional mechanical designs. Hull developed mathematical expressions and theorems for folding flat sheets into either 2-D configurations called flat folding, or 3-D configurations called non-flat folding [4,13,14], and those theorems have been successfully applied to the design of reprogrammable structures [15]. Balkcom and Mason [16] introduced the first origami-folding robot and analyzed the classes of folding it could realize. Later on, kinematic analysis of folding joints and compliant mechanisms has been conducted by numerous researchers, such as Bowen [17], Xi [18] and Greenberg [19].

Origami-inspired engineering has given rise to novel applications in many different fields such as solar arrays [20] [21], paper batteries [22], robotics [23,24], inkjet printing [25] and biomedical devices [26]. Multiple actuation mechanisms are used to actuate origami design including light absorption [27,28], shape memory alloys [29], electroactive [30,31] and magnetoactive actuation systems [32,33]. Several applications of origami engineering are summarized next.

In solar arrays, Holland et al. [34] proposed an origami-style deployment approach which enhanced the efficiency of transmission by largely increasing collection and transmission surface areas while keeping comparable mass and volume compared to other designs. The design pattern is shown in Figure 1-1.



Figure 1-1. The basic shape of the satellite and its array. [34]

Other applications are found in robotics. Cheng et al. [35] created active bi-directional motion in robot joints which were actuated using shape memory alloys for meso-scale minimally invasive neurosurgical applications. Pagano et al. [36] proposed the design of a bio-inspired origami crawling robot, where the Kreslin-like origami towers were used as the locomotion mechanism for the first time. Forward locomotion and steering of the mechanism were realized by the actuation of DC motors, which expanded and contracted the origami patterns, as shown in Figure 1-2.



Figure 1-2. Locomotion experiments of crawling robot. (A) and (B) show the large robot in contraction and expansion status respectively. (C) and (D) show the small robot in contraction and expansion status respectively [36].

Hanks et al. [37] presented a design and optimization procedure of an origami-inspired deployable compliant endoscopic radiofrequency ablation probe, which intentionally deploys the tines to match the ablation zone to the destructed tissues. The schematic of the undeployed and deployed states and the design parameters are shown in Figure 1-3.



Figure 1-3. Two different designs of the proposed electrode design are shown in the undeployed ((*a*) and (*d*)) and deployed ((*b*) and (*e*)) configurations. The design parameters for each are shown ((*c*) and(*f*)).[37]

Another example is the ingestible, controllable and degradable origami robot for patching stomach wounds developed by Miyashita et al. [38]. The robot was composed of biocompatible and biodegradable materials and could be folded and embedded in an ice capsule for delivery into stomach. Magnetic field would be applied to remotely control the robot to carry out the underwater maneuvers after fulfillment of the task. The capsule and deployed state are shown in Figure 1-4.



Figure 1-4. Ice capsule and deliverer. Ice capsule was colored with food coloring for better video quality. [38]

An emerging type of origami engineering called fluidic origami was inspired by the idea that architectured material could be achieved by intentionally stacking and connecting multiple origami sheets together [39]. Relationship between folding and constituent sheet deformations were investigated to achieve desired properties and functions. This pressurized stacked-origami concept has been shown to exhibit shape transformation, stiffness control, and recoverable collapse [40,41]. A schematic to illustrate the concept of fluidic origami is shown in Figure 1-5.



Figure 1-5. The concept of fluidic origami (a, b). An origami cell was created by connecting two Miura-Ori sheets along their creases. (c) Three-dimensional topology was created by integration of different fluid-filled origami cells, where the base unit cell is highlighted. (d) Shape morphing (folding) can be achieved by controlling the fluidic pressures and volumes. [41]

The idea of origami folding has also been applied in battery to achieve large and controllable deformations. Gonzalez et al. [42,43] developed analytical models and finite element models to investigate the deformation and blocked force, which is the actuation force when the tip of the structure is held constant, of the segmented unimorph based on lithium-ion batteries (LIB). Bending performance is achieved due to the volumetric expansion of the lithiation of silicon, which could be over 300% when the battery is fully charged, as shown in Figure 1-6.



Figure 1-6. Bending mechanism of an LIB-based unimorph [43].

In general, origami-inspired structures can be classified into two categories based on their actuation mechanisms. The first category is manual-folding structures, where the folding of the structures is actuated directly using external forces, such as hands or motors. The second category is self-folding structures, where the active materials are embedded in the structure, realizing folding in response to external stimuli. This dissertation focuses on the latter, i.e., self-folding structures, for their capacity to achieve complex deformations with high automation, which enables them to function in environments where physical access is not possible or light weight is preferable or necessary.

In the design of self-folding structures, the designers need to consider following questions:

- What is the final target shape that needs to be achieved under actuation?
- What folds are needed and where should they be placed in order to achieve the target shape?
- Which types of active materials are capable of actuating the structure? Is one single type of active material sufficient, or are multiple fields needed?
- How much displacement and actuation force will the structure require when in use, for example to grab an object or deploy under load? And generally, how does one deal with the tradeoff between conflicting design objectives?

For a single origami design, these questions are not difficult to answer through trial-anderror experimentation. However, there are several major challenges in experimentation, such as the time and cost to fabricate the active and inactive materials, the need for multiple samples to increase reliability and repeatability of results, and the inconvenience of the trial-and-error iterations due to not knowing optimal values for the design parameters. Because of these challenges, there is a necessity to model the origami structures to predict their performance. After validating the models using experiments, one can use the models to predict the deformed shapes of the structure actuated using specified field strengths, to investigate the sensitivity of actuation performance to the design parameters, and to optimize these parameters based on design objectives such as to minimize the amount of active materials needed or to minimize the shape error between actual and target shapes.

In the remainder of this chapter, a review on active materials for self-folding mechanisms, modeling methods including kinematic, analytical, rigid-body dynamics and finite element method, and optimization methods such as topology optimization, genetic algorithm (GA), multi-fidelity optimization and reduced basis method, are summarized in Sections 1.2-1.4, respectively. The research objectives and tasks are described in Section 1.5, and the outline of this dissertation is presented in Section 1.6.

#### **1.2 Active Materials for Self-Folding Mechanisms**

According to Liu et al. [44], "self-folding is a deterministic assembly process that causes a predefined 2D template to fold into a desired 3D structure with high fidelity". Many types of active materials have been investigated and applied to realize origami-inspired self-folding structures. In the following discussion, a selection of the most commonly used active materials in the self-folding literature are briefly described, and examples of their use are listed.

Dielectric elastomers (DE) consist of an elastomer sandwiched between two compliant electrodes [45]. Upon application of a high voltage across the electrodes, the elastomer compresses in thickness and expands in plane. When a DE is attached to an inactive substrate, the planar motion is constrained, resulting in bending as shown in Figure 1-7; localized bending becomes folding in origami structures [31]. Ahmed et al. [31] demonstrated use of a DE bending actuator, fabricated using a thin 3M VHB double-sided tape and conductive rubber or carbon grease as electrodes. The thickness of a single layer of commercially-available DE typically ranges from 50 µm to 2000 µm [46], and several electroded layers can be stacked up to improve actuation performance [47].



Figure 1-7. Dielectric elastomer is used as the actuation of a self-folding sheet. The deformed shape at voltage of 5 KV (b) is compared with the initial shape (a). [31]

Another electroactive polymer (EAP) that has been used to actuate origami structures is P(VDF-TrFE-CTFE) terpolymer; this terpolymer is a relaxor ferroelectric owing to the presence of the chlorotrifluoroethylene (CTFE) monomer, which acts as a defect into the ferroelectric P(VDF-TrFE) copolymer. This terpolymer has many advantageous attributes as actuator, such as a high electrostrictive strain of up to 7%, a relatively high dielectric constant of 50, and a moderate breakdown electric field of 400MV/m [48,49]. Similar to DE, when electric field is applied, the terpolymer layer will contract in thickness direction and expand in-plane. If we attach an inactive substrate to the terpolymer, then the in-plane expansion will be constrained, causing bending [30,50,51]. Active folding can be achieved by introducing non-uniform thickness along the length direction of the sample, whereas localized bending occurs in the thinner region, i.e., notch region [50]. An example of a terpolymer-actuated box is shown in Figure 1-8.



Figure 1-8. Realization of a cube box and square pyramid using terpolymer unimorph configuration [50].

Magnetoactive elastomers (MAEs) are another class of smart materials; they are fabricated by embedding hard-magnetic particles such as barium hexaferrite into an elastomer matrix. When MAEs are placed in an external magnetic field, the magnetized particles rotate to align with the external field, thus generating magnetic torques [52–54]. The two stable states of a bi-stable paper origami waterbomb base actuated by MAE patches are shown in Figure 1-9. By distributing nonuniform thickness through the structures, the magnetic torques will cause localized bending, namely, folding, and deploy the structures to target shapes. A MAE-based multi-segment cantilevered beam was developed in [53], as shown in Figure 1-10, where folding appeared in the thinner regions with no MAE patches after the magnetic field was applied.



Figure 1-9. A bistable origami waterbomb actuated by MAE patches [54].



Figure 1-10. (a) Schematic of undeformed shape of a multi-segment MAE cantilevered beam (b) measurement of fold angle after application of magnetic field and (c) image of a deformed shape.

Shape memory materials, such as shape memory alloys (SMAs) and shape memory polymers (SMPs), are a class of smart materials that can recover their original shape after large deformation in the presence of temperature change. Shape memory alloy, commonly made of nickel and titanium alloy (Nitinol), has received wide interest from both research and industry due to its ability to deform with high force output [55–57]. Zhakypov et al. [58] introduced a novel low-profile torsional SMA actuator designed to actuate self-folding origami. They conducted experiments to characterize the performance of the actuator under different conditions including with load, without load, and in blocked conditions, and they developed and validated a thermomechanical model for the SMA actuator. The transformation sequence of the SMA-actuated robot is shown in Figure 1-10.



Figure 1-11. Transformation sequence of the SMA-based origami robot. The robot undergoes a shape sequence change from the initial resting shape 1 to shape 2 with 90° fold angle on the two joints at the sides, then to shape 3 where all three joints reach a 110° angle forming a tetrahedron [58].

SMPs are polymers that possess the ability [59] to transform between several configurations in response to an external stimulus such as heat, electricity, magnetism, moisture and light . Compared to SMAs, SMPs can achieve large strains (up to 800%) with relatively small stresses (1–3 MPa), and exhibit better manufacturability and customizability [60]. SMPs have been widely used for applications related to shape morphing [59,61–63].

Neville et al. [64] investigated a SMP honeycomb with tunable and shape morphing mechanical characteristics, which was designed and manufactured using kirigami techniques, a variation of origami that includes cutting of the base materials. The stowed and deployed configurations of a SMP honeycomb are shown in Figure 1-11.



Figure 1-12. (a) Stowed configuration and (b) deployed configuration of the SMP honeycomb. [64]

There is a type of SMP that the shape memory effect is triggered by light absorption which results in heating, referred to as light-responsive materials. Light shows several superior properties as an external stimulus to induce folding compared to other mechanisms. For example, light can uniquely be applied to the target structure remotely with little loss, whereas the wavelength, intensity and spatial distribution of the light would be conveniently manipulated [65]. One approach to achieve folding is to apply a uniform irradiation, where there is no variation in special distribution of the light, to the "hinged" target sheet, on which the hinge material exhibits better absorption of the irradiation compared to the rest of the structure. When the light is absorbed, photothermal effect takes effect to convert the photon energy into thermal energy. Various methods can realize localized light absorption, for example, by printing black ink on a pre-strained polymer sheet [28] or by fabricating multiple hinges that exhibit different light absorption capacities [66]. Liu et al. [65] described the use of laser light to induce rapid folding of planar, pre-strained polymer sheets into three-dimensional (3D) shapes with simple hinges. A schematic and photos are shown in Figure 1-12.

A comparison of different types of active materials is shown in Table 1-1 [32]. We can see that each active material exhibits its own advantages and disadvantages, and there is no single material that dominates all other materials in all aspects. Therefore, the most appropriate active material for a particular application depends on the specific needs of the application. Maximum strain and blocked (no displacement) stress are measured when an electric, magnetic for thermal field is applied to the material. Relative response time is defined as the amount of time from the moment the field is applied to the completion of the actuation. Frequency illustrates how fast the actuation will complete each time. A frequency of 0 Hz indicates an actuation induced using DC voltage. Bidirectional is defined in such a way that the material is able to achieve displacement in the opposite directions, depending on the direction of field applied. Photochemical and photothermal polymers are considered to be bidirectional since they fold to either direction according to the position of light source.



Figure 1-13. (a) Schematic of the polymer sheet exposed to laser light. (b) Photographs of the folding of a pre-strained polymer sheet coated with black ink. The sample is 10mm×50mm and is irradiated with a laser beam from left side that covers the sample. [65]

From Table 1-1, we can see that DEs and SMPs exhibit large actuation strains. However, the blocked stresses of DEs and SMPs are relatively low compared to terpolymer, which makes them unsuitable as actuators for the applications with external loads. The slow response time and irreversibility of photo-thermal and photochemical polymers are not desirable in the applications where fast response and repeatability are required. In this dissertation, the PVDF-based terpolymer and the MAE are selected as actuator materials, because the terpolymer exhibits relatively high induced strain, blocked stress, elastic energy density and fast response time, while MAE exhibits the capacity to fold to large angles bidirectionally with fast response time.

While there are many origami-inspired designs that utilize active materials for actuation, there are relatively few that utilize multiple active materials in the same design. The origami team at the Pennsylvania State University has been investigating multifield active structures which incorporate both EAPs and MAEs to achieve simultaneous actuation [67–69], for example using bifold and bimorph configurations, which will be described in Chapter 2 and Chapter 3.

	Maximum strain (%)	Blocked stress (MPa)	Relative response time*	Frequency (Hz)	Bidirectional
MAE [70,71]	4-5	0.04	Fast	0-1000	Y
Dielectric elastomer [46,72]	10-200	0.1-9	Fast	0-170	Ν
Terpolymer [46,73]	3-10	20-45	Fast	0-1000	Ν
SMA [46,74]	1-8	200	Slow	0-1	Ν
Shape memory polymer [60,75–77]	200-500	1-3	Slow	0	Ν
Photo-thermal polymer [44,78]	50-60	NA	Slow	Nonreversible	Y
Photochemical polymer [27]	20	0.15	Slow	Nonreversible	Y

Table 1-1. Comparison of active materials used to realize self-folding of origami-inspired devices. [32]

\*Fast response: 0-5s. Slow response: >5s.

#### 1.3 Modeling Methods for Self-folding Origami

Modeling plays an important role in systematically investigating folding behavior and predicting deformations and actuation forces in self-folding origami. Common modeling methods for active structures are analytical modeling, kinematic modeling, rigid body dynamic modeling and finite element analysis (FEA); their features are summarized in Table 1-2 [79].

	Kinematic	Analytical	Dynamic	Finite element
Input	Position	Load	Load	Load
Output	Position	Stress and deformation	Position	Stress and deformation
Rigid Panel Assumption	Yes	No	Yes	No
Crease Model	Revolute	Compliant material	Revolute with torsional spring- damper	Compliant material
Active Material Model	Not possible	Direct	Forces/Torques	Direct or indirect
Geometric Complexity	Yes	No	Yes	Yes
Computing Time	Fast	Fast	Fast	Slow

 Table 1-2. Comparison of analytical, kinematic, dynamic and finite element modeling of active structures. [79]

The analytical method applies beam theory and potential energy analysis to calculate stress and deformation of a structure given the loads; however, it is not able to analyze structures with complicated geometries. The kinematic method focuses on the geometric position of the structure which is divided into several rigid panels. Without calculating stress and strain of the structure, the kinematic method exhibits fast computing time and is suitable for rigid foldability analysis. Similar to kinematic modeling, rigid body dynamic modeling treats the structure as rigid panels and generates their positions as output. However, the input of dynamic modeling is generally torques acting on the panels which are connected using torsional springs; therefore, it has a slower computing time than the kinematic method, but it is faster than the finite element method. Finite element analysis (FEA) treats the structure as compliant materials and can provide detailed stress and deformation information for given loads. FEA is a convenient method to model complicated geometries, but the computational cost is often high. Examples of applying each modeling method to origami-inspired structures are discussed next.

First, analytical modeling is widely used to analyze the behaviors of origami-inspired structures. For example, Hanna et al. [80] developed analytical models to describe the behavior of
the generalized origami waterbomb base (WB) and the split-fold waterbomb base (SFWB). In particular, equations were developed for position analysis, potential energy analysis and forcedeflection behaviors to investigate the impact of initial angles and stiffness of the panels on the final folding angles. Qiu et al. [81] developed an analytical model to study the reaction force of origami structures when they deform, which improved the mechanism-equivalent approach by treating origami structures as redundantly actuated parallel platforms, and introduced repelling screws to conduct force modeling of origami structures for the first time. Qiao et al. [82] introduced a novel design of an origami-inspired pneumatic solar tracking system and provided an analytical model that established explicit relationships between interior pressures and bending angle.

Erol et al. [69] developed an analytical model to predict the deformation behavior of an arbitrary bimorph consisting of terpolymer and MAE layers, where the geometry was modeled as a one dimensional beam that conforms to prescribed bending kinematics and equilibrium of forces and moments throughout. Good agreement with experiments was achieved especially for low field actuation within the linear regime.

Ahmed et al. [50] developed an analytical electromechanical model to study how the curvature of active composite beams varies with different numbers of active terpolymer layers, as well as with the ratios of elastic modulus and thickness between active and inactive layers. The major limitation of this method is that the theory assumes a 2-D deformation, i.e., there is a large curvature in the bending direction, but negligible curvature in the orthogonal direction.

Second, kinematic models are developed to describe the motion of a design, or where the how much the folds take place. In general, kinematic models only deal with the geometry and position of the folding mechanisms, which are treated as rigid panels; so, kinematic models can be solved very quickly. Lang [83] pioneered the use of kinematic models for origami structures; he proposed the sufficient and necessary conditions for flat foldability and developed computational models for implementation. Models for rigid origami, in which all planar faces of the sheet are rigid

and folds are limited to straight creases, are available in the literature [84–86]. All the creases have only zeroth-order geometric continuity  $G^1$ , which means the two successive faces share only the same coordinate position on the common boundary but not derivatives.

However, these previous models are not valid for structures with finite crease thickness. Zirbel et al. [20] proposed a mathematical model to describe origami-inspired deployable arrays with finite thickness materials that have a high ratio of stowed-to-deployed diameter, along with practical modifications for hardware development. Peraza Hernandez et al. [87] proposed a novel model for the folding performance of the origami-inspired creased sheets with nonzero crease surface area. Simulations predicted the folding deformations closer to experiments by introducing higher-order geometric continuity on the creases that conforms the slopes of the two adjacent panels. Such crease regions were named "smooth folds". A numerical model allowing for kinematic simulation was developed and successfully implemented for several arbitrary fold patterns.

Third, dynamic models are generally used when the forces that create motion are of interest. The assumption of rigid panels is often enforced as in a kinematic model, but motion is initiated through the application of forces and torques like in a finite element model. As such, a dynamic model of folding can be considered an intermediate complexity model, with the ability to model entire 3D self-folding systems while providing relatively quick solutions. Active materials can be approximated as applied forces and torques, and creases can be modeled as revolute joints with torsional stiffness and damping. Bowen et al. have developed dynamic models for waterbomb base [32] and Shafer's frog tongue [88], and minimized the error between actuated and target shapes. The advantage of this method is that it significantly reduces degrees of freedom of the structure compared to a finite element model and therefore shortens computing time by hours. However, the deformation curvature within a panel is not accounted for in dynamic models, which is usually non-trivial to accurately estimate the deformed shape during actuation.

Last, finite element analysis is widely used in modeling of smart materials and structures. For modeling of electroactive materials, one approach is to approximate the effect of the applied electric field by applying a pair of compressive surface tractions with same magnitude but opposite directions. For example, in the case of dielectric elastomers, a pair of tractions are applied to the faces of the DE as Maxwell stresses. McGough et al. [89] developed FEA models using the Maxwell stress approach to study the performance of DE actuators. The major limitation of this approach is that net forces will occur especially in high deformation cases because of an imbalance of surface areas on the two sides. For thin structures undergoing large deformations, the net forces will lead to notable deviation from experimental results. Another approach is to develop strain energy functions to model the non-linear response of EAPs. For example, O'Brien et al. [90] introduced electrostatic energy density into the Strain Energy Function in ABAQUS to study the curling phenomenon of a dielectric elastomer-based composite beam.

MAE actuation can also be modeled using the FEA method by applying surface tractions on MAE patches where the magnitudes of the surface tractions are functions of the orientation of MAE patches, such as the models developed by Sheridan et al. [53] and Sung et al. [91]. Haldar et al. [92] developed constitutive relations of magneto-active polymers to combine responses of both magnetic system and mechanical system by introducing Maxwell stress contribution to the amended free energy function in magneto-hyperelasticity. Then a finite element formulation was developed through a standard Galerkin approach and was quantitatively verified through a routine driver algorithm.

The truss-facet model has been used for origami structures for over a decade [93,94]. Recently, Gillman et al. [95] extended the truss-based finite element model by introducing a rotational hinge spring onto an elastic truss along with continuous and differentiable fold angle definition to model fold stiffness. This nonlinear truss model has been applied to several origami tessellation and demonstrated its efficiency and robustness compared to existing modeling methods.

Finally, finite particle method (FPM) was proposed by Yu and Luo to model deployable structures [96], in which the design domain was modeled as a finite particle connected with massless element, and Newton's second law was adopted thus avoiding nonlinear motion equations, complicated stiffness matrix or equilibrium equations. FPM can compute both large rigid body motions and large geometrical deformation of a structure simultaneously.

#### 1.4 Optimization of Origami Structures and Multi-fidelity Optimization

Design optimization in origami-inspired structures is conducted to achieve specific design objectives such as to minimize the error between actual deformed shapes and target shapes, or to minimize the amount of active materials utilized given a target level of shape error. For instance, Bowen et al. [97] developed dynamic models of MAE-actuated origami-inspired mechanisms and then incorporated the model into the Applied Research Laboratory's Trade Space Visualizer (ATSV) with design objectives to minimize shape error while using minimum amount of active material. This approach was implemented to determine orientations and dimensions of the magnetoactive materials for two different origami designs, the waterbomb base and Shafer's frog tongue.

Topology optimization has also been used to identify crease patterns to achieve patterned folding and to search for optimal structural parameters based on design objectives [98–104]. For example, Fuchi et al. [98] proposed a design procedure which systematically searches for optimal fold crease patterns within a specified design domain by adding or removing folds through topology optimization. Pin-joint truss model was used in the optimization, while additional kinematic constraints were assigned on the folding angles. Figure 1-13 shows crease patterns by the proposed topology optimization method. In the study [102], an origami-inspired tube composed of two identical brass miura sheets was first proposed by Liu et al. which exhibited desired dynamic properties in energy absorption applications. Genetic algorithm (GA) was applied to determine the optimal topological parameters such as the miura angles with design objectives to maximize the fundamental natural frequency and maximize the dynamic displacement simultaneously.



Figure 1-14. Comparison of actuating mechanisms via prototypes made from PP sheeting. (a) and (b) Coupled spherical 4-bar Chomper and (c) and (d) four spherical 4-bar coupled through a 6-bar mechanism. [98]

Recently, Gillman et al. [105] conducted topology optimization to discover feasible sequenced origami folding patterns and compared capacity of several gradient-based optimization algorithms and genetic algorithm (GA). Nonlinear truss model was used and binary high or low stiffnesses of the hinge springs were the design variables. In the case studies, including "chomper" and "square twist" which were complex and non-convex problems, GA exhibited best performance and converged to expected optimal solutions for all the case studies. However, compared to gradient-based algorithms, GA requited three to four orders of magnitude more evaluations of design performance.

Metamodeling techniques, which refer to the interaction between low-fidelity and highfidelity numerical models, have progressed remarkably in the design and analysis of computer experiments in the past three decades [106,107]. In general, there is a tradeoff between accuracy and computational cost in the modeling methods. A high-fidelity model is one that provides more accuracy but requires a larger computing cost. In contrast, a low-fidelity model is less accurate but consumes much less computing effort. In metamodeling methods, a low-fidelity model can first be used to determine a preliminary foundation of the design, and then a high-fidelity model is applied to further improve the design performance with high accuracy and confidence, thus largely reducing the overall computational cost in the optimization procedure. The overall objective of this approach is to "attempt to circumvent the curse of dimensionality associated with black-box metamodeling by exploiting domain-specific knowledge" [108]. There are various techniques in developing the multi-fidelity metamodels, but these techniques have not been implemented in the design and optimization of origami-inspired structures, where the actuation mechanisms are relatively complicated, and the deformation is geometrically highly nonlinear.

Different from the aforementioned multi-fidelity optimization methods, there is another approach called "reduced basis method" that optimally reduces the computational complexity of the system [109]. In this method, a transformation matrix  $V_N$ , which relates high-fidelity and reduced basis solutions, needs to be computed in such a way that the orthogonal projection of the residual vector  $r_h^N$  onto  $V_N$  is zero. Priori and posteriori errors are analyzed to ensure accuracy of the reduced-order model. The reduced basis method shows the potential to reduce computational complexity in finite element model development.

## 1.5 Research Objectives and Tasks

Modeling is a powerful tool to investigate actuation performance of origami-inspired structures and is necessary in order to perform systematic design optimization. This dissertation focuses on developing predictive models and optimizing the folding performance of multifield responsive origami-inspired structures. In this dissertation, two types of finite element (FE) models, namely, continuum-element-based and shell-element-based models, are used to study the performance of self-folding multifield origami structures that are actuated using an electroactive polymer (EAP) and/or a magnetoactive elastomer (MAE) material. Then these FE models are validated using experimental characterization of several folding configurations.

To optimize the multifield origami structure, a computationally efficient two-stage design optimization approach is proposed, in which the first stage consists of optimization of the topology of the materials, i.e., the number, location and connectivity of active and passive material regions, and the second stage involves converting the material topology to a continuous structure consisting of active and passive material regions with appropriate material geometries, properties, and applied fields. In this optimization approach, low-fidelity models are developed for use in Stage 1 to evaluate the design performance which enables fast optimization, whereas high-fidelity FE models are used in Stage 2 to achieve fine-tuning of the structure with relatively few iterations of computationally expensive FEA. A generalized two-stage optimization formulation is developed to illustrate each step in the process, and the two-stage procedure is implemented for two case studies to demonstrate its effectiveness and computational efficiency.

The research objectives and tasks are listed as follows. The chapters in which specific tasks are discussed can be found in Table 1-3.

Objective 1: Model the actuation performance of self-folding multifield origami structures.

Task 1.1 Model the actuation mechanism of the PVDF-based terpolymer.

Task 1.2 Model the actuation mechanism of the MAE.

Task 1.3 Develop finite element models for single-field and multifield actuation of active origami structures.

Task 1.4 Validate the FEA models using several simple folding configurations.

**Task 1.5** Investigate influence of relevant structural parameters on the folding performance of the active structures.

Task 1.6 Utilize the actuation mechanisms to design and demonstrate a specific example.

Objective 2: Optimize multifield responsive structures through a computationally efficient two-stage optimization approach.

Task 2.1 Formulate the design optimization problem and the two-stage optimization procedure.

Task 2.2 Develop appropriate low-fidelity models for Stage 1.

**Task 2.3** Formulate and conduct optimization using the low-fidelity model in Stage 1 to determine material topology.

**Task 2.4** Develop material conversion methods for transition from low-fidelity model to continuum material system, then develop the FE models.

**Task 2.5** Formulate and conduct optimization using the FE model in Stage 2 to finetune the structure.

**Task 2.6** Apply the two-stage design optimization approach to demonstrate proof-ofconcept devices and evaluate the effectiveness of this design procedure.

#### **1.6 Dissertation Outline**

The remainder of the dissertation is organized in the following manner. The correlation of dissertation chapters with tasks addressed in each chapter is shown in Table 1-3.

In Chapter 2, finite element models are developed using 3-D continuum elements. The models then predict the responses of active configurations such as the single notch and double notch folding configurations, which are actuated using terpolymer, and a multifield bifold configuration

actuated using either electric or magnetic field. A pair of compressive surface tractions are applied as the external load to simulate the electrostrictive response of terpolymer film, and a pair of spatially constant surface tractions are applied to simulate the magnetic torque generated by an MAE patch placed in an external magnetic field. FEA results are then compared with experiments for validation. Tasks 1.1-1.5 are addressed in this chapter.

The second type of FE models is introduced in Chapter 3, which incorporate constitutive modeling to explicitly simulate the coupled behaviors of the electroactive and magnetoactive materials. Instead of continuum elements, shell elements are adopted for their capacity to model thin films, reduction of computational cost and ability to model the intrinsic coupled behaviors in the active materials under consideration. FEA results are then compared with experiments for configurations including single-field unimorph and multifield bimorph. Tasks 1.1-1.5 are again addressed in this chapter, since the modeling methods described in Chapter 3 exhibit a few advantages compared to the continuum models described in Chapter 2, especially for optimization implementation, which will be the following tasks.

In Chapter 4, a new computationally efficient design optimization approach, namely, a two-stage design optimization procedure, is proposed for design of multifield responsive structures. First, generalized formulation is presented to illustrate each action in the two-stage procedure which can be applied to various low- and high- fidelity models and actuation mechanisms. Since rigid body dynamic model is considered as a particularly effective modeling method for the specific active materials in this dissertation, an additional flowchart is then generated where rigid body dynamic model is applied in Stage 1, and the corresponding actions are described. Tasks 2.1, 2.2 and 2.4 are addressed in this chapter.

In Chapter 5, a two-stage optimization procedure is implemented for two case studies, i.e., a three-fingered gripper which is actuated using the PVDF-based terpolymer, and a multifield origami-inspired coffee table where both terpolymer and MAE are used as actuators. In the design of the gripper, the objectives are to maximize free deformation  $\Delta_{free}$  and blocked force  $F_b$  at the tip of each finger of the gripper. An analytical model is developed and applied in Stage 1 to determine optimal segment lengths and material thicknesses, while an FE model in Stage 2 is used to further improve design performance by modifying the edge slope of each segment. In the design of the origami coffee table, the objectives are to achieve minimum shape error from the target shape using the minimum amount of active materials. A rigid body dynamic model is developed and applied in Stage 1, and an FE model is used in Stage 2 to fine-tune the material dimensions and properties to further improve the design. The computational times using the two-stage approach is compared with purely FEA-based optimization to demonstrate its efficiency, and the improvements of the objective values indicate its effectiveness. Tasks 1.6, 2.2-2.6 are addressed in this chapter.

In Chapter 6, conclusions are discussed, and research contributions are identified. Finally, topics for future work are presented.

Chapter	Tasks addressed
2	1.1-1.5
3	1.1-1.5
4	2.1, 2.2, 2.4
5	1.6, 2.2-2.6

Table 1-3.Correlation of dissertation chapters with tasks addressed in each chapter.

# Chapter 2

# Finite Element Analysis of EAP and MAE Actuation for Origami Folding Using Continuum Modeling

[Most of the contents of this chapter were published in the following journal [68]: Zhang, W., Ahmed, S., Masters, S., Ounaies, Z., and Frecker, M., 2017, "Finite Element Analysis of Electroactive Polymer and Magnetoactive Elastomer Based Actuation for Origami Folding," Smart Mater. Struct., **26**(10), p. 105032.]

#### **2.1 Introduction and Motivation**

The incorporation of smart materials, such as electroactive polymers (EAPs) and magnetoactive elastomers (MAEs), can result in active folding of origami structures when using external electric and magnetic stimuli, showing promise in many origami-inspired engineering applications. In this dissertation, 3-D finite element analysis (FEA) models are developed for several configurations that incorporate a combination of active and passive material layers, namely,: 1) a single-notch unimorph folding configuration actuated using only external electric field, 2) a double-notch unimorph folding configuration actuated using only external electric field, and 3) a bifold configuration which is actuated using multifield (electric and magnetic) stimuli. A unimorph is defined as an actuator which is composed of a layer of active material and a layer of passive material. A bimorph, which is discussed in Chapter 3, is defined as an actuator which is composed of two layers of active materials and one layer of passive material.

The objectives of this chapter are to develop and validate FEA models that simulate folding behavior and to investigate the influence of geometric parameters on folding performance. Equivalent mechanical pressures and surface stresses are used as external loads in FEA to simulate electric and magnetic fields, respectively. By investigating the impact of geometric parameters and locations of smart materials, FEA can be used in the design process, avoiding trial-and-error iterations of experiments.

The following tasks are addressed in this chapter:

Task 1.1 Model the actuation mechanism of an EAP, namely, PVDF-based terpolymer.

Task 1.2 Model the actuation mechanism of the MAE.

Task 1.3 Develop finite element models for single-field and multifield actuation of active origami structures.

Task 1.4 Validate the FEA models using several folding configurations.

**Task 1.5** Investigate influence of relevant structural parameters on the folding performance of the active structures.

#### 2.2 Actuation Mechanisms and Simulation Methods

#### 2.2.1 Terpolymer Based Actuation

The relaxor ferroelectric P(VDF-TrFE-CTFE) terpolymer exhibits electrostrictive response. When an electric field is applied through the thickness of the material, there is a contraction in that direction followed by a corresponding expansion in the transverse directions. To simulate the electric-field-induced strain  $\varepsilon_{33}$ , an equivalent surface traction  $\tau_{33}$  in the 3-direction is deduced using Equation 2-1:

$$\tau_{33} = Y_{3333}\varepsilon_{33} \tag{2-1}$$

where the strain  $\varepsilon_{33}$  is measured experimentally as a function of electric field;  $Y_{3333}$  is the elastic modulus in the thickness direction; and  $\tau_{33}$  is the compressive pressure used in the FEA model to

simulate the effects of the electric field. The assumption is made that the terpolymer film is isotropic and expands in the 1-2 plane according to Poisson's ratio when the material experiences contraction in the thickness, as shown in Figure 2-1.



Figure 2-1. Compressive pressures are applied through the 3 direction as indicated by red arrows, and the hollow arrows show the directions of planar expansion in the 1-2 plane.

In continuum modeling, we use the law of balance of linear momentum, which shows:

$$\rho \dot{\boldsymbol{v}} = \operatorname{div} \boldsymbol{\sigma} + \boldsymbol{b}_0 \tag{2-2}$$

where  $\rho$  is the mass density,  $\boldsymbol{v}$  is the velocity,  $\boldsymbol{\sigma}$  is the stress and  $\boldsymbol{b}_0$  is the body force. In this dissertation, we focus on predicting the final deformed shapes of different configurations upon application of external fields regardless of the timing and trajectory of the object; therefore, the assumption is made that all the studies in this dissertation obey the quasi-static assumption, thus  $\boldsymbol{v}$  is always zero. The only body force is the weight of the object. The balance of linear momentum is expressed as shown in Equation 2-3:

$$\operatorname{div}\boldsymbol{\sigma} + \rho \boldsymbol{g} = 0 \tag{2-3}$$

where g is the gravitational acceleration, along with the boundary condition on the top and bottom surfaces of the terpolymer as shown in Equation 2-4:

$$\boldsymbol{\sigma}\mathbf{n} = -\tau_{33}\mathbf{n} \tag{2-4}$$

An assumption is made that the terpolymer is within the linear elastic regime for the deformations studied in this dissertation, which is further discussed in Section 2.3.2. Then the matrix form of the constitutive relations of the deformation of the terpolymer are shown in Equation 2-5:

$$\begin{bmatrix} \sigma_1 \\ \sigma_2 \\ \sigma_3 \\ \sigma_4 \\ \sigma_5 \\ \sigma_6 \end{bmatrix} = \begin{bmatrix} \lambda + 2\mu & \lambda & \lambda & 0 & 0 & 0 \\ \lambda & \lambda + 2\mu & \lambda & 0 & 0 & 0 \\ \lambda & \lambda & \lambda + 2\mu & 0 & 0 & 0 \\ 0 & 0 & 0 & 2\mu & 0 & 0 \\ 0 & 0 & 0 & 0 & 2\mu & 0 \\ 0 & 0 & 0 & 0 & 0 & 2\mu \end{bmatrix} \begin{bmatrix} \varepsilon_1 \\ \varepsilon_2 \\ \varepsilon_3 \\ \varepsilon_4 \\ \varepsilon_5 \\ \varepsilon_6 \end{bmatrix}$$
(2-5)

where the parameters  $\lambda$  and  $\mu$  are expressed as:

$$\lambda = \frac{Yv}{(1+v)(1-2v)}, \mu = \frac{Y}{2(1+v)}.$$

Where v is Poisson's ratio and Y is the elastic modulus. Because all the materials are assumed to be isotropic, the elastic modulus in all directions are equal and denoted as Y.

A unimorph actuator is made by attaching a passive material layer, or substrate, to the terpolymer film, as depicted in Figure 2-2. When an electric field is applied to the terpolymer, its planar expansion will be restricted by the substrate, thus resulting in bending behavior of the structure.

The FEA models are developed using 3-D brick continuum elements from the solid mechanics module of the commercial FEA package COMSOL Multiphysics. The solid mechanics module is based on solving Navier's equation, and results such as displacements, stresses and strains are computed. 3-D brick continuum elements are adopted for two reasons. First, brick elements conform to the cuboid shape of the target objects in this research, resulting in easier mesh quality control. Second, brick elements have more degrees of freedom with a lower number of elements compared to tetrahedral elements, and therefore are capable of reducing computational errors and helping convergence especially for large deformation.

In the FEA, the active terpolymer layer and the passive layer are modeled as a union with subdomains, which means that the contacting boundaries of the two layers are automatically subjected to a continuity boundary condition while different material properties can be assigned to the two layers.



Figure 2-2. The schematic of terpolymer-based unimorph bender.

#### 2.2.2 MAE Based Actuation

Details on the magnetic material and its fabrication are discussed in our research group's previous work [69] and are briefly summarized here. To fabricate the MAE patches, 30% by volume 325-mesh barium hexaferrite particles (ESPI Metals, Oregon) were embedded into PDMS (Dow Corning sylgard 184, Michigan) matrix. First the samples are magnetically poled, and then a vibrating sample magnetometer (VSM) is used to quantify their remanent magnetization. Once placed in a magnetic field, the MAE patch will generate a torque. The magnetic torque generated by a unit volume of MAE, which is denoted as T, can be calculated using Equation 2-6:

$$T = M \times \mu_0 H \tag{2-6}$$

where  $\mu_0$  is the magnetic permeability of vacuum, M is the remanant magnetization vector of the particles per unit volume and H is the external magnetic field vector. The assumption is made here that the deformation is concentrated in the flexible regions representing creases, while the deformation in the stiffer MAE patches is relatively small. Additionally, the concentrated deformation, namely, the folding, takes place along a certain axis. Therefore, this cross-product can be approximated as:

$$T = \mu_0 MHsin(\alpha) \tag{2-7}$$

where  $\alpha$  is defined as the angle between the remanent magnetization (*M*) and applied magnetic field vectors (*H*).

Since torques cannot be applied directly in the Solid Mechanics module of COMSOL, an equivalent pair of surface tractions are applied to simulate the magnetic torque as shown in Figure 2-3. The pair of surface tractions are of the same magnitude  $\tau$  but of opposite directions, creating a net moment T' as shown in Equation 2-8:

$$T' = \tau A t \tag{2-8}$$

where t is the thickness of the MAE patch and A is the surface area. When the angle between M and H is  $\alpha$ , the tangent component of the surface stresses, which generates the torque, becomes  $\tau \sin(\alpha)$ , and the corresponding magnetic torque becomes:

$$T' = \tau \sin\left(\alpha\right) A t \tag{2-9}$$

which is consistent with the cross-product in Equation (2) by incorporating a sin ( $\alpha$ ) term.



Figure 2-3. A pair of horizontal surface loads  $\tau$  are applied on the top and bottom surfaces of MAE patch orienting (a) horizontally and (b) with an angle  $\alpha$  to the field.

The actual magnetic torques *T* under specified field strengths are calculated using Equation 1. By letting T = T', the values of the surface stresses  $\tau$  in the FEA model are determined by Equation 2-10:

$$\tau = T/(tA) \tag{2-10}$$

The law of balance of linear momentum holds for MAE as well, as expressed in Equation 2-3. Now the boundary condition on the top and bottom surfaces of the MAE is:

$$\sigma \mathbf{n} = \tau \tag{2-11}$$

The MAE is assumed to be an isotropic linear elastic material, and the constitutive equations for the MAE are in the same format as shown in Equation 2-5 with appropriate material properties.

#### 2.3 FEA Modeling and Verification

In this section, several origami-inspired bending/folding configurations are used to validate the FEA models through comparison with experiments, including terpolymer-based unimorph bender, single-notch unimorph, double-notch unimorph and a multifield bifold configuration which is actuated using both terpolymer and MAE.

#### 2.3.1 Terpolymer-Based Unimorph Bender

The unimorph bender here is composed of a terpolymer layer and a scotch tape substrate layer, as shown in Figure 2-2. The unimorph bender is used as a test model for its simplicity and thereby the reliability and repeatability in experiments. Measurement of the material properties was conducted by Ahmed and are described here. The tensile modulus of the terpolymer is measured using a Dynamic Mechanical Analysis (DMA) machine of model RSA-G2 by TA Instruments. The longitudinal strain  $\varepsilon_{33}$  is measured through the thickness actuation experiments [30], which is briefly summarized here. Voltage is applied on 1.2 *cm* diameter, 30  $\mu m$  thickness electroded terpolymer samples. Displacement in the thickness direction is measured and converted into strain  $\varepsilon_{33}$ , as recorded in Figure 2-5. More details on experiment and date can be found in [30]. The corresponding compressive tractions  $\tau_{33}$  are calculated using Equation 2-1, as shown in Figure 2-4. The material properties of terpolymer and the scotch tape substrate, and the dimensions of the unimorph bender are shown in Table 2-1, where the material properties of scotch tape were determined experimentally in [30], and the Poisson's ratio was taken from the literature [73].

	Terpolymer	Scotch tape
Length (cm)	3.0	3.0
Width (cm)	1.0	1.0
Thickness (mm)	0.030	0.062
Elastic modulus (GPa)	0.2	1.6
Poisson's ratio (1)	0.48	0.30
Density (g/cm3)	0.96	1.063

Table 2-1. Modeling parameters of the unimorph bender configuration.



Figure 2-4. Strain in thickness  $\varepsilon_{33}$  and corresponding traction  $\tau_{33}$  values.

The unimorph actuator was tested by Ahmed. The experimental conditions are briefly summarized here, and more details are available in study [30,50,110]. Glass slides are used to clamp

the top part of the sample, which forms a fixed boundary condition as shown in Figure 2-11, except that the sample here is a unimorph bender instead of a single-notch unimorph.

The meshed FEA model is shown in Figure 2-5. A fixed boundary condition is applied at the top surfaces of the terpolymer and scotch tape to match the experimental conditions. The compressive surface tractions  $\tau_{33}$  are applied in pair on the two large surfaces of the terpolymer.



Figure 2-5. The meshed FEA model of the terpolymer-based unimorph bender.

Deformed shapes from both the experiments and simulations are shown in Figure 2-6. In the experiment the sample bends up as the electric field increases from 30 MV/m to 70 MV/m. It can be observed that the 3-D continuum model is able to capture the bending behavior of the unimorph bender, but for all electric fields, the simulation underestimates the bending curvature. It is noted that Figure 2-6 shows results from only one set of experiments, which may not be representative of the average performance. Therefore, comparison between the FEA and the average results of repeated experiments is necessary.

To quantitatively compare experiments and FEA results, bending curvature is used as the metric to determine how well the simulation matches the experiments, and is measured using the image analysis software ImageJ. The bending curvature is measured as the following procedure. In software ImageJ, a circle is created and adjusted to overlap the bending region. Then, the radius of

the circle is obtained from ImageJ in unit of pixel. Finally, the pixel count is converted into meters with assistance of a reference object of a known length.



Figure 2-6. Deformed shape comparison of the unimorph bender between experiment (top) and simulation (bottom) under specified electric fields.

The results are shown in Figure 2-7. The error bars in the experimental data indicate plus/minus one standard deviation for a total of 8 samples measured. The FEA agrees well with the experiments when the fields are 30, 40 and 50 MV/m, but underestimates bending curvature when fields are 60 and 70 MV/m. The larger error bars at the moderate electric fields 40 and 50 MV/m magnitudes indicate the dependence of folding performance on the fabrication of the unimorph samples. For example, different thicknesses of the terpolymer from different fabrication batches can lead to a change in bending stiffness and curvature of the composite beam. For the 8 bender samples, the thickness of the terpolymer film ranges from 29 to 40 microns, while in the FEA model, thickness of the terpolymer is estimated as 30 microns. A better consistency between the samples is expected to improve the agreement between FEA and experimental results. In addition, the FEA underestimates the bending curvature when *E* = 60 and 70 MV/m, which indicates the limitation of the continuum FEA method for the case of high bending curvature.



Figure 2-7. Comparison of bending curvature between experiment and simulation for the unimorph bender with electric field ranging from 30 to 70 MV/m.

## 2.3.2 Single-notch Folding Configuration

Active folding can be achieved by taking advantage of a non-uniform thickness distribution along the length of the substrate, as shown in Figure 2-8 (a). In general, the regions with thicker passive material exhibit less bending curvature due to larger bending stiffness, and thus are called "panels". The regions with thinner passive material experience larger bending curvature and are called "notches". Under actuation, the deformation will be localized in the notches and in such a way the folding performance is achieved, as shown in Figure 2-8 (b).



Figure 2-8. Schematics of (a) a single-notch unimorph, and (b) the expected folding deformation in red, and the original position in black.

A particular way to realize this non-uniformity is to add different numbers of layers of passive material in certain regions of the structure. Figure 2-8 (a) shows a schematic of single-notch folding configuration, where an adhesive scotch tape is attached to the terpolymer layer as the passive material substrate. The material properties and geometric dimensions are listed in Table 2-

2.

	Terpolymer	Scotch tape
Length (cm)	6.0	6.0 (2.5 for panel, 1.0 for notch)
Width (cm)	2.0	2.0
Thickness (mm)	0.040	0.062 (single layer)
Elastic modulus (GPa)	0.2	1.6
Poisson's ratio (1)	0.48	0.3
Density (g/cm3)	0.96	1.063

Table 2-2. Modeling parameters for single-notch folding configuration.

The experiments of the notched unimorph were conducted and the photos were taken by Ahmed and are briefly summarized here, and the experimental date were used to validate the FEA models. More details are available in [30,110]. The top of the sample was taped to a glass slide vertically to produce a cantilever as seen in Figure 2-9 (a).



Figure 2-9. The unimorph sample is attached to the glass slide to give the sample a cantilever constraint. (a) Front view, (b) Side view. Photos were taken by Ahmed.

The terpolymer layer and scotch tape are modeled as isotropic materials. All these parts are combined into a single union in the FEA model, making the contacting surfaces subject to a continuity boundary condition. In order to reduce the degrees of freedom of the model and save computing time, a symmetry boundary condition is applied in the center of the y-z plane, resulting in a half-symmetry model with width of 1 cm instead of 2 cm. The dimensions and material properties are listed in Table 2-2. As the sample is placed in the vertical direction, gravity is assigned in the z-direction in the FEA model.

The meshed FEA model is shown in Figure 2-10 (a), with the notch region magnified in Figure 2-10 (b). Since the localized deformation in the notch region will cause large bending curvature, more elements are needed there to ensure relatively small deformation in each individual element and a higher accuracy in the overall simulation results.

The effect of mesh density in the notch region is studied to find the least number of elements that gives convergent simulation results. Here, an electric field of 70MV/m is applied in the FEA model of the single-notch unimorph. Since the notch region is critical for folding behavior, the average curvature  $\kappa$  in the notch is selected as the metric for mesh convergence, which is measured in the FEA software package COMSOL Multiphysics with the selection of line average principal curvature. The corresponding CPU time to converge for each FEA model is also recorded to reveal the computational cost as a function of the degrees of freedom of the model. The FEA result is shown in Figure 2-11, and as can be seen, the degrees of freedom increase with increasing number of elements along the notch.



Figure 2-10. The single-notch folding structure is meshed with brick elements. In the notch region the elements are two times denser than in panel regions along the vertical direction. (a) The entire meshed structure, and (b) meshed notch region.



Figure 2-11. Average curvature  $\kappa$  in the notch and the CPU time as functions of degrees of freedom of the FEA model. The red dots show the least number of elements that lead to convergence.

From around 50,000 to 64,000 degrees of freedom (DoFs), the average curvature in the notch increases dramatically. After 64,000 degrees of freedom, the fluctuation in average curvature is less than 1%, so that beyond 64,000 degrees of freedom the model is assumed to converge. The corresponding mesh density is 30 elements per centimeter in the notch and 10 elements per centimeter in the panels. This mesh density is also adopted in the later double-notch folding model. In this study, the model with DoFs 50000, 64000 and 89000 consume 1.8 hours, 4 hours and 7.2 hours to converge, respectively.

According to beam theory, the maximum strain which appears on the surface of a beam is calculated as in Equation 2-12:

$$\varepsilon = \kappa \cdot d \tag{2-12}$$

where *d* is the distance from the neutral axis to beam surface. Here, we let  $\kappa = 127/m$ , as obtained from Figure 2-11, which is representative for the bending and folding deformations appearing in this dissertation, and  $d = 60 \ \mu m$  based on geometry of the unimorph, which leads to  $\varepsilon = 0.76\%$ . According to [111], the linear elastic regime of the terpolymer is within 3%, and therefore, the assumption of linear elastic material of the terpolymer is justified.

The electric field magnitudes applied in the folding experiments are not exactly the same as those applied in the strain test listed in Figure 2-4, so linear interpolation is utilized for the calculation of compressive pressures to compare with experiment.



Figure 2-12. Deformed shape comparison between experiment (top) and simulation (bottom) under specified electric fields and corresponding pressures.

Deformed shapes from both experiment and simulation are shown in Figure 2-12. It can be observed that the sample folds up as the electric field increases from 25.0 MV/m to 62.5 MV/m. It can be seen that a localized deformation occurs in the notched region where the bending stiffness is lower than that of the panels, while the panels deformed much less. At 62.5 MV/m, the ends of

the two panels are in contact, which prevented the sample from further folding. The 3-D simulation is able to capture the large localized curvature in the notch region, which occurs due to the reduction of bending stiffness in the thinner notch region. However, it is also observed that at lower electric fields like 25 MV/m and 37.5 MV/m, the simulation underestimates the folding somewhat, while at higher fields like 62.5 MV/m, the folding is overestimated with the tip panel curling past the root panel in the FEA.

In previous studies [30,89], tip displacement was considered an important metric to compare experiment and simulation. However, it is not appropriate to use tip displacement when the structure folds up and contacts itself under high electric fields, as shown in Figure 2-12. For example, the experimental results at 62.5 MV/m show that the structure experiences greater folding than 56.2 MV/m, but smaller tip displacement. For that reason, the folding angle is used as the metric to determine how well the simulation matches experiments. Figure 2-13 shows the schematic of how the folding angle is defined in this dissertation. The folding angle  $\theta$  is measured as follows: draw tangent lines at the two center points of both the root and the tip panels, extend them so that they intersect, then measure the exterior angle of the angle that is between the two panels. The image analysis software ImageJ is used to measure the folding angles for both experiments and FEA results.



Figure 2-13. Folding angle  $\theta$  is defined as the exterior angle between two lines that connect either end of the sample to the closer edge of the notch.

Figure 2-14 shows a quantitative comparison of folding angles between experiment and simulation. The error bars in the experimental data indicate plus or minus one standard deviation for a total of 5 samples measured. Compared to average experimental values, FEA estimates folding at 20MV/m and 40MV/m well, while it overestimates at 50MV/m and 60MV/m. The larger error bars at the higher electric field magnitudes indicate the dependence of folding performance on the fabrication of the notched samples. Folding performance also depends on how uniformly the layers are glued together. Both experimental cases correspond to the maximum and minimum measured folding angle at 60MV/m are shown in Figure 2-16. The less folded sample experienced twisting in the out-of-plane direction, which reduces folding performance especially at high electric fields. The deformed shape predicted by FEA is qualitatively very close to the maximum case as well as the measured folding angle, but much higher than the minimum case.



Figure 2-14. Comparison of folding angles between experiment and simulation for the unimorph singlenotch configuration with electric field ranging from 20 to 60 MV/m.

## 2.3.3 Parametric Study using FEA

A parametric study is conducted with the FEA to investigate the impact of geometric parameters, including notch length, beam length and beam aspect ratio, on the folding performance. The geometry of the beam in each case is denoted as beam length-width-notch length in centimeters. In the notch length study, the length of the notch region varies from 0.5 cm to 4.0 cm, while the beam length is kept constant at 6 cm. Folding angles and some typical deformed shapes by FEA are shown in Figure 2-15.

Figure 2-15 shows that at a given electric field, the folding angle increases with an increase in notch length. According to beam theory, the angular deflection  $\theta_0$  is calculated as shown in Equation 2-12:

$$\theta_0 = \kappa l \tag{2-12}$$

where  $\kappa$  is the bending curvature and *l* is the beam length. From Figure 2-12, we see that the folds are localized in the notched regions where much higher bending curvatures are observed compared to the panels. As the length of a notch increases, the folding angle, i.e.,  $\theta_0$ , will also increase. Additionally, the increase in the length of the notch region shortens the length of the panels, which leads to a smaller bending curvature in the panel regions. We can conclude that the notch geometry plays an important role in folding performance and target folding angles could be achieved by designing proper notch length.



Figure 2-15. Folding angles and deformed shapes in notch length study are shown, where the length of notch changes from 0.5 to 4cm, while the length and width of the beam remain 6cm and 2cm respectively.

In the beam length study, the total length of the beam is studied at 3 cm, 6 cm to 9 cm, while the ratios of beam length and beam width, beam length and notch length and also the thicknesses of the materials are kept constant. Figure 2-16 shows that folding angle increases as the beam length increases especially after 40MV/m. This trend can also be explained by Equation 2-. With fixed material thicknesses, the bending curvature  $\kappa$  remains the same, and folding angle increases with material length *l*.

In the beam width study, the width of the beam is varied from 1 cm, to 2 cm and to 4 cm, while the beam length and notch length are kept constant at 6 cm and 1 cm, respectively. From Figure 2-17, we can see that beam width does not have a significant effect on folding angle. Compared with sample 6-1-1, sample 6-2-1 shows better actuation at 60 MV/m, but less actuation at 50 MV/m. This may be due to numerical error in the FEA, i.e.,, there are jumps in the folded shapes occurring at some loading steps in the FEA, whereas slight changes occur at other loading steps. Here the step size of pressure is 0.1 MPa.



Figure 2-16. Folding angles in beam length study, where the length of the beam changes from 3cm to 9cm, while the ratio of length-width-notch length remains constant.



Figure 2-17. Folding angles in beam width study, where the width of the beam changes from 1cm to 4cm, while the length and notch length remain 6cm and 2cm respectively.

## 2.3.4 Double-notch Folding Configuration

As localized deformation and active folding are achieved successfully using the singlenotch configuration, a double-notch configuration was first proposed by Ahmed in order to realize a higher overall extent of folding, and then is modeled here using FEA methods, as shown in Figure 2-18.

Since this active structure is actuated by terpolymer as well, the same modeling method is utilized to apply pressures on the large surfaces of terpolymer film to simulate the effect of electric field. The values of pressures at specified fields are listed in Figure 2-4. As with the single-notch configuration, the sample is placed in the vertical direction; therefore, gravity is assigned in the z-direction in the FEA model.



Figure 2-18. The schematic of double-notch folding structure.

The mesh density is the same as described in the last section, which is 30 brick elements per centimeter in the notch regions and 10 elements per centimeter in the panels. The folding angle measurement for each notch follows the same procedure as depicted in Figure 2-13. The deformed shape and folding angle comparisons are shown in Figures 2-19 and 2-20, respectively. In this simulation, the computation was terminated before reaching 2.8 MPa pressure, i.e.,, 46.1 MV/m of electric field due to the large computer memory required for this geometrically more complex structure.

	26.3MV/m 0.4MPa	31.2MV/m 0.7MPa	39.5MV/m 2.0MPa	46.1MV/m 2.8MPa
Experiment pictures			J	C.
Finite element simulation	0.04	8 401 0 404 402	<b>9</b> 0.04 0.03 0.02 0.01 9 0.04 0.02 0.02 0.02 0.02 0.02 0.02 0.02	© 01 0 001 0 00 0 00 0 00

Figure 2-19. Comparison of deformed shapes between the experiments and simulation for the double-notch configuration.



Figure 2-20. Comparison of folding angle between experiment and simulation for both notch 1 and notch 2.

From both Figures 2-19 and 2-20, the experimental folding angles of the two notches at a specified electric field are almost the same, which makes sense according to the symmetric distribution of the two notches along the sample. Compared to the single-notch configuration, folding angles are higher in double-notch case. For example, at 40 MV/m, the folding angle is about 50 degrees for the former and around 80 degrees for the latter. Hence, the double-notch configuration exhibits much higher folding actuation than single-notch. Comparing with FEA, the deformation in two notches in experiments are both much greater than that of FEA results before

40 MV/m, while at 46 MV/m FEA overestimates notch 1 but still underestimates notch 2. The difference between notch 1 and notch 2 is due to the net force generated by the imbalance of the compressive pressures acting on the two surfaces, which will be further discussed below.

One limitation of continuum FEA modeling is that the resultant forces acting on the two large surfaces of the terpolymer due to the compressive tractions become unbalanced when the unimorph deforms and leads to a difference in surface area between top and bottom side of terpolymer layer. Although the unbalanced net forces are as small as several milli-Newtons, the terpolymer and tape layers are so thin that the net force can cause substantial deformation. This limits the range of stimuli magnitudes that can be applied in the model. Another limitation in FEA is that both the terpolymer and scotch tape films are treated as linear isotropic materials with properties independent of external environment, such as temperature, and the deformation process. The terpolymer film may heat up when the electric field is applied due to dielectric losses, and thus could experience changes in Young's modulus and Poisson's ratio. Also, the large bending curvature occurs in the notch region, which may lead to a non-linear mechanical behavior of both active and passive layers.

## 2.3.5 The Bifold Configuration

Most smart structures include a single type of active material as the actuator and respond to a single external stimulus. The deformed shape depends only on the magnitude of that stimulus. However, multifield responsive smart structures contain more than one type of active material and are capable of folding to multiple target shapes depending on not only the magnitudes of the stimuli, but also the types of stimuli that are applied. The bifold configuration, proposed by Dr. Landen Bowen in [8], is a multifield responsive structure fabricated by Corey Breznak from by attaching MAE patches and terpolymer strips onto the top and bottom surfaces of a polydimethylsiloxane (PDMS) substrate, respectively, as shown in Figure 2-21. The MAE patches respond to external magnetic field to fold the structure along one crease, while terpolymer films react to electric field to give rise to folding along the other orthogonal crease. The fabricated samples of the bifold are shown in Figure 2-22.



Figure 2-21. Design of the bifold. In (a) top view, MAE patches are displayed with the shown poling directions, leading to a fold about the vertical crease line. In (b) bottom view, four single-layer terpolymer films are attached to give rise to folding about the horizontal crease line.



Figure 2-22. Four MAE patches (left) and four single-layer terpolymer actuator strips (right) are placed on a PDMS substrate to create a multifield bifold. Since the PDMS is transparent, the sample edges are highlighted in black [112].

The remanent magnetization M of the MAE with dimensions shown in Table 2-3 was measured using a Microsense EZ7 vibrating sample magnetometer, which is 0.000367  $A/m^2$ . The actual magnetic torques T under specified field strengths are calculated using Equation 2-1, and the values are shown in Figure 2-23. The values of the surface tractions  $\tau$  in the FEA model are determined by Equation 2-6, and shown in Figure 2-23.

	MAE
Length (mm)	18.0
Width (mm)	18.0
Thickness (mm)	0.508
Density (g/cm3)	1.53
Elastic modulus (MPa)	3.5

Table 2-3. Material properties and dimensions of MAE patches.



Figure 2-23. Values of magnetic torque T and corresponding surface stresses at different magnetic fields.

Due to the symmetry of the bifold configuration along both of the folding creases, the FEA model is developed only for a quarter of the whole structure, as shown in Figure 2-24. A symmetry boundary condition is applied on the surface with the arrows.



Figure 2-24. Quarter symmetry FEA model of the bifold structure in (a) top view with an MAE patch and in (b) bottom view with two terpolymer films. The arrows indicate the symmetry boundary condition applied on that surface.

The experiments on the bifold configuration were conducted by Dr. Landen Bowen and Kara Springsteen. More details can be found in [112]. As the magnetic field is applied from left to right (assuming the same orientation as found in Figure 2-25), the MAE will try to align with the applied field. This results in the left and right sides rotating out of the page, forming a "V" (see Figure 2-25). The sample was tested inside a large horizontally-oriented Walker 7HF electromagnet, and the actuation angle of the sample was measured from digital images taken as the magnetic field strength was varied from 0.0083 to 0.1195 T (see Figure 2-23). Three tests over the range of field strengths were performed on the same sample. The magnitudes of magnetic torques each MAE patch experiences under different field strengths are reported in Figure 2-23, where using Equation 2-6, the corresponding surface loads are calculated and shown in Figure 2-23. Since the folding happens in the vertical plane, gravity is assigned in the z– direction.



Figure 2-25. The bifold was placed inside of a large, horizontally oriented electromagnet. Upon application of a magnetic field, the MAE patches rotate to fold the PDMS substrate as they attempt to align with the applied field [112].

Upon supply of power, the PDMS substrate folds along the crease line due to the actuation of the MAE patches, which rotate to align with the magnetic field as shown in Figure 2-25. The deformed shape in simulation is shown in Figure 2-26. Here  $\theta$  represents half of the folding angle because the FEA model is symmetric about the crease line, where the large local deformation is evident.


Figure 2-26. The simulated image of the actuated quarter of bifold under field strength 0.1195 T. according to the symmetry of bifold geometry,  $\theta$  indicates half of the folding angle.

As we can see from Figure 2-27, the simulation results show good agreement with experimental data in most cases except at very low magnetic field where the folding angle is negative in simulation because of the overwhelming effect of gravity under such a small field strength, while in the experiment the sample is supported by the platform on which it sits, and therefore exhibits zero folding angle. The simulated values are generally about 5-10 degrees greater than measured by experiment, for reasons which are discussed below.



Figure 2-27. Comparison of folding angle between simulation and experiment for MAE actuation of the bifold.

The feasibility of this modeling method is validated by the good agreement between simulation and experimental results in the bifold configurations. The 5-10-degree difference in the simulated folding angles and experimental ones is attributed primarily to the reduction of the magnetic field strength from the center of the magnets to the edge. The magnets are about 10 cm in diameter, and the bifold sample is 45mm in length and width. According to our measurement, the magnetic field is 121 mT at the center, and 115 mT at the position 2cm away from the center, showing a 5% reduction in field strength. Another reason for the difference is that the adhesive layer between the terpolymer films and the PDMS are not included in the FEA model, which may lead to a slight over prediction of the folding angles using FEA.

In addition to the MAEs, terpolymer films were added to make a multifield actuated bifold. The terpolymer actuators were fabricated using a single layer of electroded terpolymer. After fabrication, adhesive spray (scotch super 77) was used to attach the terpolymer to the PDMS substrate. The sample was held using tweezers and oriented such that gravity did not oppose the folding, and the wires were connected to a high voltage power supply (see Figure 2-28). A camera was placed under the sample to take the videos as the applied electric field strength was increased from 30 to 80 MV/m. Two different single-layer actuators were tested on the same substrate three times each.



Figure 2-28. The bifold sample is hung using tweezers and folds in the horizontal plane for terpolymer actuation.

For the terpolymer actuation, the values of the pressures are listed in Figure 2-4. In this experiment the folding happens in the horizontal plane, the y-z plane in Figure 2-28; so, the gravity is set to zero in the FEA model. The meshed FEA model is shown from the side where terpolymer is attached, as in Figure 2-30. The mesh density is much higher in root region than in other parts in order to reduce influence of stress concentration. The experimental setup and the deformed shapes in both experiments and simulation are shown in Figures 2-29, 2-31 and 2-32 respectively. To reduce the influence of stress concentration on the edge where fixed boundary condition is applied, 20 elements are assigned along the root region of 2.25 mm as shown in Figure 2-35, resulting in a much higher mesh density than in other parts. Figure 2-34 shows the von Mises stress distribution in the terpolymer strips and PDMS matrix.



(a) (b) (c) Figure 2-29. Deformed shapes of the bifold actuated using electric field of (a) 0 MV/m, (b) 40MV/m and (c) 70 MV/m.



Figure 2-30. Overall mesh assignment viewed from the side of terpolymer films (a) and exaggerated root region (b). The mesh density is much higher in root region than in other parts in order to reduce influence of stress concentration.



Figure 2-31. The deformed shape in simulation under electric field of 70 MV/m for terpolymer actuation. According to the symmetry of bifold geometry,  $\theta$  is half of the folding angle.



Figure 2-32. The von Mises stress of terpolymer strips and PDMS matrix are shown.

From Figure 2-33 we can see that the average experimental folding angle increases as the electric field increases from 30 MV/m to 70 MV/m, but decreases from 70 MV/m to 80 MV/m, where breakdown of the terpolymer film is likely to be initiated. Large error bars are observed at 30 MV/m, 50 MV/m and 60 MV/m, indicating a large difference in actuation quality between the two tested samples. The FEA results agree reasonably well with the experimental results from 30 MV/m to 60 MV/m, but clearly underestimate the folding at 70 MV/m. This difference is attributed to three main reasons. First, the folding of bifold for the terpolymer-based actuation takes place horizontally; so, ideally gravity has no effect on the folding performance and is not included in the FEA model. But in the experiments, twisting of the sample is observed (see for example Figure 2-29), which will result in weight aiding in the folding performance. Second, the values of the

compressive pressures to simulate the electrostrictive response are calculated based on experimentally measuring the strain  $S_{33}$  for terpolymer films of thicknesses of ~30 microns. However the terpolymer films used in the bifold are from a different batch of films, generally thinner with thicknesses of ~26 microns; therefore, the former values of the pressures may be underestimated in bifold actuation. Last, the elastic modulus of the MAE patches used in the FEA model is likely higher than the measured value, which will stiffen the sample in the FEA model and decrease the folding angles.



Figure 2-33. Comparison of folding angle between experiment and simulation for terpolymer actuation.

## 2.4 Summary

In this chapter, finite element analysis captured the folding performance of electric actuation for notched configurations and multifield (magnetic and electric fields) actuation for a bifold structure. Upon application of the external stimulus, folding of the panels occurs and localized deformation is formed within notched or creased regions, which agrees well with experiments. Quantitative comparison using the folding angle as the metric shows that FEA results are comparable to experiments for terpolymer actuated single-notch and multifield bifold configurations.

The geometric parameter studies show that folding angles will increase as the notch length or beam length increases, and beam width does not have an appreciable effect on folding performance. The curling phenomenon in notch region dominates folding when the notch length is 3 cm and 4 cm, i.e., 50% and 66.7% of the entire length of the unimorph. Based on the target folded shapes and the range of available external stimuli, geometric parameters can be designed to meet specific needs.

Overall, FEA continuum modeling can be a powerful tool to predict folding performance of complex 3-D multifield responsive structures. Understanding the impact of geometric parameters and locations of smart materials through FEA helps with preliminary design and reduces the number of trial-and-error iterations in experiments. One limitation of this modeling method is that the unbalanced compressive normal surface tractions caused by the application of a constant pressure with increasing surface area upon folding of the structures, which may lead to some deviation from experimental results, especially when the structure is very thin. Moreover, improvement of the fabrication quality of the samples is expected to lead to better consistency in the structural behavior and to better model validation.

## Chapter 3

# Finite Element Analysis of EAP and MAE Actuation for Origami Folding Using Constitutive Modeling

[Most of the contents of this chapter were published in the following journal [113]: Zhang, W., Ahmed, S., Masters, S., Hong, J., Ounaies, Z. and Frecker, M., 2018. "Finite element analysis of electroactive and magnetoactive coupled behaviors in multifield origami structures." Journal of Intelligent Material Systems and Structures, 29(20), pp.3983-4000.]

## 3.1 Introduction and Motivation

This chapter is focused on constitutive modeling to explicitly simulate the coupled behaviors of electroactive and magnetoactive materials. Instead of continuum elements, shell elements are adopted in the finite element models for their capacity to model thin films, reduction of computational cost, and ability to model the intrinsic coupled behaviors in the active materials under consideration. FEA results are then compared with experiments for multifield actuation, to validate the models. In this chapter, FEA models are developed for several configurations that incorporate a combination of active and passive material layers, namely: 1) a single-layerterpolymer-based unimorph bender, 2) a multilayer-terpolymer unimorph bender, 3) a single-notch unimorph folding configuration actuated using terpolymer, 4) a terpolymer-based finger configuration and 5) a bimorph configuration which is actuated using both electric and magnetic (i.e., multifield) stimuli. The electrostrictive coefficients are measured and then used as material properties in the constitutive modeling of the coupled behavior of the terpolymer material. The magnetization of the MAE is measured and then used to calculate magnetic torque under specified external magnetic field. The objective of the chapter is to verify the effectiveness of the constitutive models implemented through the FEA method to simulate multifield coupled behaviors of the active origami configurations.

The following tasks are addressed in this chapter:

Task 1.1 Model the actuation mechanism of PVDF-based terpolymer.

Task 1.2 Model the actuation mechanism of the MAE.

**Task 1.3** Develop finite element models for single-field and multifield actuation of active origami structures.

Task 1.4 Validate the FEA models using several simple folding configurations.

Task 1.5 Investigate influence of relevant structural parameters on the folding performance of the active structures.

#### **3.2 Constitutive Modeling and FEA Implementation**

#### **3.2.1 Terpolymer-based Actuation**

The terpolymer is a relaxor ferroelectric material as introduced in Section 2-2-1. In general, the electrostrictive response is modeled as a quadratic coupling between strain and electric field. The expression of strain  $\varepsilon_{ij}$  in terms of electric field  $E_k$ ,  $E_l$  and stress  $\sigma_{kl}$  is shown as Equation 3-1 [114]:

$$\varepsilon_{ij} = S_{ijkl}\sigma_{kl} + M_{ijkl}E_kE_l \tag{3-1}$$

where  $S_{ijkl}$  is the elastic compliance tensor and  $M_{ijkl}$  represents the electro-mechanical coupling tensor. In this chapter, several assumptions are made based on experimental conditions and observations. First, same as Chapter 2, all the materials considered are mechanically isotropic. Second, the electric field is always applied through the thickness direction of the active material layers, thus the only non-zero term in  $E_k$  is  $E_3$ , where the 3-direction denotes the thickness direction and the 1- and 2- directions denote in-plane directions. Third, there is no residual stress when the material is at rest. Therefore, the constitutive relation, taking these assumptions into consideration and written using the vector-matrix notation, becomes as shown in Equation 3-2:

$$\varepsilon_I = S_{IJ}\sigma_J + M_{IJ}E_J^2 \tag{3-2}$$

For convenience of FEA implementation, the stress vector is expressed explicitly in terms of the strain and coupling effects, as shown in Equation 3-3:

$$\sigma_J = S_{IJ}^{-1} (\varepsilon_I - M_{IJ} E_J^2) \tag{3-3}$$

The expanded form of the equation above is shown in Equation 3-4:

$$\begin{bmatrix} \sigma_1 \\ \sigma_2 \\ \sigma_3 \\ \sigma_4 \\ \sigma_5 \\ \sigma_6 \end{bmatrix} = \begin{bmatrix} \lambda + 2\mu & \lambda & \lambda & 0 & 0 & 0 \\ \lambda & \lambda + 2\mu & \lambda & 0 & 0 & 0 \\ \lambda & \lambda & \lambda + 2\mu & 0 & 0 & 0 \\ 0 & 0 & 0 & 2\mu & 0 & 0 \\ 0 & 0 & 0 & 0 & 2\mu & 0 \\ 0 & 0 & 0 & 0 & 0 & 2\mu \end{bmatrix} \begin{pmatrix} \varepsilon_1 \\ \varepsilon_2 \\ \varepsilon_3 \\ \varepsilon_4 \\ \varepsilon_5 \\ \varepsilon_6 \end{bmatrix} - \begin{bmatrix} M_{11}M_{12}M_{13} & 0 & 0 & 0 \\ M_{21}M_{22}M_{23} & 0 & 0 & 0 \\ M_{31}M_{32}M_{33} & 0 & 0 & 0 \\ 0 & 0 & 0 & M_{44} & 0 & 0 \\ 0 & 0 & 0 & 0 & M_{55} & 0 \\ 0 & 0 & 0 & 0 & 0 & M_{66} \end{bmatrix} \begin{bmatrix} 0 \\ 0 \\ \varepsilon_3 \\ \varepsilon_4 \\ \varepsilon_5 \\ \varepsilon_6 \end{bmatrix}$$
(3-4)

The parameters  $\lambda$  and  $\mu$  are expressed as:

$$\lambda = \frac{Yv}{(1+v)(1-2v)}, \mu = \frac{Y}{2(1+v)}$$

in which Y and v are elastic modulus and Poisson's ratio of the terpolymer, respectively, taking the mechanical isotropy into consideration. In Equation 3-4, since the only non-zero component in electric field vector is  $E_3$ , the effective electrostrictive coefficients in this model which will have impact on the coupled response of the terpolymer, are  $M_{13}$ ,  $M_{23}$  and  $M_{33}$ . These coefficients will be determined through experiments, which will be shown later in Section 3.3.1.

Due to the compliance of the terpolymer layer, it is not convenient to measure the transverse strains  $\varepsilon_1$  and  $\varepsilon_2$  directly. In this chapter, the assumption is made that transverse strain in 1- direction equals that in 2- direction, and the coefficient k is defined as the ratio of the

transverse strain to the longitudinal strain, in magnitude, induced purely by external electric field, namely:

$$k_{13} = -\frac{\varepsilon_{11}(or \,\varepsilon_{22})}{\varepsilon_{33}} \tag{3-5}$$

And equivalently, it holds that:

and

$$k_{13} = -\frac{M_{11}(or \, M_{22})}{M_{33}} \tag{3-6}$$

The FEA model is developed using the shell module of the COMSOL Multiphysics. There are two main reasons to adopt shell elements. One is that shell theory is a good approximation for the deformation of thin structures, in which the thickness is less than about 1/20 of the smallest dimension of their midsurface [115]. By neglecting the normal and shear stresses in the thickness direction, shell elements assume a plane stress condition and do not have nodes through thickness, which significantly decrease the total number of nodes, and thus the degrees of freedom in the model, compared to using solid elements. For the models introduced in this dissertation, the number of degrees of freedom is typically decreased by two orders of magnitude by using shell elements rather than continuum elements. The other advantage is that it is convenient to modify the constitutive equations of shell elements in COMSOL for terpolymer-based actuation, and to apply a body moment as input for the MAE-based actuation, which will be presented later in this chapter.

The shell elements used in COMSOL are of Mindlin-Reissner type, which means that transverse shear deformation is accounted for [116]. They can thus also be used for rather thick shells. The law of balance of linear momentum for a shell element is expressed as Equation 3-7:

div
$$\mathbf{T} + \rho \mathbf{g} + 6(\mathbf{M}_{\mathbf{v}} \times \mathbf{n}) \frac{z}{d} = 0$$
  
 $\boldsymbol{\sigma}_{\mathbf{z}} = 0, -0.5 \le z \le 0.5.$  (3-7)

where **T** denotes the stress tensor of the object,  $\mathbf{M}_{\mathbf{v}}$  is the body moment applied on the object, d denotes the thickness of the shell element, and z is the relative level position with magnitude ranging from -0.5 to 0.5 and zero at the midsurface. The term  $6(\mathbf{M}_{\mathbf{v}} \times \mathbf{n})\frac{z}{d}$  represents the contribution of external bending moments or twisting torques on the stress tensor **T** at relative level z in the shell element.

For terpolymer-based actuation, the constitutive equations of the shell elements are modified according to Equation 3-4, in which the electro-mechanical coupling stress terms are added to the original mechanical stresses, particularly the membrane components of second Piola-Kirchhoff stresses in xx-, yy- and zz- directions with respect to local coordinate system in COMSOL's shell module. The modified stresses are shown in Equation 3-8.

$$\sigma_{1} = (\lambda + 2\mu)\varepsilon_{1} + \lambda\varepsilon_{2} + \lambda\varepsilon_{3} - [(\lambda + 2\mu)M_{13} + \lambda(M_{23} + M_{33})] \times E_{3}^{2}$$
  

$$\sigma_{2} = (\lambda + 2\mu)\varepsilon_{2} + \lambda\varepsilon_{1} + \lambda\varepsilon_{3} - [(\lambda + 2\mu)M_{23} + \lambda(M_{13} + M_{33})] \times E_{3}^{2} \qquad (3 - 8)$$
  

$$\sigma_{3} = (\lambda + 2\mu)\varepsilon_{3} + \lambda\varepsilon_{2} + \lambda\varepsilon_{1} - [(\lambda + 2\mu)M_{33} + \lambda(M_{23} + M_{13})] \times E_{3}^{2}$$

#### **3.2.2 MAE-based Actuation**

When an MAE material is placed in an external magnetic field, it will experience a magnetic torque, which always tries to align the magnetization direction with the external magnetic field direction. The magnetic torque T for a unit volume of particles is determined:

$$\boldsymbol{T} = \boldsymbol{M} \times \boldsymbol{\mu}_0 \boldsymbol{H} \tag{3-9}$$

where  $\mu_0$  is the magnetic permeability of vacuum, **M** is the remanent magnetization vector per particle unit volume and **H** is the external magnetic field vector. As the MAE patch rotates, the direction of M remains the same with respect to the surrounding polymer matrix, i.e.,, the material coordinate system, but it changes correspondingly in global coordinate system. Now let the rotation matrix of the MAE patch be R from global coordinates to material coordinates, and let  $M_{ini}$  be the initial magnetization vector in global coordinate system. Then the rotated M is:

$$\boldsymbol{M} = \boldsymbol{R}\boldsymbol{M}_{ini} \tag{3-10}$$

and the current magnetic torque for a unit volume is:

$$\boldsymbol{T} = \boldsymbol{M} \times \mu_0 \boldsymbol{H} = (\boldsymbol{R}\boldsymbol{M}_{ini}) \times \mu_0 \boldsymbol{H}$$
(3-11)

An equivalent method to express R is to use the displacement of the normal vectors of the shell elements. In this chapter, the MAEs are poled in such a way that the magnetization M is normal to the surface. Let the initial normal vector of a MAE patch in global coordinate be:

$$\boldsymbol{n_0} = [n_{x0}, n_{y0}, n_{z0}]^T \tag{3-12}$$

Since M is always along the normal vector n, then  $M_{ini}$  can be expressed as:

$$\boldsymbol{M_{ini}} = M_0 \boldsymbol{n_0} = M_0 [n_{x0}, n_{y0}, n_{z0}]^T$$
(3-13)

where  $M_0$  is the magnitude of *M*.

In the shell module of COMSOL, the rotation matrix R can be simplified by taking advantage of the unit normal vector, as shown in Figure 3-1. When a shell element rotates from its original position, the displacement of the normal vector is expressed as:

$$\boldsymbol{a} = [a_x, a_y, a_z]^T \tag{3-14}$$



Figure 3-1. Rotation of a shell element can be interpreted using the displacement in unit normal vector.

So, the rotated unit normal vector is:

$$\boldsymbol{n} = \boldsymbol{n}_{ini} + \boldsymbol{a} = \begin{bmatrix} n_{x0} + a_x \\ n_{y0} + a_y \\ n_{z0} + a_z \end{bmatrix}$$
(3-15)

Then the rotated magnetization is:

$$\boldsymbol{M} = M_0 \boldsymbol{n} = M_0 \begin{bmatrix} n_{x0} + a_x \\ n_{y0} + a_y \\ n_{z0} + a_z \end{bmatrix}$$
(3-16)

In general, the magnetic field strength can be expressed as:

$$\boldsymbol{H} = \begin{bmatrix} \boldsymbol{H}_{\boldsymbol{\chi}\boldsymbol{0}} \\ \boldsymbol{H}_{\boldsymbol{\mathcal{Y}}\boldsymbol{0}} \\ \boldsymbol{H}_{\boldsymbol{\mathcal{Z}}\boldsymbol{0}} \end{bmatrix}$$
(3-17)

Substituting *M* and *H* into Equation 3-8, we have:

$$\boldsymbol{T} = \boldsymbol{M} \times \mu_0 \boldsymbol{H} = \begin{pmatrix} M_0 \begin{bmatrix} n_{x0} + a_x \\ n_{y0} + a_y \\ n_{z0} + a_z \end{bmatrix} \end{pmatrix} \times \mu_0 \begin{pmatrix} \begin{bmatrix} H_{x0} \\ H_{y0} \\ H_{z0} \end{bmatrix} \end{pmatrix}$$
(3-18)

The magnitudes of the magnetic torques per unit volume in x, y and z directions are calculated and shown in Equation 3-19:

$$T_{x} = \mu_{0}M_{0} \left[ \left( n_{y0} + a_{y} \right) H_{z0} - (n_{z0} + a_{z}) H_{y0} \right]$$
  
$$T_{y} = \mu_{0}M_{0} \left[ -(n_{x0} + a_{x}) H_{x0} + (n_{z0} + a_{z}) H_{x0} \right]$$
(3-19)

$$T_{z} = \mu_{0} M_{0} \left[ (n_{x0} + a_{x}) H_{y0} - (n_{y0} + a_{y}) H_{x0} \right]$$

Assuming that the external magnetic field H is constant and is in the positive z direction, the decomposition of H in the global coordinate system is:

$$\boldsymbol{H} = H_0[0, 0, 1]^T \tag{3-20}$$

where  $H_0$  is the magnitude of the field *H*.

$$T = \mu_0 \mathbf{M} \times \mathbf{H} = \mu_0 \left( M_0 \begin{bmatrix} n_{x0} + a_x \\ n_{y0} + a_y \\ n_{z0} + a_z \end{bmatrix} \right) \times \left( H_0 \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \right)$$
$$= \mu_0 M_0 H_0 \begin{bmatrix} n_{y0} + a_y \\ -(n_{x0} + a_x) \\ 0 \end{bmatrix}$$
(3-21)

In the shell module of COMSOL, we can directly apply a body torque density in x-, y- and z- directions as input with unit  $N \cdot m/m^3$ , particularly in this chapter:

$$T_{x} = \mu_{0}M_{0}H_{0}(n_{y0} + a_{y})$$

$$T_{y} = -\mu_{0}M_{0}H_{0}(n_{x0} + a_{x})$$

$$T_{z} = 0$$
(3-22)

The law of balance of linear momentum for the MAE is the same as the terpolymer-based actuation as shown in Equation 3-7. Since the MAE is modeled as an isotropic linear elastic material, the constitutive equations are given in Equation 2-5.

## **3.3 FEA Modeling and Verification**

## **3.3.1** Terpolymer-based Unimorph Bender

As described in Chapter 2, the terpolymer-based unimorph bender is composed of a terpolymer layer and a scotch tape layer attached together, as shown in Figure 2-2, with dimensions as listed in Table 2-1.

The meshed FEA model is shown in Figure 3-2b. The terpolymer layer and scotch tape layer are defined in two separate shell interfaces which share a single common shell layer in the model; so, there is only one layer visible in the FEA model. By default, the shell layer represents the position of the midsurface of the real layer in thickness direction, but the position of midsurface can be relocated by setting a desired "offset", as shown in Figure 3-2a. In this model, the terpolymer is treated as the base of this composite beam of which the offset is set equal to zero. The offset of the scotch tape attached to the terpolymer layer is set to:

$$d_{scotch1} = (t_{ter} + t_{scotch})/2 \tag{3-22}$$

where  $t_{ter}$  and  $t_{scotch}$  denote the thickness of terpolymer layer and scotch tape layer respectively. By using the same variable names in the displacement matrix, these two shell interfaces are constrained so that they deform as a union. A parametric sweep study of the coefficient k is conducted to investigate its impact on bending curvature.



Figure 3-2. A schematic of the shell model of the unimorph bender is shown as (a), where the offset of the midsurfaces account for the thickness. The meshed FEA model for the bender is shown as (b).

To obtain the values of the coupling coefficients, Ahmed conducted experiments to measure the longitudinal strain  $\varepsilon_3$  induced by application of electric field where the terpolymer sample is free from mechanical constraint, in other words,  $\sigma_J$  is zero. In this situation, the coupling coefficient  $M_{33}$  can be calculated as in Equation 3-5, and the measured  $\varepsilon_3$  and calculated  $M_{33}$  are shown in Figure 3-3 as a function of electric field:



Figure 3-3. The measured longitudinal strain  $\varepsilon_{33}$  and the calculated coupling coefficient  $M_{33}$  are shown with electric field ranging from 0 to 70 MV/m.

$$M_{33} = \varepsilon_3 / E_3^2 \tag{3-5}$$

Before simulation of the terpolymer-based actuation, the values of the ratio coefficient k in Equation 3-6 and Equation 3-7 must be determined. In this chapter, k is determined empirically using the unimorph bender configuration.

Deformed shapes of both experimental samples and FEA results are shown for electric field ranging from 0 to 70 MV/m and the case k = 0.7 in Figure 3-4. It can be seen that as electric field increases, the bending curvature of the bender also increases in both experiments and FEA results. In order to quantitatively compare FEA with experiments, the image analysis software ImageJ is used to measure the bending curvature for both experiments and FEA results, and the results are shown in Figure 3-5. The error bars on the experimental data represent plus or minus a standard deviation which is obtained from 8 different samples and one test on each sample. The bending curvature increases correspondingly with coefficient k, and from Figure 3-5 we can see that the cases k = 0.6 and k = 0.7 match experiments fairly well. To determine the best approximation of k, the normalized squared error between FEA and experiments is adopted as the criterion to evaluate the overall deviation of FEA from the experiments for each k value. As a result, the FEA model with k=0.7 leads to the lowest normalized error, which is 6.7%. Therefore, k=0.7 is considered as the best approximation and is used in the remaining models in this paper. In this dissertation, the coefficient k is assumed to be a constant under different electric fields. In fact, k, as a material property of the terpolymer, is likely to vary with electric field. However, we can see from Figure 3-5 that the simulation results with k=0.7 are very close to the average values of experimental data for high electric fields of 60 MV/m and 70 MV/m, and agree fairly well with experimental data for low fields from 30 to 50 MV/m when the error bars are considered. Thus, the assumption is justified.

The coefficient k, which is defined by the ratio between transverse strain to longitudinal strain caused by the electro-mechanical coupling response, is determined as 0.7 to best match FEA to experiments. The value k = 0.7 is beyond the value of 0.5 for Poisson's ratio under constant volume assumption. In fact, the coefficient k includes the electromechanical coupling response in addition to the pure mechanical response; so, the value 0.7 for k is assumed to be reasonable.



Figure 3-4. The deformed shapes of the unimorph bender are compared between experiments and FEA.



Figure 3-5. The bending curvatures of the unimorph bender are measured for experiments and FEA with *k* varying from 0.5 to 0.9.

#### 3.3.2 Multilayer Terpolymer Bender

A multilayer terpolymer can be used as the actuator in an active structure as shown in Figure 3-6, in order to increase actuation force and displacement. To show this, 2-layer, 4-layer and 6-layer terpolymer-based benders are tested and simulated in this chapter.



Figure 3-6. The schematic of a unimorph bender actuated using double-layer terpolymer.

The terpolymer films are attached to each other using spray glue from 3M Corporation. The glue layer increases the overall bending stiffness, but the elastic modulus and the thickness of the glue layer cannot be easily measured experimentally. In this chapter, a parametric sweep study is conducted to investigate the impact of the glue layer on bending curvature, where thickness ranges from 1  $\mu$ m to 20  $\mu$ m and modulus ranges from 0.1 GPa to 2 GPa for the glue layer. The goal is to find the particular set of thickness and modulus of the glue layer that leads to a good agreement between FEA and experiments for all three cases, namely, 2-layer, 4-layer, and 6-layer terpolymer based benders, at the same time. It was found that  $t_{glue} = 10\mu m$  and  $Y_{glue} = 1GPa$  are the properties of the glue layer that minimize the difference between FEA and experiments simultaneously for the cases of 2, 4 and 6 layers of terpolymer; the bending curvatures are compared between FEA and experiments in Figure 3-7.



Figure 3-7. FEA results are compared with experiments in bending curvatures of 2-layer, 4-layer and 6-layer terpolymer-actuated benders.

The material properties estimated in this chapter are assumed to be reasonable enough to predict how much impact the glue layer exerts on the overall bending performance of the bending actuator. A sensitivity study of the glue layer to the bending curvature is conducted, as shown in Figure 3-8. It is found that when the thickness of the glue layer is doubled, the bending curvature reduces by 7.9%, 18.0% and 18.0% for 2-layer, 4-layer and 6-layer terpolymer-based benders, respectively. When the elastic modulus of the glue layer is doubled, the bending curvature reduces by 1.0%, 13.7% and 18.6% for 2-layer, 4-layer and 6-layer terpolymer-based benders respectively. Based on this sensitivity study, the glue layer overall exerts more influence on the 4-layer and 6-layer bender than the 2-layer terpolymer bender, and thickness has more influence than the elastic modulus on the bending of 2- and 4-layer terpolymer benders, but they seem to have the same impact on the 6-layer bender. It is demonstrated that good estimates of the material properties of the glue layer are necessary to accurately predict the deformation of the benders, especially for multilayer terpolymer-based samples.



Figure 3-8. The sensitivity study of the glue layer to the bending curvature.

### 3.3.3 Single-notch Folding Configuration

As described in Section 2.3.2, active folding can be achieved by introducing non-uniform thickness along the length direction of the sample, in which case localized bending occurs at the thinner region, i.e., the notch region, to realize folding behavior. A schematic and fabricated sample of a single-notch unimorph configuration are shown in Figures 2-9 and 2-9, and the dimensions are listed in Table 2-2.

Similar to the FEA modeling in previous section, the terpolymer layer and two scotch tape layers are defined as three separate shell interfaces which share a single common shell layer in the model, and the terpolymer is treated as the base of this composite beam of which the offset is set equal to zero. The offset of the scotch tape attached to the terpolymer layer is set to:

$$d_{scotch1} = (t_{ter} + t_{scotch})/2 \tag{3-23}$$

and the offset of the patches of scotch tape is set to:

$$d_{scotch2} = (t_{ter} + 3t_{scotch})/2 \tag{3-24}$$

where  $t_{ter}$  and  $t_{scotch}$  denote the thickness of terpolymer layer and scotch tape layer respectively. By using the same variable name in the displacement matrix, these three shell interfaces are constrained so that they deform as a union. Since there will be localized higher deformation occurring in the notch, the mesh density is doubled in that region as compared to in the panels.

Figure 3-9 shows the ability of the EFA model to simulate folding. In the original FEA model, which includes the whole geometry of the single-notch configuration and uniform meshing along the width direction, stress concentration occurs on the edge of the notch region along with anticlastic curvature which prevents the beam from folding up. To solve these issues, two major modifications are made in the FEA model. First, a symmetric boundary condition is applied along the mid-line of the beam surface to eliminate any numerical error that may lead to asymmetry in the deformation, as shown in Figure 3-9. Second, a finer mesh is assigned close to the edge in order to reduce the stress concentration. As can be seen, the improved model succeeds in predicting the folding response.



Figure 3-9. Two issues are observed in the original FEA model (top); so, modifications are made in the later model (bottom).

Deformed shapes of both experimental samples and FEA results are shown for electric field magnitudes ranging from 0 to 60 MV/m in Figure 3-10. As electric field increases, experiments show that the sample deforms with the notch region experiencing much larger curvature than the panels. The actuation is hardly seen from the initial position to 20 MV/m, and is more pronounced after 30 MV/m. The FEA results follow a similar trend as the sample deforms with an increasing electric field while the notch region always exhibits much higher curvature than the panels. These results indicate that the FEA succeeds in predicting the folding behavior of the notched unimorph.



Figure 3-10. Deformed shapes of experimental samples and FEA results are shown for electric field ranging from 0 to 60 MV/m.

The values of folding angle are shown in Figure 3-11, where the folding angle is measured as described in Section 2.3.2. The error bars in the experimental data represent plus or minus one standard deviation of the measured data for 7 samples in total. The comparison of folding angles agrees with the qualitative observations of the deformed shape. When the error bars are considered, FEA results agree reasonably well with experiments. The two thumbnail pictures in Figure 3-11 indicate a "good" actuation case and a "low" actuation case respectively, illustrating what happens at the top and the bottom of the error bars, which shows the importance of fabrication quality in experimental consistency.



Figure 3-11. Folding angle comparison between experiments and FEA results for the single-notch configuration.

#### **3.3.4 Double-Notch Finger Configuration**

Inspired by the motion of a human finger, the terpolymer-based finger configuration was proposed by Ahmed in [117], where the placement and length of the two notches and the three panels obeyed the Fibonacci ratio. The schematic of the finger configuration including dimensions and the photo of a fabricated sample were created by Hong and are shown in Figure 3-12. The experiments of the finger configuration were conducted by Ahmed and Hong, and the data were used to validate the FEA model developed in this dissertation.



Figure 3-12. The terpolymer-based finger configuration is developed to imitate motion of a finger, a schematic shown in (a) and a real sample shown in (b). [118]

From Figure 3-13 we can see that localized deformation, i.e., folding, happens within the regions of the two notches in both experiments and FEA. At high electric fields, such as 50 MV/m and 55 MV/m, larger folding angles occur at the initial upper notch than at the lower notch, demonstrating that a longer notch will lead to larger folding angle at a specified field, which agrees with the conclusion from Section 2.3.3 of this dissertation. Compared with the single-notch configuration, the notch close to the root in the finger model undergoes similar folding angle while the notch close to the tip folds as well, realizing a larger deformation and a more complex folded shape. The curling-up shape of the finger configuration shown at electric field of 55MV/m inspires the design of a terpolymer-based gripper, which is described in Section 4.2.3. The study of the finger configuration presents the potential of FEA modeling to predict behaviors of more complex geometries than unimorph or single notched actuators.



Figure 3-13. The deformed shapes of the finger configuration from FEA results are compared with experiments.

### 3.3.5 The Multifield Bimorph Configuration

As described in Section 2.3.5, most smart structures are actuated using a single type of active material, and therefore respond to a certain type of external stimulus and the deformed shape

can be controlled only by the magnitude of that stimulus. However, multifield responsive origami structures, which contain more than one type of actuator material, are capable of folding to multiple shapes depending on not only the magnitude of the stimulus, but also the type of stimuli that are applied. The bimorph configuration investigated in this chapter, which was proposed by Sarah Maters in [110], is a multifield responsive structure that is composed of a terpolymer layer, a scotch tape layer and two MAE patches, as shown in Figure 3-14. The experiments on the bimorph configuration were conducted by Sarah Masters, and more details in experiments can be found in [110].



Figure 3-14. Schematic and corresponding fabricated sample of the multifield responsive bimorph configuration. The photo was taken by Sarah Masters.

The geometries and material properties are listed in Table 3-1. When an electric field is applied, the structure will fold along the notch formed by the gap between two MAE patches. The terpolymer-based actuation, MAE-based actuation and the simultaneous actuation of the bimorph configuration are studied in this section.

In experiments, the top region of the terpolymer layer and the scotch tape layer are clamped by a pair of glass slides, the same as in unimorph folding experiments shown in Figure 2-10. The upper MAE patch is not clamped; therefore, in the FEA model we leave a small gap on the top of the bimorph main body, which is 0.7 mm based on measurement from experimental photos. The meshed model and zoomed top gap portion are shown in Figure 3-15.

	MAE	Scotch tape	Terpolymer
Length (mm)	12.5	30.0	30.0
Width (mm)	10.0	10.0	10.0
Thickness( $\mu m$ )	520.0	62.0	30.0
Density(g/cm3)	1.53	1.063	0.96
Elastic modulus (MPa)	1.45	1600	200.0

Table 3-1. Geometries and material properties for the bimorph configuration.



Figure 3-15. Meshed model and zoomed top gap are shown for the bimorph configuration.



Figure 3-16. Deformed shapes of experiments and FEA results for the terpolymer-based actuation of the bimorph configuration.

During the electric actuation experiments the magnetic field is set to zero, and electric field is applied through the thickness of the terpolymer with magnitudes ranging from 0 to 70 MV/m. The simulated deformed shapes are compared to experiments, as shown in Figure 3-16. The folding angles of the bimorph are less than the folding angles experienced by the unimorph, since the MAE patches stiffen the panels. The MAE patches are more than 15 times thicker than the terpolymer layer and 9 times as thick as scotch tape, and the weight of MAE patches is a notable restricting force for folding actuation. From Figure 3-16, FEA results agree well with experiments in terms of deformed shapes.

Similar to the unimorph folding, folding angle is used as the comparison metric and is measured using ImageJ. Quantitative comparison between experiments and FEA results is shown in Figure 3-17. Taking the error bars into consideration, the FEA results agree well with experiments when electric field is less than 40 MV/m, but they overestimate the folding at 40, 50, and 60 MV/m. The thumbnail figures in Figure 3-17 show the scenarios of the largest and least folding, which reveals the impact of sample fabrication on the folding performance. Similar to these two thumbnail figures, most of the samples were observed to twist during actuation, which influences the measurement of the fold angle. The twisting happens mostly due to the horizontally unbalanced mass of the active materials and the unbalanced residual stress when different layers are attached together using the spray glue.

The twisting of the samples influences measurement of the folding angles in the following two ways. First, it is difficult to define the folding angle for a twisted sample. As a result, the measurement of the angles for the samples are different for simulated and experimental results. The middle point of the tip edge of the bimorph is adopted as the reference point in folding angle measurement, while in FEA there is a single point at the tip from side view. Second, some samples twist even before applying any electric field; therefore, there is an initial folding angle for 0 MV/m. The true folding angles of the samples are calculated as the current folding angle minus the initial

folding angle. There is no clear indication of whether the initial folding angle caused by twisting is affecting the folding angles when high fields are applied on the active material, which could mean the actual folding angles due to actuation are higher than the values shown in Figure 3-17, and thus would agree better with FEA.



Figure 3-17. Folding angle comparison between experiment and FEA results for terpolymer-based actuation of the bimorph.

The multifield bimorph configuration can be actuated either using electric field or magnetic field. For magnetic actuation, the two MAE patches are attached to the scotch tape layer in such a way that the magnetization M for the top patch is in the positive x-direction, while for the other, it is in the negative x-direction, as shown in Figure 3-18. When the bimorph device is placed in a magnetic field H in the positive z-direction, the two patches will generate magnetic torques that will rotate the top patch rightward and bottom patch leftward. For the FEA modeling, the geometry, boundary conditions, bonding method of the shell layers and mesh condition are exactly the same as for the section on the terpolymer actuation of the bimorph. Instead of electric field in terpolymer actuation, the input for magnetic actuation is the magnetic torque per unit volume in x-, y- and z-directions, with magnitudes provided in Equation 3-21. In this chapter, the experiments are

conducted under magnetic field strength  $\mu_0 H_0$  ranging from 38.5 mT to 233 mT. The deformed shapes are compared between one set of the experiments and FEA results, as shown in Figure 3-19.



Figure 3-18. The bimorph is placed in an external magnetic field in positive z-direction. The two MAE patches generate magnetic torques T that rotate the top patch rightward and bottom patch leftward. Gravity is in negative z-direction.



Figure 3-19. Deformed shape comparison between experiments and FEA results for MAE-based actuation of the bimorph configuration.

From Figure 3-19, we can see that in the experiments the MAE patches rotate as expected: the bottom patch rotating leftward and the top patch rotating rightward but not as much. The FEA results capture that trend effectively. The deflection of the top patch from original position is consistently less than the bottom patch, because the very top part of the upper MAE patch is close to the glass slides, clamping the terpolymer layer, i.e.,, the root region is stiffened and deflects less

than the tip region, while the bottom patch rotates more freely. This is why at a low field strength of 38.5 mT, the rotation of the top patch is hard to observe, as compared to the bottom patch.

Folding angles of the magnetic actuation are measured under each specified field strength for both experiment and FEA results using software ImageJ. The comparison of folding angles is shown in Figure 3-20. The error bars in the experimental data represent plus/minus one standard deviation of data for a total of 5 samples. According to the quantitative study of the folding angle as shown in Figure 3-20, the FEA results agree well with the average values of experiments, verifying the ability of FEA modeling to predict the response of MAE-based actuation. The large error bars especially at lower field strengths 38.5 mT and 77.5 mT indicate inconsistency among the five tested samples which may come from residual stress at initial position, twisting deformation which is caused by the unbalanced mass and glue conditions in the samples, and/or slight detachment of MAE patches from the scotch tape layer.



Figure 3-20. Comparison of folding angle between experiments and simulation results for MAEbased actuation of the bimorph configuration.

Applying electric field and magnetic field simultaneously will create more possibilities in the deformed shapes, thus is an important study for multiple-target-shape design. Experiments have been conducted for the previously introduced bimorph configuration under conditions where the magnetic field strength is kept constant at 38.5 mT (corresponding to a current of 5 A), and electric field is increased through the terpolymer layer from 20 to 60 MV/m. The geometry, boundary conditions, bonding method of the shell layers and mesh conditions are exactly the same as shown in the previous section on the terpolymer actuation of the bimorph. Comparison of the deformed shapes between the FEA results and the experiments are shown in Figure 3-21.



Figure 3-21. Deformed shape comparison between experiments and FEA results for MAE-based actuation of the bimorph configuration at fixed  $\mu_0 H_0 = 38mT$  and increasing electric field strengths.

From Figure 3-21, we can see that at low electric field magnitudes, the MAE-based actuation dominates, and the panels of the sample rotate similarly as shown in Figure 3-19 at a magnetic field of 38.5 mT. As the electric field increases, terpolymer-based actuation affects the deformation increasingly and the bimorph sample bends rightward after 50 MV/m. FEA results follow a similar trend as experiments, which demonstrates the ability of the FEA model to predict the behavior of the structure under simultaneous actuation. In contrast to the electric field actuation, in the simultaneous actuation the bimorph structure deflects to the right without folding in the notch region, which is observed in both the experiments and the FEA results. This is because the tip MAE patch attempts to align with the external magnetic field, thus it tends to rotate to the left. The farther it goes to the right, the larger the magnitude of the resisting magnetic torque will be, which cancels the effect of the terpolymer actuation and results in inhibition of the localized curvature in the notch region.

Instead of folding angle as in previous sections, the tip-displacement of the bimorph structure is adopted as the metric, since after 50 MV/m the sample is basically a straight line with folding angle equal to zero. Tip-displacements in x- and z- directions are measured and compared as shown in Figure 3-22 and Figure 3-23, where the error bars stand for plus/minus one standard deviation for the averages of 6 samples. Generally, the FEA results agree well with average experimental values, but the large error bars indicate inconsistency between the samples. The thumbnail figures in Figure 3-22 and Figure 3-23 present the scenarios of the greatest and smallest tip displacement, which again shows the importance of fabrication quality of the samples. In addition, when the applied electric field is 50 MV/m and 60 MV/m, an anticlastic curvature is observed in both the FEA results and experimental samples, preventing the bimorph from deforming further to the right, which is discussed later in this section.



Figure 3-22. Tip displacement in x-direction of FEA results and experiments for simultaneous actuation of the bimorph.



Figure 3-23. Tip displacement in z-direction of FEA results and experiments for simultaneous actuation of the bimorph.

Fabrication quality of the samples is crucial to a successful comparison between simulation and experimental results. For both the unimorph and the bimorph configurations, the quality of adhesion between the layers of the samples is important to avoid twisting under high field actuation, which will notably inhibit folding. The two inset thumbnail photos in Figure 3-23 show a wellfabricated sample generating larger actuation, and a twisted sample providing less actuation respectively, and this is one of the reasons for the deviations in experimental data. A similar fabrication issue is how well the scotch tape and MAE patches are manually adhered to the base layer, which not only affects the symmetry or balance of the samples, but also guarantees the consistency between experimental results and simulation, where the assumption is that the layers are rigidly bound together.

Another issue related to fabrication is the initial condition. In several experiments we saw that even without applying any field, the samples are deflected from the vertical which is supposed to be the initial position. The initial deflections are likely caused by the residual stress between the layers when they are glued together, and those deflections can lead to either higher or lower errors in the measurement.

Anticlastic curvature is observed in the simultaneous actuation of the bimorph in both experiment and FEA when the electric field is equal or above 50 MV/m, as shown in Figure 3-24. The reason for this phenomenon is that when the applied electric field is high enough, the electric actuation will overcome the magnetic torque in the tip MAE patch and force the MAE patch to the right. In this case, instead of folding to the left due to the magnetic actuation, the magnetic torque curls up the MAE patch in the cross section to attempt to align the magnetization to the external magnetic field, leading to the anticlastic curvature in the bimorph. This curvature also prevents any bending or folding from the electric actuation where the planar expansion of the terpolymer further contributes to this curvature behavior.



Figure 3-24. Anticlastic curvature occurs in both (a) experiment and (b) FEA in the simultaneous actuation of the bimorph when E=60MV/m and lead to a straight shape rather than folded.

Thus, we can conclude that the deformed shape of the bimorph depends not only on the magnitudes of the applied electric and magnetic fields, but also on the history of application of these fields, which is observed in experiments as depicted in Figure 3-25. There are three steps in the experiment. First, the magnetic field is held constant at 38.5 mT, while the electric field increases from 40 MV/m to 80 MV/m. In Step 2, the electric field *E* is held constant at 80 MV/m,

while the magnetic field increases from 38.5 mT to 233.6 mT. When  $H \leq 194.6$  mT, the magnetic actuation is not strong enough to overcome the anticlastic curvature; so, the bimorph maintains the straight shape. From H = 194.6 mT to 233.6 mT, the magnetic actuation becomes strong enough to snap the bimorph through to the folded shape. In Step 3, *E* is constant at 80 MV/m, as in Step 2, while *H* decreases from 233.6 mT to 38.5 mT. This time, since the bimorph begins in a folded shape, the folding remains as the magnetic field decreases. By comparing the second row and third row in Figure 3-25, we can see that the history of the applied fields affects how the bimorph deforms, which means that with exactly the same external stimuli, the origami structures may be deployed in different manners.

The FEA model is developed to validate the experimental process described above, and the FEA results are shown in Figure 3-26. In this dissertation, geometric nonlinearity is accounted for in all of the FEA models, which refers to the fact that the small deformation assumption is not valid; large deformation is considered in the FEA by including nonlinear products of the coordinate variables and their derivatives. Therefore, the FEA models are able to predict the effect of field application history on the deformed shape. As a result, the simulation shows a very similar trend as the experiments: from H = 194.6 mT to 233.6 mT, the bimorph snaps through from the straight to the folded shape, and when H decreases from 233.6 mT to 111.6 mT, the folding remains. Also due to the geometric nonlinearity, anticlastic curvature can occur in simulation, as seen in the experiments, to resist the folding of the bimorph.


Figure 3-25. The deformed shapes of the bimorph under different sets of simultaneous actuation. It shows that the loading history has an effect on the deformation due to appearance of the anticlastic curvature.



Figure 3-26. The simulation results of the bimorph under different sets of simultaneously applied electric (E) and magnetic (H) fields. It demonstrates that the FEA model is able to reflect the effect of loading history which also appears in experiments.

#### 3.4 Summary

The constitutive models implemented through the FEA method successfully predict the coupled responses of the active materials, including folding behavior of the terpolymer-based actuation of the unimorph and bimorph configurations, the MAE-based actuation of the bimorph, and simultaneous actuation of the multifield bimorph, where an electric field and a magnetic field are applied to the sample at the same time. The coefficient k, which is defined as the ratio of the transverse strain and the longitudinal strain induced by the electro-mechanical coupling response, is determined as 0.7 to best match FEA to experiments. The success in the FEA modeling of the finger configuration, the multilayer terpolymer bender and the simultaneous actuation of the bimorph shows the potential of the FEA method to predict performances of complicated structures. The material properties of the sprayed glue layers are well approximated in the modeling of the multilayer terpolymer bender. By improving fabrication quality of the samples, better consistency in experiments can be achieved and the constitutive model predictions will be further improved. The origin and influence of the anticlastic curvature in the simultaneous actuation of the bimorph are analyzed. The deformed shape depends on not only the magnitudes of the applied fields, but also the application history of the fields, which is observed in experiments and successfully simulated by the geometrically nonlinear FEA model.

The constitutive models implemented with shell elements described in this chapter exhibit a few advantages compared to the continuum models described in Chapter 2. For terpolymer-based actuation, the application of the coupling coefficients  $M_{13}$ ,  $M_{23}$ ,  $M_{33}$  and the parameter k in the constitutive modeling provide more insights on what parameters are affecting the material behavior, and the adjustment of the parameter k results in better agreements when the simulated results are compared with experimental results. Moreover, since the terpolymer is quite thin with an aspect ratio higher than 100, it is very computationally expensive to apply continuum elements. For MAEbased actuation, the three spatial components of the magnetic torque  $T_x$ ,  $T_y$  and  $T_z$  described in the constitutive models allow a non-uniform distribution of the magnetic torques, while the surface traction method used in the continuum models always requires uniform magnetic torques. In addition, shell models generally exhibit shorter computation time than continuum models. Based on these results, constitutive models are applied in Stage 2 of the two-stage design optimization procedure described in Chapter 4.

## **Chapter 4**

## A Two-Stage Optimization Procedure for the Design of Multifield Self-Folding Structures

#### **4.1 Introduction and Motivation**

Optimization is an indispensable part of the design process to improve the design performance according to the designer's objectives. As introduced in Chapter 1, various modeling and optimization methods have been implemented in the design optimization process. In general, the selection of the modeling methods is highly dependent on which type of optimization algorithm is adopted. Of the two most prevailing optimization algorithms, gradient-based optimization algorithm requires fewer number of evaluations of the design performance, but tends to converge on local optima, while genetic algorithms (GAs) exhibit much higher chances of finding global optima, but in most cases consumes hundreds or even thousands of design evaluations. Therefore, a number of new reduced-order models or low-fidelity models have been developed to implement genetic algorithms in the design of foldable structures. For example, as introduced in Chapter 1, first rigid origami models then later nonlinear truss-facet models have been widely used for topology optimization of crease patterns, while rigid body dynamic models and pseudo-rigid-body models have been broadly used for the optimization of compliant mechanisms. On the other hand, high-fidelity models such as FEA models are used more often in gradient-based optimization due to their demanding computational cost [119–121].

To better deal with the trade-off between model accuracy and computational cost, metamodeling techniques, also referred to as surrogate models, have been recognized as an efficient tool to realize the interaction between high-fidelity and low-fidelity model. Actually, surrogate models could help in multiple steps in the evolutionary algorithms, such as generation of initial population, mutation and crossover, and eliminating the poorly-performed designs before design evaluation using high-fidelity models [122]. Additionally, the approximation quality of surrogate models increases when an increasing number of designs are evaluated using the high-fidelity models. The combination of low- and high-fidelity models achieves fairly satisfactory designs with usually less than half the computational cost of using only high-fidelity models [123].

Metamodeling techniques, which incorporate both low- and high-fidelity models in the optimization algorithm, have not been extensively implemented in the design of origami-inspired self-folding structures, especially for multifield responsive structures where different external fields could be applied simultaneously to actuate the structure. In this dissertation, a computationally efficient two-stage optimization procedure is proposed for the design of multifield origami-inspired self-folding structures. In Stage 1, instead of prevailing surrogate models which are solely estimated models, validated physics-based models will serve as low-fidelity models to determine the topology of the structure, including the placement of the materials, the connectivity between sections and the amount and orientation of external loads. In Stage 2, high-fidelity FEA models will be used in optimization to fine-tune the design parameters and further improve design performance.

The objectives of this chapter are first to present a general formulation of this two-stage optimization procedure as a systematic design approach for multifield self-folding structures, where various modeling methods could be applied in both stages, and then to discuss a particular case of the two-stage optimization procedure in which a rigid body dynamics model is used in Stage 1, since this modeling method has already been widely used and validated in terms of its applicability for origami-inspired structures.

The following tasks are addressed in this chapter:

Task 2.1 Formulate the design optimization problem and the two-stage optimization procedure.

Task 2.2 Develop appropriate low-fidelity models for Stage 1.

**Task 2.4** Develop material conversion methods for transition from low-fidelity model to continuum material system, then develop the FE models.

# 4.2 A General Formulation of the Two-Stage Optimization Procedure for the Design of Multifield Self-Folding Structures

A flowchart illustrating the general formulation of the two-stage optimization procedure is shown in Figure 4-1. In Stage 1, physics-based receded-order models, i.e., low-fidelity models, are used to evaluate design performance and to determine the topology of a structure through an optimization process, including the placement of the materials, the connectivity between sections, and the amount and orientation of external loads. At the end of Stage 1, the overall best design is selected as the baseline for Stage 2. At the beginning of Stage 2, the low-fidelity model used in Stage 1 is converted to a continuum material system, based on which the high-fidelity FEA models are developed and used to evaluate the design performance. The FEA-based optimization in Stage 2 fine-tunes the design parameters and further improve performance, from which the final optimal design is determined.

The details of this procedure are discussed in the following sections in this chapter.

#### 4.2.1 Stage 1

Stage 1 begins with defining the crease pattern, which decides the locations of folds. A common design objective for origami-inspired structures is to achieve some desired deformed shapes under actuation, referred to as target shapes. To achieve the target shape(s), crease pattern needs to be determined on the undeformed base structure, followed by finding the folding angle on each crease. The corresponding kinematic models and computer-aided tools have been developed for origami-inspired structures, as introduced in Chapter 1, where the panels are assumed rigid and in most cases with zero thickness.



Figure 4-1. A general formulation of the two-stage optimization procedure.

Then the low-fidelity models are developed to evaluate the design performance in Stage 1. Distinguished from solely estimated models, physics-based models, where certain assumptions are made to reduce the degrees of freedom of the system, have been developed and validated in literature for origami-inspired structures such as analytical, kinematic, rigid body dynamic and pseudo rigid body models, as introduced in Chapter 1. In general, any type of modeling methods that can describe the placement of materials, connectivity between parts and explicit relation between deformation and external loads, can be applied in Stage 1.

When a low-fidelity model is selected, the potential design variables are intrinsically included in the constitutive equations of the model. For example, when a rigid body model is used in Stage 1, the design variables are defined as the spring constants connecting two adjacent panels and the external torques applied on each panel. However, not all design variables should be selected

for optimization in Stage 1. For that reason, a variable filter is introduced to determine which variables are of primary interest in the design process based on particular metrics, such as designer's previous experience with the materials or some assumed kinematic constraints in the structures. The computational cost will be largely reduced by application of the variable filter, and the selected effective design variables are denoted as  $var_{eff}$  in Figure 4-1.

The design objectives in Stage 1 are denoted as  $obj_{low}$ . It is quite common to have conflicting design objectives in self-folding structures, for example, the compliance and the actuation force, or the magnitude of deformation and the volume of active materials. Thus, the optimizer, i.e., the optimization algorithm, is used to deal with the trade-off between these competing design objectives and search for overall optimal designs.

The last action in Stage 1 is to select the best design, which will serve as the baseline design in Stage 2. Based on the type of optimizer and designer's specific requirements, different metrics can be applied to determine the best design in Stage 1. For example, most of the gradient-based optimization algorithms contain only one design in each updated iteration. Therefore, the converged design will essentially be the best. However, when a multi-objective evolutionary algorithm is applied, in each generation there will be multiple designs comprising the Pareto front, where no single design is surpassed by any other design in terms of every design objective. In this case, a distance measure could be a useful tool to select the overall best design from the final converged generation [124], as presented in Equation 4-1,

$$U = \left\{ \sum_{i=1}^{k_0} c_i^p \left[ \frac{obj_i(x)}{obj_i^0} - 1 \right]^p \right\}^{\frac{1}{p}}$$
(4 - 1)

where *U* is distance measure score, *p* is a non-zero power term to be adjusted to capture Pareto optimal points, *c* is a vector of weights set by the decision maker such that  $\sum_{i=1}^{k_0} c_i = 1$  and  $c_i > 0$ ,  $obj_i^0$  is a utopia point (also called an ideal point) for each objective function, and *ko* is the number

of objectives. The relative values of the weights  $(c_i)$  reflect the relative importance of the objectives  $obj_i(x)$ . A low distance measure score U indicated good design performance. Therefore, the design with the minimum U will be the overall best design in Stage 1 and selected as the baseline design for Stage 2. Alternatively, the best design could also be determined by particular design requirements according to designer's preferences.

#### 4.2.2 Stage 2

In Stage 2, high-fidelity FEA models are used to evaluate design performance and further improve the design through an optimization process. The FEA models are developed based on continuum materials and structures. Therefore, the first action in Stage 2 is to convert the reduced-order low-fidelity model in Stage 1 into a continuum material system, upon which the corresponding FEA models are developed. For different types of low-fidelity models, different conversion approaches may be applied. Similar to low-fidelity models, the design variables are intrinsically embedded in the FEA models, and variables of interest are selected by the designer and investigated in the optimization. The design objectives of the Stage 2 are often closely related to those of low-fidelity models in Stage 1, if they are not the same in both stages. For example, external loads used as an objective in Stage 1 could be converted to an equivalent objective in Stage 2, which is the volume of active materials to generate the same magnitudes of loads as in Stage 1. The outcome of Stage 2 is the final optimal design(s). Like Stage 1, the overall best design can be determined using a distance measure or based on the designer's particular requirements.

# 4.3 A Particular Formulation of the Two-Stage Optimization Procedure Based on Rigid Body Dynamic Model

Among the reduced-order models for origami-inspired structures introduced in Chapter 1, the rigid body dynamic model has been used for self-folding structures where active materials are incorporated to induce folding under external stimuli. The panels are assumed to be rigid due to their relatively high stiffness compared to the creases, while the compliant creases are modeled as revolute joints with torsional stiffness and damping using the small length flexural pivot model (SLFP), which will be introduced in Section 4.3.1. Since rigid body dynamic models can be used to model the effects of various types of active materials, a particular formulation of the two-stage optimization procedure is developed in this section for the design of multifield self-folding structures, where the rigid body dynamic model is used in Stage 1. A flowchart illustrating this procedure is shown in Figure 4-2. In Stage 1, a rigid body model is used to simulate the effects of the smart materials, with the torques T and torsional spring constants K as design variables. In Stage 2, the rigid body model is first converted to the corresponding continuum active and passive materials, based on which high-fidelity FEA model is developed and used in the optimization process. The details are discussed in the following sections.



Figure 4-2. Example formulation of the two-stage optimization procedure where rigid body dynamic models are used in Stage 1.

### 4.3.1 Stage 1

The first action is to determine the crease pattern and fold angle on each crease to achieve the target shape. Then, the initial base structure, which is normally a flat geometry, is divided into multiple subdomains based on the creases where folds take place. These subdomains are modeled as rigid panels based on the assumption that they are much stiffer than the creases so that local panel deformation is negligible.

In the rigid body dynamic modeling, the input can be described by either force or torque. To simulate the large deformation caused by bending or folding, it is more appropriate to apply torques as input to simulate the effect of the active materials which will result in large rotations on the joints. The panels are connected by torsional springs, which are modeled as small length flexural pivots (SLFP), a common compliant mechanism joint [125] as shown in Figure 4-4, with stiffness *K* calculated by Equation 4-2:

$$K = YI/w \tag{4-2}$$

where w is the width of the crease, and EI is the bending stiffness of the cross section. In general, a torsional spring allows rotation and twisting in all x, y and z directions, thus having three degrees of freedom. But in this dissertation, we assume that a fold mainly happens in one direction without any twisting. Hence, there will be only one degree of freedom in a torsional spring.



Figure 4-3. A crease could be modeled as a revolute joint with a torsional spring using the small length flexural pivot (SLFP) model. Gray indicates rigid panels and white illustrates the compliant crease material.[112]

External torques are applied on each panel to simulate the effect of the active materials. The fundamental governing equation is shown in Equation 4-3:

$$T = K \cdot \theta \tag{4-3}$$

where *T* is the torque, *K* is the spring constant and  $\theta$  is the folding angle. Intrinsically, the torque  $T_{h,i}$ , which denotes the torque due to external field *h* on the *i*th panel, and the spring constant  $K_k$ , which denotes the spring constant on the *k*th crease, are the potential design variables for the rigid body dynamic model. Here, a variable filter is used to reduce the number of design variables. Two rules are elaborated for rigid body dynamic models. First, to meet kinematic compatibility, the resultant torque on each panel should align with the rotation direction. Second, when there is not

much relative rotation between panels, the revolute joint between them is not affecting structure performance; hence, it will not be considered as a design variable. In this case, the panels are assumed to be rigidly connected to one another. The metrics applied in the variable filter are not limited to these rules in other design cases. The filtered design variables denoted as  $\{T_{h,i}, K_k\}_{eff}$  will be investigated in the optimization process.

In the design of origami-inspired self-folding structures, two common design objectives are to achieve a deformed geometry as close to the target shape as possible and to minimize the volume of the active materials. Shape error, denoted as  $\varepsilon$ , is defined as the difference between the simulated deformed geometry under actuation and the desired target shape. For the rigid body dynamic model, the summation of all the torque magnitudes, denoted as  $T_{tot}$ , is an alternative design objective as it corresponds to the volume of the smart materials under a fixed external field. Due to the multi-objective feature of the optimization problem, the elitist Non-dominated Sorting Genetic Algorithm (NSGA-II) [126] is adopted as the optimization algorithm in both Stage 1 and Stage 2 for its capacity to deal with multi-objective problems and to find the global optima with a large number of design variables and large design domains.

To summarize, the design Stage 1 is formulated in Equation 4-4:

Minimize:	$\varepsilon_h = \sum_{j=1}^N \varepsilon_{h,j}$	
	$T_{tot} = \sum_{h} \sum_{i=1}^{P}  \boldsymbol{T}_{h,i} $	(4 - 4)
Subject to:	$0 = T_h^l \le \left  \boldsymbol{T}_{h,i} \right  \le T_h^u$	
	$K_k^l \le K_k \le K_k^u$	
	$i = \{1, \dots, P\}$ , the index of panels.	
	<i>j</i> = {1,, <i>N</i> }, index of nodes.	
	$k = \{1, \dots, C\}$ , index of creases.	

where  $\varepsilon_h$  is the shape error under field *h*, defined as the summation of the shape error on all the nodes of interest; the total magnitude of the torques  $T_{tot}$  is defined as the summation of the torque on all the panels due to all different fields;  $T_h^l$  and  $T_h^u$  denote the lower and upper bound of the torque due to field *h*, and  $K_k^l$  and  $K_k^u$  denote the lower and upper bound of the spring constant, respectively, which are constrained by experimental conditions. The shape error of node *j* under field *h* is defined in Equation 4-5:

$$\psi_{h,j} = (x_{h,j} - x_{h,j,0})^2 + (y_{h,j} - y_{h,j,0})^2 + (z_{h,n} - z_{h,j,0})^2 \qquad (4-5)$$

where  $x_{h,j}$ ,  $y_{h,j}$  and  $z_{h,j}$  are the simulated coordinates of node *j*, and  $x_{h,j,0}$ ,  $y_{h,j,0}$  and  $z_{h,j,0}$  are the target coordinates of node *j*. The magnitude of the torque due to field *h* on the *i*th panel is defined in Equation 4-6:

$$\left|\boldsymbol{T}_{h,i}\right| = \sqrt{T_{h,i,x}^{2} + T_{h,i,y}^{2} + T_{h,i,z}^{2}}$$
(4-6)

The last action in Stage 1 is to select the best design, which follows the same strategies as described in the previous Section 4.1 that either a distance measure or the designer's preferences can be the selection metrics. When a distance measure is adopted, the corresponding expression is shown in Equation 4-7:

$$U = \sqrt{[c_1(\frac{\varepsilon(x)}{\varepsilon^0} - 1)]^2 + [c_2(\frac{T_{tot}(x)}{T_{tot}^0} - 1)]^2}$$
(4 - 7)

where the power term p is set to be 2 so that the distance measure score U represents the distance from design performance to ideal values in performance space,  $c_1$  and  $c_2$  are the weights of each objective decided by designer such that  $c_1 + c_2 = 1$  and  $c_i > 0$ ,  $\varepsilon^0$  and  $T_{tot}^0$  are the ideal values for the two objectives.

#### 4.3.2 Stage 2

Since the high-fidelity FEA models are computationally expensive, the FEA-based Stage 2 will be used to fine-tune the designs found in Stage 1 to further improve performance. Therefore, the design domain of Stage 2 will be highly dependent on the best design from Stage 1. In general, the domain of the design variables in Stage 2  $var^2$  is confined based on corresponding values from Stage 1 best design  $var^1$  with lower and upper factors  $\alpha^l$  and  $\alpha^u$ , as expressed in Equation 4-8:

$$var^{1}(1 - a^{l}\%) \le var^{2} \le var^{1}(1 + a^{u}\%)$$
 (4 - 8)

Here,  $\alpha^{l}$  and  $\alpha^{u}$  are adjustable by the designer to shift the design domain in Stage 2 to realize better design performance than the Stage 1 best design.

The first action in Stage 2 is to convert the rigid body model to a continuum material model. The former rigid panels with applied torques from Stage 1 will be modeled as active materials attached on substrate panels. The volume of the active material responsive to field  $h V_h$  can be calculated using Equation 4-9:

$$V_h = \frac{T_h}{m_h} \tag{4-9}$$

where  $m_h$  is defined as the torque generated by a unit volume of the active material under field h. The torques from the Stage 1 best design are denoted as  $T_{h,i}^1$ , and the corresponding material volumes  $V_{h,i}^1$  are calculated in Equation 4-10:

$$V_{h,i}^{1} = \frac{T_{h,i}^{1}}{m_{h}} \tag{4-10}$$

According to Equation 4-8, the design domain of the material volumes in Stage 2 is determined in Equation 4-11:

$$\frac{T_{h,i}^1}{m_h}(1 - \alpha^l \%) \le V_{h,i}^2 \le \frac{T_{h,i}^1}{m_h}(1 + \alpha^u \%)$$
(4 - 11)

The creases are modeled as revolute joints using the SLFP model in Stage 1, and are converted back to continuum materials in Stage 2. The length, width, thickness and elastic modulus of the *k*th crease are denoted as  $l_k$ ,  $w_k$ ,  $t_k$  and  $Y_k$ , respectively, as depicted in Figure 4-3. The torsional spring constants in the Stage 1 best design are denoted as  $K_k^1$ , and the corresponding crease dimensions are determined in Equations 4-12:

$$\frac{Y_k^1 l_k^1 t_k^{13}}{12w_k^1} = K_k^1 \tag{4-12}$$

All the  $l_k$ ,  $w_k$ ,  $t_k$  and  $Y_k$  could potentially be design variables in Stage 2. However, the constraints are formulated in such a way that the stiffness of the creases in Stage 2 remains the same as the values of the spring constants from Stage 1, so that the number of independent variables is reduced by one.

There are two design objectives in Stage 2: first is to minimize the shape error  $\varepsilon_h$  over the nodes, which is the same as Stage 1; the other is to minimize the total volume of the active materials  $V_{tot}$  instead of total magnitude of torque in Stage 1. The Stage 2 is formulated in Equations 4-13:

Minimize:  

$$\varepsilon_{h} = \sum_{j=1}^{N} \varepsilon_{h,j}$$

$$V_{tot} = \sum_{h} \sum_{i=1}^{P} V_{h,i}$$
(4 - 13)

Subject to:

$$\frac{T_{h,i}^{1}}{m_{h}}(1 - \alpha^{l}\%) \le V_{h,i}^{2} \le \frac{T_{h,i}^{1}}{m_{h}}(1 + \alpha^{u}\%)$$
$$\frac{Y_{k}l_{k}t_{k}^{3}}{12w_{k}} = K_{k,d}^{1}$$

- $i = \{1, \dots, P\}$ , the index of panels.
  - $j = \{1, \dots, N\}$ , index of nodes.
  - $k = \{1, \dots, C\}$ , index of creases.

Like in Stage 1, the last action in Stage 2 is to select the best design, where either a distance measure or the designer's preferences can be the selection metrics. When a distance measure is adopted, the corresponding expression is shown in Equation 4-14:

$$U = \sqrt{\left[c_1\left(\frac{\varepsilon(x)}{\varepsilon^0} - 1\right)\right]^2 + \left[c_2\left(\frac{V_{tot}(x)}{V_{tot}^0} - 1\right)\right]^2}$$
(4 - 14)

where the only difference from Equation 4-7 is that the second design objective here is  $V_{tot}$  rather than  $T_{tot}$ .

#### 4.4 Summary

In this chapter, a computationally efficient two-stage optimization procedure is proposed as a systematic tool for the design of multifield origami-inspired self-folding structures, wherein Stage 1, low-fidelity models are used to optimize the topology of the structure and external loads under given physics fields, while in Stage 2, high-fidelity FEA models are applied to further improve the best design from Stage 1. The details of each action in this design procedure are described for two formulations: (1) a general formulation which is applicable to various modeling methods, and (2) a particular formulation where a rigid body dynamic model is used in Stage 1. To demonstrate the proposed optimization procedure, two case studies are investigated and discussed in Chapter 5.

#### Chapter 5

# Implementation of the Two-Stage Optimization Procedure to Designs of a Soft Gripper and an Origami-Inspired "Coffee Table"

[Partial contents of this chapter were published in the following conference proceedings: [127] Zhang, W., Hong, J., Ahmed, S., Ounaies, Z., and Frecker, M., 2019, "A Two-Stage Optimization Procedure for the Design of an EAP-Actuated Soft Gripper," *Volume 5B: 43rd Mechanisms and Robotics Conference*, American Society of Mechanical Engineers, DETC2019-98169.

#### **5.1 Introduction**

To demonstrate the applicability and computational efficiency of the two-stage design optimization procedure which is proposed in Chapter 4, two case studies are investigated in this chapter, namely, a three-finger soft gripper actuated using terpolymer, and a origami-inspired multifield responsive "coffee table" configuration.

As introduced in Chapters 2 and 3, the PVDF-based terpolymer was developed to actuate several origami-inspired configurations, among which are unimorph benders, unimorph notched configurations and multifield responsive structures such as the bifold and bimorph. By adding notched passive layers to the active terpolymer, localized deformation occurs at the notched regions and the structure exhibits self-folding upon application of electric field. In this chapter, the terpolymer is used as an actuation mechanism for a three-finger gripper to further demonstrate its capacity for single field practical devices. The proposed two-stage optimization procedure is applied to maximize the design performance.

Multifield actuation mechanisms are attractive since complex deformed shapes could be realized by applying different fields either simultaneously or sequentially. In addition, multifield actuation mechanisms allow the structures to be functional under different circumstances in such a

<sup>[128]</sup> Zhang, W., Hong, J., Ahmed, S., Ounaies, Z., and Frecker, M., 2018, "Parametric Design of a Soft Gripper Actuated Using the Electrostrictive PVDF-based Terpolymer," In *ASME 2018 Conference on Smart Materials, Adaptive Structures and Intelligent Systems*. American Society of Mechanical Engineers Digital Collection, SMASIS2018-7966.]

way that the appropriate fields can be selectively applied according to the external conditions. To demonstrate the applicability of the two-stage optimization procedure for multifield structures, an origami-inspired "coffee table" configuration is investigated, where both an EAP, namely, the PVDF-based terpolymer, and the MAE are incorporated so that either or both electric field and magnetic field can be applied to achieve corresponding target shapes.

To deal with the multi-objective optimization problems, there are two fundamental approaches, namely, to combine the multiple objective functions into a single objective function, or to find the Pareto-optimal solutions where all the objective functions are evaluated explicitly. To implement the former approach, there are various methods that are summarized in the reference [129], including the weighted global criterion method, where all the objectives are combined into a single utility function with designer's preferences and utopia point is used as a reference; the weighted sum method, where the power of each weighted objective term is 1; and the weighted product method, where the weight of each objective appears as the exponential term, and the multiplication of all the weighted objective becomes the new evaluation function. If the weight of each objective is pre-determined by the designer before the optimization starts, these methods could be applied to reduce the computational expense compared to the Pareto-optimal-solution-searching approach.

There are various algorithms to solve the optimization problems, which can be classified into two categories, namely, the gradient-based algorithms and the gradient-free algorithms. The gradient-based algorithms, such as the widely used method of moving asymptotes (MMA) [130] and sparse nonlinear optimizer (SNOPT) [131], require smooth optimization functions whose gradients are available. These algorithms exhibit fast convergence and guarantee good stability, but are sensitive to discontinuities in the objective function and tend to converge to local optima [132]. On the other hand, among the gradient-free algorithms, multi-objective evolutionary algorithms (MOEAs) [133] are receiving increasing interest, including NSGA-II,  $\varepsilon$ -NSGA-II which features parameter tuning, AMALGAM (a multialgorithm adaptive method), and Borg, which incorporates features such as subdomained progress ( $\varepsilon$ -progress), randomized restart and auto-adaptive multioperator recombination. Zheng et al. [134] investigated and compared the run-time searching behaviors of three types of MOEAs, namely, NSGA-II, Borg and a self-adaptive multiobjective differential evolution (SAMODE), through six water distribution system design problems. They found that NSGA-II showed the most robust performance to find the Pareto front, and is preferable when a large range of the front is of interest; while Borg exhibited faster convergence due to its "frequent updating of the searching population (the steady-state model)", and is suggested when the computational budget is not high.

In this dissertation, the genetic algorithm is used instead of computationally more efficient gradient-based algorithms, because for the design cases discussed here, it is difficult to find any continuous functions that explicitly relate the design variables and objectives, and therefore difficult to calculate the derivatives; also, the genetic algorithm is well suited for dealing with objective functions regardless of their formats. In particular, the elitist Non-dominated Sorting Genetic Algorithm (NSGA-II) [135] is adopted as the optimization algorithm in both Stage 1 and Stage 2 for its capacity to deal with multi-objective problems and to search the entire feasible design space. All the design objectives are evaluated and presented in the performance space explicitly to illustrate the trade-off between the conflicting objectives, and a distance measure U is used to select the overall best design from Pareto front.

The following tasks are addressed in this chapter:

Task 1.6 Utilize the actuation mechanisms to design and demonstrate a specific example.

Task 2.2 Develop appropriate low-fidelity models for Stage 1.

**Task 2.3** Formulate and conduct optimization using the low-fidelity model in Stage 1 to determine material topology.

**Task 2.4** Develop material conversion methods for transition from low-fidelity model to continuum material system, then develop the FE models.

**Task 2.5** Formulate and conduct optimization using the FE model in Stage 2 to finetune the structure.

**Task 2.6** Apply the two-stage design optimization approach to demonstrate proof-ofconcept devices and evaluate the effectiveness of this design procedure.

#### **5.2 Design of an EAP-Actuated Soft Gripper**

#### **5.2.1 Introduction and Motivation**

Nowadays, soft grippers, which use compliant mechanisms instead of stiff components to achieve grasping actions, are in demand in many engineering applications, such as minimally invasive surgery [121,136], power transmission tower climbing [137] and biological sampling on fragile species [138]. Various active materials have been used to activate the gripper fingers. For example, Bhattacharya et al. [139] designed a gripper using IPMC as the actuator and PDMS as the gripping mechanism. Chonan et al. [140] investigated the hybrid position/force control of a two-fingered miniature gripper driven by piezoelectric bimorph cells. Guo et al. [141] proposed a multifunctional PneuEA (a combination of pneumatic and electro-adhesive) gripper, which is capable of grasping flat objects using the electro-adhesive actuator mode, and bulk objects using the two-fingered pneumatic actuator mode. Compared to rigid link grippers, soft grippers exhibit higher conformability to the object, which makes them better suited for grasping soft lightweight objects with irregular contours, and can interact more safely and robustly with the natural environment and human beings [141–150].

In the design of a soft gripper, both large deflection  $\Delta$  and high blocked force  $F_b$  of the fingers are important factors for successful grasping. In this chapter, free deflection  $\Delta_{free}$  is defined as the tip displacement of the unimorph actuator when an electric field is applied, where the root of the unimorph is clamped and other regions are free to move; while blocked force  $F_b$  is defined as the actuation force at the tip where the tip is prevented from moving in the actuation direction. Large deflection and flexibility allow the gripper to grasp objects with various geometries and fully conform to the object, while high blocked force will enable firm grasping, but usually calls for a stiff structure with low deflection range. Therefore, design optimization is necessary to investigate the tradeoff between flexibility and stiffness in order to achieve an overall good performance in both design goals. Different optimization algorithms and tools have been adopted for gripper design. For instance, Wang et al. [142] applied the direct global optimization algorithm for a constant-force gripper design. Aguirre et al. [121] used ANSYS' optimization first order method to do size optimization of a narrow-gauge compliant forceps. Rao et al. [151] investigated the performance of a teaching-learning-based optimization algorithm to obtain the optimal geometrical dimensions of a robot gripper. In their study [152], Saravanan et al. explored three multicriteria design optimization procedures, namely, Multi-Objective Genetic Algorithm (MOGA), Elitist Non-dominated Sorting Genetic Algorithm (NSGA-II) and Multi-Objective Differential Evolution (MODE) to obtain optimal geometrical dimensions of a robot gripper. It was found that the MODE technique is better than MOGA and NSGA-II in terms of minimum algorithm effort, while NSGA-II provided the most non-dominated solutions.

A full FEA-based optimization is likely computationally intractable because of the high computational cost of the FEA models. For the gripper design, to calculate  $\Delta_{free}$ , a geometrically nonlinear solver is used to account for the high deformation occurring in each finger configuration. Additionally,  $\Delta_{free}$  and  $F_b$  are solved independently using FEA models, further increasing the computing time. As a result, as described in Section 5.2.5 later, each FEA simulation of the soft gripper takes about 1 hour to converge, leading to a 104-day computing time for the optimization algorithm to converge in Stage 1. In this section, the two-stage design optimization procedure, which is introduced in Chapter 4, is implemented to the design of a three-finger soft gripper actuated using the electrostrictive P(VDF-TrFE-CTFE) terpolymer. Analytical models are developed and used as the low-fidelity models in Stage 1 to determine the topology of the structure. A FEA-based Stage 2 will further improve the design based on the structural topology which is determined from Stage 1.

#### 5.2.2 PVDF-Based Soft Gripper

The three-fingered soft gripper was proposed, fabricated and experimentally tested by Hong, and more details can be found in his thesis [118]. The setup and experiments are summarized here. The biomimetic "finger" configuration is adopted as the gripper geometry because it was found experimentally to result in a large folding angle during actuation. The schematic is shown in Figure 5-1(a) where notches, active (terpolymer) and passive (scotch tape) layers are indicated. The experimental setup and the folded "finger" actuator are shown in Figure 5-1(b) and (c). The material properties are listed in Table 5-1. A three-legged base is designed, to which three adjustable "sliders" are attached to place the fingers in the desired initial position. Figure 5-2 shows the assembled base.



Figure 5-1. (a) Schematic of the "finger" configuration. (b) Photo of a "finger" sample at rest and (c) folded upon application of electric field.

Three objects were used to test the grasping ability of the gripping device: (1) a 60 mm pom-pom with mass of 2.28 g, (2) a paper cylinder with mass of 1.70 g, and (3) an inflated latex glove with mass of 3.78 g. Objects were selected based on their variation in surface properties, densities, and shapes. As seen in Figure 5-3, the terpolymer fingers behave differently depending on the properties of the object which they are gripping. The voltage used in each of these tests was 1.7 kV, which corresponded to an electric field of approximately 56 MV/m.

Two modes of gripping can be observed in the experimental results of Figure 5-3. The two grasping modes are defined according to Nishimura et al. [153]: (1) enveloping and (2) parallel modes. The enveloping mode is seen in the pom-pom experiment as shown in Figure 5-3 (a), in which the actuator grasps the object by fully enveloping it and the friction between the actuator and the object does not play an important role in grasping. The parallel mode is seen in Figures 5-3 (b) and (c) where the actuator grasps the objects on their sides, and the friction between the actuator and the object balances the weight of the object. For the paper cylinder, the actuator bends around a vertical axis until it matches the curvature of the object. Similarly, the actuator wraps around the irregular shape of the inflated latex glove to match the object's contours. The advantage of this

grasping mode is to increase contact surface area between the gripper and the object, which creates more friction between the two surfaces and enhances the reliability of grasping, improving the performance of the gripper.

	Terpolymer	Scotch tape
Length (cm)	6.0	-
Width (cm)	2.0	2.0
Thickness (µm)	30	62
Elastic modulus (GPa)	0.2	1.6
Poisson's ratio (1)	0.48	0.3
Density (kg/m <sup>3</sup> )	960	1063

Table 5-1. Dimensions and material properties for the "finger" configuration.



Figure 5-2. The assembled gripping base.



Figure 5-3. Grasping experiments for several target objects including (a) a 60 mm pom-pom ball, (b) a paper cylinder and (c) an inflated latex glove.

The design requirements are somewhat different between the two grasping modes due to the different grasping mechanisms. For the enveloping mode, the actuation force is able to act against the weight of the object as the actuator wraps fully around the object from bottom; therefore, large free deflection of the terpolymer fingers is required in the enveloping mode. While in the parallel mode, the actuation force mostly acts perpendicular to the weight of the object, in which case the friction force between the actuator and the object is mainly responsible for holding the object against gravity. Hence, terpolymer fingers with high blocked force are desirable when the gripper works in the parallel mode. Therefore, to design a soft gripper capable of grasping multiple types of objects will require optimizing both blocked force and free displacement. Quantitative analysis and discussion of force and displacement of the finger actuator is presented next.

#### 5.2.3 The Two-Stage Design Optimization Procedure

In this section, the proposed two-stage design optimization procedure is applied to realize maximum actuation performance of the gripper device, and the corresponding flowchart is shown in Figure 5-4 with specific design variables, objectives and outcomes of each stage defined in Section 5.2.3.1.

Equivalently, the optimization is conducted for one finger of the gripper, which is modeled as a segmented terpolymer-based unimorph actuator, as shown in Figure 5-5. As mentioned earlier, both high free deflection and large blocked force are required for the gripper device to successfully implement the two grasping modes. Hence, simultaneously maximizing the free deflection and the blocked force are the design objectives in a multi-objective design problem. These two objectives are competing, as the design with the highest free deflection will be very flexible and the design with the highest blocked force will be very stiff. The two-stage optimization procedure is used to resolve the tradeoff between the two objectives.

#### 5.2.3.1 Stage 1

In Stage 1, computationally efficient analytical models are used to evaluate the objective functions. The optimization results, i.e., the dimensions and locations of the active and passive materials, are determined through Stage 1, and will remain fixed in Stage 2. In Stage 2, a full FEA-based optimization is conducted to further improve the best design(s) from Stage 1 by introducing non-uniform distributions of the active materials.



Figure 5-4. The flowchart of the two-stage optimization procedure for gripper design.



Figure 5-5. Schematic of the five-segment actuator.

The design optimization problem in Stage 1 is formulated in Equation 5-1. Since optimization algorithms are developed to perform minimization, the design objectives in this study are written equivalently to minimize the negative free deflection  $\Delta_{free}$  and negative blocked force  $F_b$ , both at the tip of the finger. Based on investigation in Chapters 2 and 3, there are several variables that may influence the two objectives, including the Young's moduli and thickness of both the terpolymer and the substrate, the total length of the actuator and the number of notches, as well as their dimensions and positions along the actuator. In this study we consider an actuator with *n* number of segments, a fixed total length *L* and width *w*, and fixed Young's moduli  $Y_{ter}$  and  $Y_{sub}$  of the active material and the substrate, respectively. The design variables in Stage 1 are the length  $l_i$  and the thicknesses of the terpolymer  $t_{ter,i}$  and substrate  $t_{sub,i}$  in the *i*th segment. Lower and upper limits are placed on the thicknesses based on fabrication limitations.

Minimize: 
$$-|F_b|$$
  
 $-|\Delta_{free}|$  (5 - 1)

Subject to:

$$t_{ter,lo} \le t_{teri} \le t_{ter,up}$$
$$t_{sub,lo} \le t_{subi} \le t_{sub,up}$$
$$l_i \ge 0$$
$$\sum_{i=1}^n l_i = L$$

Analytical models have been developed for various segmented unimorph and bimorph actuators in our earlier studies [42,50,154]; the model for the segmented unimorph actuator with terpolymer actuation is summarized here. First, the electromechanical performance of each segment is modeled as a beam. When an electric field is applied to the terpolymer, the electrostrictive strain is constrained by the substrate, which leads to a uniform bending curvature as shown in Figure 5-6 (b). The y = 0 is defined as the interface between terpolymer and substrate, and the strain here is defined as  $\varepsilon_0$ . Assumptions are made that the weight of the materials are negligible in calculating the deflection, and that deformation only happens in the x - y plane. According to beam theory, the strain at an arbitrary level y is shown in Equation 5-2:



Figure 5-6. The schematics of (a) the undeformed unimorph, (b) the deformed unimorph with bending curvature  $\kappa$  and (c) two segments with their angular deflections.

$$\varepsilon_{sub}(y) = \varepsilon_0 + y\kappa \ (-t_{sub} \le y \le t_{ter}) \tag{5-2}$$

For the substrate, the axial strain and stress due to bending (assuming linear material behavior) are shown in Equations 5-3 and 5-4, respectively:

$$\varepsilon_{sub}(y) = \varepsilon_0 + y\kappa \ (-t_{sub} \le y \le 0) \tag{5-3}$$

$$\sigma_{sub}(y) = Y_{sub} \left( \varepsilon_0 + y\kappa \right) \quad (-t_{sub} \le y \le 0) \tag{5-4}$$

For the terpolymer, the total strain consists of the electrostrictive strain  $\varepsilon_e$  and the induced mechanical strain due to bending  $\varepsilon_m$ . The expression of the mechanical strain is shown in Equation 5-5:

$$\varepsilon_m(y) = \varepsilon_{tot} - \varepsilon_e = \varepsilon_0 + y\kappa - ME^2 \quad (0 \le y \le t_{ter}) \tag{5-5}$$

where M is the electro-mechanical coupling coefficient and E is the applied electric field.

When no external force and moment are applied on the actuator, the net resultant force is shown in Equation 5-6:

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$$\int_{-t_{sub}}^{t_{ter}} \sigma dA = 0 \implies \int_{-t_{sub}}^{0} \sigma_{sub} dA + \int_{0}^{t_{ter}} \sigma_m dA = 0$$
(5-6)

The net resultant moment is shown in Equation 5-7:

$$\int_{-t_{sub}}^{t_{ter}} \sigma y dA = 0 \Rightarrow \int_{-t_{sub}}^{0} \sigma_{sub} y dA + \int_{0}^{t_{ter}} \sigma_m y dA = 0$$
 (5-7)

The strain at the interface  $\varepsilon_0$  and bending curvature are solved using these two equations 5-6 and 5-7. Extensions of this analytical model for a layered terpolymer actuator can be found in [50].

According to the Bernoulli-Euler equation in Equation 5-8, the curvature is:

$$\frac{M_{eq}}{YI} = \frac{d\theta}{ds} = \kappa \tag{5-8}$$

The angular deflection  $\theta_0$  is shown in Equation 5-9:

$$\int_{0}^{\theta_{0}} d\theta = \int_{x_{1}}^{x_{2}} \kappa ds$$
$$\theta_{0} = \kappa l \qquad (5-9)$$

The tip displacements are shown in Equation 5-10:

$$\delta_{x} = \frac{1}{\kappa} (1 - \cos \kappa l)$$
  
$$\delta_{y} = l - \frac{\sin \kappa l}{\kappa}$$
(5 - 10)

The rotation angle  $\theta_i$  for the *i*th segment in the global coordinate system is given by Equation 5-11:

$$\theta_i = \theta_{locali} + \theta_{i-1} \tag{5-11}$$

To calculate the free tip deflection of the segmented actuator, the tip displacement and angular deflection of each segment are calculated first, and the accumulated tip displacement of each segment is solved sequentially, as shown in Figure 5-6 (c). The accumulated tip displacement in global coordinate system  $(X_i, Y_i)$  is obtained by adding the contribution of the local displacement  $(X_{t_i}, Y_{t_i})$  to the previous segment  $(X_{i-1}, Y_{i-1})$ , as shown in Equation 5-12:

$$\begin{bmatrix} X_i \\ Y_i \end{bmatrix} = \begin{bmatrix} X_{i-1} \\ Y_{i-1} \end{bmatrix} + \begin{bmatrix} X_{ti} \\ Y_{ti} \end{bmatrix}$$
(5 - 12)

where:

$$\begin{bmatrix} X_{ti} \\ Y_{ti} \end{bmatrix} = \begin{bmatrix} \cos\theta_{i-1} & -\sin\theta_{i-1} \\ \sin\theta_{i-1} & \cos\theta_{i-1} \end{bmatrix} \begin{bmatrix} x_i \\ y_i \end{bmatrix} + \begin{bmatrix} l_i \sin\theta_{i-1} \\ l_i (1 - \cos\theta_{i-1}) \end{bmatrix}$$
(5 - 13)

The blocked force for a single unimorph segment has been derived in a previous study [155]. In this section, the blocked force is derived for the segmented actuator with an arbitrary number of segments, as shown in Figure 5-7 (a). An equivalent bending moment is applied at the tip of each segment to account for the actuation from the terpolymer, and the blocked force is modeled as an applied force at the tip of the entire actuator which results in a zero tip deflection. According to Castigliano's theorem as shown in Equation 5-14,

$$\Delta = \sum_{i=1}^{n} \int M_{int} (x) \frac{\partial M_{int} (x)}{\partial F_b} \frac{dx}{YI_i} = 0$$
(5 - 14)

Based on equilibrium of bending moments, the internal moment in the *i*th segment  $M_{int}$  is derived from Equation 5-15:

$$M_{int}(x) - \sum_{i}^{n} Meq_{i} + F_{b}x = 0$$
 (5 - 15)

where

$$\sum_{i}^{n} Meq_{i} = (YI)_{i}\kappa_{i}$$
(5 - 16)

The blocked force is found by substituting Equations 5-15 and 5-16 into 5-14:



Figure 5-7. The schematics of (a) the equivalent bending moment and blocked force acting on an actuator with **n** segments and (b) the inner moment on the **i**th segment.

$$\sum_{i=1}^{n} \left[ \frac{(l_{i+1}+l_{i+2}+\dots+l_n)^2 - (l_i+l_{i+1}+l_{i+2}+\dots+l_n)^2}{2} \kappa_i + \frac{(l_i+l_{i+1}+l_{i+2}+\dots+l_n)^3 - (l_{i+1}+l_{i+2}+\dots+l_n)^3}{3} \frac{F_b}{YI_i} \right] = 0 \qquad (5-17)$$

Given the geometric information for each segment, the segment bending curvature  $\kappa_i$  and the segment bending stiffness  $YI_i$ , the blocked force is found using Equation 5-17.

So far, the two design objectives, i.e.,  $F_b$  and  $\Delta_{free}$ , for each design are obtained from the analytical models and used in the optimizer NSGA-II for evolution. Once the Stage 1 optimization is complete, the designer selects which design(s) to move forward to Stage 2. A single design can be selected based on particular requirements. For the gripper application, we seek a design with both suitable free deflection and blocked force and select one design out of the set of non-dominated

designs. As introduced in Section 4.2.1, the distance measure is an effective approach to select the overall best design. A general multi-objective distance measure is expressed as in Equation 4-1. Here, the power term p is selected as 2, the weights  $c_1 = c_2 = 0.5$  since  $F_b$  and  $\Delta_{free}$  are considered equally important, and the ideal values for the design objectives are decided later in Section 5.2.4. So the distance measure is expressed as in Equation 5-18:

$$U = \sqrt{[0.5(\frac{F_b(x)}{F_b^0} - 1)]^2 + [0.5(\frac{\Delta_{free}(x)}{\Delta_{free}^0} - 1)]^2}$$
(5 - 18)

The design with the minimum distance measure is thus selected to move forward to Stage 2.

#### 5.2.3.2 Stage 2

The outcome of Stage 1 consists of the lengths and the thicknesses of the terpolymer and the substrate of each segment, which are then held fixed as inputs in Stage 2. One of the assumptions in Stage 1 is that the width w is a constant for all segments, which enables use of computationally less expensive 2-D analytical models.

In Stage 2, 3-D FEA models are developed to evaluate the objective functions, providing the opportunity to investigate other design variables, such as non-uniform distribution of the active materials. In this section, considering the actuation mechanism of the terpolymer, the shapes of each segment are adopted as the design variables. In Stage 2, variation in width of each segment is introduced which allows the surfaces of the segments to be rectangular or trapezoidal. The edge slopes  $Slope_i$  for each segment are adopted as the design variables in Stage 2, as shown in Figure 5-8. Thus, the design variables are the edge slope of each segment, while the design objectives remain the same as in Stage 1 but are calculated using the 3D FEA simulation. The design optimization problem is formulated as in Equation 5-19:

Minimize:  $-|F_b|$ 

$$-|\Delta_{free}|$$

Subject to:

For i from 1 to n 
$$(5-19)$$
  
 $Slope_{lo} \leq Slope_i \leq Slope_{up}$ 

### with $l_i$ , $t_{teri}$ , and $t_{subi}$ determined from stage one.

The FEA model follows the same method as is introduced in Chapter 3, where the constitutive relations of the electrostrictive terpolymer are developed and then implemented in the shell module of the commercial FEA package COMSOL Multiphysics. The FEA models for free deflection are validated in Chapter 3.



Figure 5-8. The trapezoid shape of surface of the ith segment with edge slope *Slope*<sub>i</sub>. The area of the surface and accordingly the volume of the materials remain the same from Stage 1 to Stage 2.

Besides the free deflection, the FEA models are implemented to predict the blocked forces generated by the terpolymer-based actuators. The 3 cm-long unimorph bender is used as the validation configuration since corresponding experimental measurements have been conducted for the blocked forces by Saad Ahmed et al. [50]. To improve the blocked force, multiple layers of the terpolymer are adhered together using spray glue. In the FEA model, a roller boundary condition is assigned to the tip of the bender by prescribing the tip-displacement in the x- direction to be zero, as depicted in Figure 5-9; the bender is free to move in the y-z plane. The multiple layers of

terpolymer is modeled in FEA in such a way that a glue layer with thickness  $t_g$  and elastic modulus  $Y_g$  is used between two terpolymer layers. Through a parametric study, the parameters of the glue layer are determined as  $t_g = 2\mu m$  and  $Y_g = 750 MPa$ .

Quantitative comparisons of the blocked force between FEA and experiments are shown in Figure 5-10, where the bender is actuated using 1 layer, 4 layers, and 6 layers of the terpolymer, respectively. The error bars represent plus/minus one standard deviation of five samples in each case. We can see that FEA results agree well with experimental data for all of the three cases especially at high electric field 50 MV/m when the error bars are considered. As the number of layers of the terpolymer increases, the blocked force also increases evidently. The actuators with four and six terpolymer layers generate blocked forces approximately four times higher and seven times higher than the single layer actuator, respectively. Through this study, the FEA models to predict the blocked force generated by multilayer terpolymer-based actuators are validated.



Figure 5-9. Roller boundary condition is assigned at the bottom edge of the unimorph bender to compute the blocked force.


Figure 5-10. Blocked force comparison between FEA and experiments for the unimorph bender.

After validation, the FEA models are then used in Stage 2. A sample FEA model with trapezoidal segments is presented in Figure 5-11. A symmetric boundary condition is applied at the center line of the structure to reduce computational cost, taking advantage of the symmetric geometry. The mesh is generated using COMSOL mesh controller, where triangular mesh geometry and "normal" extent of fineness are selected.

NSGA-II is applied as the optimization algorithm and is implemented using MATLAB's gamultiobj function [156]. MATLAB and COMSOL interact such that MATLAB generates the values of the design variables and sends them to a COMSOL model file. COMSOL generates the geometry based on these variable values in a FEA model and evaluates the corresponding objectives  $\Delta_{free}$  and  $F_b$ , and then sends the objective values back to MATLAB for optimization, which sends them to COMSOL again. The process is repeated until convergence is achieved.

Through Stage 2, all the designs appearing on the Pareto front of the final generation represent the best designs in the two objective functions. The designer may select the final design based on particular application requirements, or by using the distance measure as in Stage 1. Note that in general, the utopia point in Stage 2 is different from that in Stage 1.



Figure 5-11. The meshed FEA model with trapezoid segment surfaces where  $Slope_i = 0.12$ . A symmetric boundary condition is applied along the center line considering the symmetric geometry of the actuator.

#### 5.2.4 Results

### 5.2.3.2 Results of Stage 1

The two-stage design optimization procedure is applied to the double notched "finger" configuration, which is modeled as a five-segment unimorph actuator (n = 5), as shown in Figure 5-5. The optimization problem is formulated as shown in Equation 5-1. According to fabrication limitations, the upper and lower bounds of the terpolymer are set as  $t_{ter,lo} = 30 \ \mu m$  and  $t_{ter,up} = 300 \ \mu m$  respectively, and similarly for the substrate,  $t_{sub,lo} = 40 \ \mu m$ , and  $t_{sub,up} = 400 \ \mu m$ . The free deflection and blocked force are calculated at  $E = 40 \ MV/m$ , and the coefficient  $M = 4.38 \times 10^{-18} m^2/V^2$  in Equation 5-5.

There are 14 design variables in Stage 1: the length of each segment  $l_1$ ,  $l_2$ ,  $l_3$ ,  $l_4$  ( $l_5$  is determined thereafter since the total length L is fixed), the thicknesses of the terpolymer in each segment  $t_{ter1}$ ,  $t_{ter2}$  ...  $t_{ter5}$ , and thicknesses of the substrate  $t_{sub1}$ ,  $t_{sub2}$  ...  $t_{sub5}$ . Based on the number of design variables of 14, the recommended population size from MATLAB Np = 200 is adopted. The stopping criterion is defined as when the average spread change evaluted for the latest

100 generations is smaller than the function tolerance of  $10^{-4}$ . Several algorithm parameters have been tuned to achieve good diversity in the population and convergence within a reasonable time. The Pareto fraction is set as 0.7, the elite fraction is 0.05, the crossover fraction is 0.5, and the mutation option is "Mutationadaptfeasible", in which the mutation direction and step length are calculated based on the variable bounds and linear constraints. The CPU time for convergence is about 130 seconds, going through over 150 generations of evolution.

The performance space of the final generation of Stage 1 is presented in Figure 5-12, where the horizontal axis is the negative normalized free deflection  $\Delta_{free}$ , and the vertical axis is the negative normalized blocked force  $F_b$  at an applied electric field E = 40MV/m.  $\Delta_{free}$  and  $F_b$  are normalized with respect to the best individual values that are achieved in the final generation, which are later used as the utopia point in the calculation of the distance measure. Since the design objectives are to maximize both  $\Delta_{free}$  and  $F_b$ , the ideal design would be located near the origin (bottom left corner) of the performance space. In the plot, each point represents a Pareto optimal design. The total number of designs appearing in the Pareto front is  $N_{pareto} = Np \times$ *ParetoFraction* = 200 × 0.7 = 140.

The parameters used in the calculation of the distance measure (see Equation 5-18) are determined as follows. By observing the performance space, the best possible value of the blocked force is defined as  $F_b^0 = 71 \ mN$ , and the best value of the free deflection is defined as  $\Delta_{free}^0 = 9 \ cm$  for calculation of the distance measure. The power term *p* is selected as 2, and the two objectives are treated with equal importance, i.e.,  $c_1 = c_2 = 0.5$ , so that the distance measure represents the distance of a specified design to the utopia point.

The distance measures of all 140 designs in the Pareto front are evaluated, and the design with the minimum distance measure is noted in Figure 5-12, and is depicted in Figure 5-13 (a), where the lengths and thicknesses of the segments are shown approximately to scale. The values

of the design variables are listed in Table 5-2. This design can generate  $\Delta_{free} = 3.51 cm$  and  $F_b = 43.7 mN$  when the applied field E = 40 MV/m, resulting in a distance measure U = 0.361. It is observed that this best design exhibits a nearly tapered configuration, with relatively thicker terpolymer and substrate layers in the segments near the root and thinner layers in the segments near the tip. Moreover, the very top and very bottom segments are longer than the other three segments. The notches do not appear in the best design, since the blocked force  $F_b$  is considered besides the free deflection  $\Delta_{free}$ . Further analysis is presented in Section 5.2.5.

To demonstrate the advantage of the segmented structure and the improvement achieved through Stage 1, the best design in Stage 1 is compared to a standard unimorph, which is a non-segmented structure with uniform terpolymer thickness of 279  $\mu m$  and substrate thickness of 160  $\mu m$ . The material thicknesses of the standard unimorph is selected such that the volumes of the terpolymer and the substrate are the same as the total corresponding material volumes of the best design, respectively. The performance of the standard unimorph is shown in Figure 5-12, and a performance comparison can be seen in Table 5-3. Note that the selected best design in Stage 1 exhibits higher  $F_b$  but lower  $\Delta_{free}$  than the standard unimorph, but has a lower distance measure, representing an improvement in overall performance.

As can be seen in Figure 5-12, there are optimal designs with better performance in both  $\Delta_{free}$  and  $F_b$  than the standard unimorph, demonstrating the effect of the optimization. To illustrate this point, two designs, namely Design 1 and Design 2, are selected and compared to the standard unimorph, where Design 1 exhibits the same  $F_b$  but higher  $\Delta_{free}$  than the standard unimorph, where Design 2 shows the same  $\Delta_{free}$  but higher  $F_b$ . These two designs exhibit better overall performance than the standard unimorph but worse than the best design when evaluated using the distance measure as expressed in Equation 5-18. However, we can see from Table 5-3 that the volumes of terpolymer material used in Design 1 and Design 2 are less than either the standard

unimorph or the best design. If the volume of terpolymer material  $V_{ter}$  is introduced into the distance measure as a third metric besides  $\Delta_{free}$  and  $F_b$ , and the weights of these three metrics are reassigned, we may have the best design different from current one, which reveals that the criteria to determine the best design is decided by the designer and is not unique, and metrics beyond the design objectives can be included in the calculation of the distance measure, for example, the volume of terpolymer used in the gripper.



Figure 5-12. The performance space of the standard unimorph and the final generation from Stage 1. The position of the best design in Stage 1 is determined by the minimum value of distance measure.



Figure 5-13. Schematics of (a) the best design from Stage 1, showing a nearly tapered configuration along the length, and (b) a standard unimorph. The thicknesses are exaggerated compared to lengths.

Variable name	value	Variable name	value
$l_1$	2.607 cm	$t_{ter4}$	278 µm
$l_2$	1.039 cm	$t_{ter5}$	242 µm
$l_3$	0.183 cm	$t_{sub1}$	209 µm
$l_4$	0.605 cm	$t_{sub2}$	152 μm
$t_{ter1}$	298 µm	$t_{sub3}$	180 µm
$t_{ter2}$	291 µm	$t_{sub4}$	107 µm
$t_{ter3}$	275 µm	$t_{sub5}$	$100 \ \mu m$

Table 5-2. The values of the design variables for the best design in Stage 1.

Table 5-3. Performance comparison between the standard unimorph and the best design in Stage 1.

Design	$\Delta_{free}(\mathrm{cm})$	<b>F</b> <sub>b</sub> (mN)	distance measure	$V_{ter}$ (cm <sup>3</sup> )
Standard unimorph	3.87	35.2	0.381	0.168
Design 1	4.14	35.2	0.368	0.155
Design 2	3.87	39.1	0.371	0.160
Best design in stage one	3.51	43.7	0.361	0.168

## 5.2.3.2 Results of Stage 2

In Stage 2, the initial dimensions of the design are determined from Stage 1 as listed in Table 5-2, and the design variables are the edge slopes  $Slope_i$  of each segment. The design optimization problem is formulated as shown in Equation 5-19, where the bounds of  $Slope_i$  are set as  $-0.125 \leq Slope_i \leq 0.125$  to guarantee that the lengths of the top and bottom edges of all segments are always positive.

Computation time is an important consideration in design optimization. Based on our previous experience, it takes each FEA simulation about one hour to obtain both  $\Delta_{free}$  and  $F_b$  for

a single design when the applied field  $E = 40 \ MV/m$ , thus making the FEA-based optimization computationally expensive. To reduce computation time, we propose to run the Stage 2 FEA simulations for electric field  $E = 1 \ MV/m$  to avoid the high nonlinearity in deformation and the large number of iterations for the FEA model to converge. Since  $\Delta_{free}$  and  $F_b$  increase nonlinearly with the electric field, we cannot just extrapolate the performance at higher fields. Here the question is: are the best designs at  $E = 1 \ MV/m$  still the best designs at  $E = 40 \ MV/m$ ?

To answer this question, 15 designs on the Pareto front in the parametric study of [128] are selected to compare their performance at E = 1 MV/m and E = 40 MV/m. The results are shown in Figure 5-14. It is observed that the relative positions on the Pareto front of the selected 15 designs for E = 40 MV/m and E = 1 MV/m remain the same, indicating that the best designs for E = 40 MV/m still surpass other designs in both design objectives for E = 1 MV/m. The result validates the feasibility of implementing the FEA-based optimization for E = 1 MV/m to save computation time. Even with this simplification, it takes the FEA simulation about 5 minutes to converge for a single design. The population size is set as Np = 50, and the algorithm stops when the convergence criterion is reached, which will be explained later. The total computing time in Stage 2 is 360 hours (15 days).



Figure 5-14. The positions of the 15 selected designs in (a) performance space of the parametric design when  $E = 40 \ MV/m$  and (b) when  $E = 1 \ MV/m$ .

The performance space of the entire initial generation, the Pareto front of the 108th generation, along with the Stage 1 best design, are shown in Figure 5-15 (a). The best designs in the 108th generation can be seen more clearly in Figure 5-15 (b), where the horizontal axis is the negative normalized free deflection and the vertical axis is the negative normalized blocked force when the applied field is E = 1 MV/m. It is observed that the design performance is notably improved from initial generation to the 108th generation. Note that all the designs on the Pareto front perform better than the best design in Stage 1, indicating the improvement achieved through Stage 2. Again, the distance measure is applied to evaluate the overall performance of these designs. In Stage 2,  $\Delta_{free}^{0} = 0.0165 cm$  and  $F_{b}^{0} = 0.219 mN$  are adopted as the utopia values. The design with the minimum distance measure is noted in Figure 15 (b).

The trend of the spreadchange value in the last 11 generations is shown in Figure 5-16. The spread is a measure of the movement of the Pareto set [156], and the spreadchange is calculated as the difference between the current generation and the average of the latest certain number of generations, called stall generations. As convention, the spreadchange value is adopted as the convergence criterion to reflect relative change between generations. Based on our previous experience on multi-objective FEA-based optimization [157], the value of stall is selected as 5. As we can see in Figure 5-16, the spreadchange value drops from 0.025 to 0.0025 from generation 98 to 108, indicating that the optimization algorithm can be considered converged at the 108th generation.

By checking the performance of the designs with assistance of the performance space, the designer may select the final design based on particular application requirements, or by using the distance measure as in Stage 1. A schematic of the design with best distance measure in Stage 2 is depicted in Figure 5-17, where the edge slopes  $Slope_i$  are listed in Table 5-4. According to the

FEA model, this design can generate  $\Delta_{free} = 0.0164cm$  and  $F_b = 0.219 mN$  when the applied field E = 1 MV/m, resulting in a distance measure U = 0.00185. It can be seen that in this design, the width first decreases and then increases in both the root half and the tip half.



Figure 5-15. (a) The performance space of the best design in Stage 1, the initial generation and pareto front of the 51st generation in Stage 2. (b) a zoomed plot of the designs in the 51st generation.



Figure 5-16. The trend of spreadchange value in the last 11 generations where the algorithm tends to converge.



Figure 5-17. Schematic of the best design generated from Stage 2, where the thicknesses are exaggerated compared to lengths.

Design performance at  $E = 40 \ MV/m$  is compared among the standard unimorph, the best design in Stage 1 and the best design in Stage 2, as shown in Table 5-5, where the FEA model is used to evaluate  $\Delta_{free}$  and  $F_b$ , and the improvements in percentage are based on the standard unimorph. To be consistent with Stage 1 and to include the geometric nonlinearity, the designs are evaluated at electric field  $E = 40 \ MV/m$ . Compared to the standard unimorph, the Stage 1 best

design exhibits a large improvement in blocked force (20.6%) for a small decrease in free deflection (8.7%). The Stage 2 best design exhibits further improvement in blocked force (29.9%) without sacrificing any free deflection compared to the Stage 1 best design. It should be emphasized that all three designs use the same amount of active and passive material. The simualted deformed shapes of these three designs in both free deflection and blocked force conditions are shown in Figure 5-18.

Table 5-4. The values of the design variables for the best design in Stage 2

Table 5-5. Performance comparison among the standard unimorph, the best design in stage one and the best design in Stage 2 based on the FEA model when E = 40 MV/m.

	$\Delta_{free}(\mathrm{cm})$	$F_b$ (mN)
Standard unimorph	4.84	48.5
Stage 1 best design	4.42 (8.7% ↑)	58.5 (20.6% ↑)
Stage 2 best design	4.42	63.0 (29.9% ↑)



Figure 5-18. The simulated deformed shapes of the standard unimorph and best designs in Stage 1 and in Stage 2 under free deflection and blocked conditions.

## **5.2.5 Discussion**

In this section, the proposed computationally efficient two-stage design optimization procedure is successfully applied to determine the best design for a soft gripper, which is actuated using the electrostrictive PVDF-based terpolymer. NSGA-II is adopted as the optimization algorithm for its capacity to deal with multi-objective optimization problems and to find the global optima with a good amount of design variables and large design space. In Stage 1, the computationally less expensive analytical models are developed based on Bernoulli-Euler beam theory and Castigliano's theorem to calculate  $\Delta_{free}$  and  $F_b$ . Segment lengths and thicknesses of the terpolymer and the substrate for each segment are defined as the design variables, with upper and lower bounds according to fabrication limitations. In Stage 2, 3-D FEA models are developed using the dimensions that are determined by the best design in Stage 1 to investigate effects of the shape of segment surfaces. The edge slopes in each segment are opmized to further improve the designs.

Compared to an optimization procedure using a full FEA-based optimization, this twostage design is more computationally efficient by employing 2-D analytical models in Stage 1 where assumptions are made to reduce the degrees of freedom, and thus reducing the parameter space in Stage 2 where FEA comes in. In the finger example, 14 design variables are investigated in Stage 1 to determine the dimensions of the segmented actuator. A relatively large population size Np = 200 is adopted in the optimization algorithm, and it undergoes 150 generations to converge. As a result, it takes  $200 \times 150 = 30,000$  runs to determine the best design in Stage 1, while the entire computation lasts only about 130 seconds. If Stage 1 were conducted using a full FEA-based optimization, considering that it takes 5 minutes to complete computation for one design, it would take  $30,000 \times 5 \text{ minutes} = 150,000 \text{ minutes} = 104 \text{ days}$  to complete the optimization. The analytical model-based optimization in Stage 1 is necessary to make the computation tractable. Although the modeling method applied in Stage 1 is computationally efficient, it does not account for 3-D behavior or nonuniform distribution of active materials. For that reason, Stage 2 utilizes 3-D FEA models since they can directly account for the electro-mechanical coupling effects, non-uniform spatial distribution of the active materials, 3-D behavior, and the influence of abrupt changes in geometry or material properties that lead to highly localized deformation. It is recommended that a relatively small number of design variables is favored in the FEA-based optimization in Stage 2.

The trade-off between model accuracy and computational efficiency is illustrated by comparing the analytical model used in Stage 1 and the FEA model used in Stage 2, as presented in Figure 5-19. Here, the computational efficiency is represented by the inverse of CPU time in seconds. For model accuracy, free deflection  $\Delta_{free}$  is used as the metric; the model accuracy is defined as the ratio of the analytical model results and the FEA results, and model accuracy is set to 1 for the FEA model, since the FEA models have been validated with experiments. We can see from Figure 5-19 that as the fidelity, namely, the degrees of freedom, of the model increases, the model accuracy also increases; however, the sacrifice in the computational efficiency is notable. This further demonstrates the effectiveness of the two-stage approach that by losing some fidelity in Stage 1, the computational efficiency is largely enhanced and the total computational cost of design optimization is reduced. This trend remains as the structures and the corresponding models become more complex.



Figure 5-19. The trade-off between model accuracy and computational efficiency is presented by comparing the analytical model and FEA model.

To effectively reduce computational cost, geometric complexity should be accounted for in the low-fidelity model as much as possible. For example, in the gripper design, if there exists a validated low-fidelity analytical model to calculate  $\Delta_{free}$  and  $F_b$  with a trapezoid surface geometry as described in Stage 2, then this analytical model should be used in the optimization process; in this case, Stage 2 is no longer needed. Similarly, if an analytical model is developed to account for nonuniform thicknesses of terpolymer and the substrate, for example, thicknesses of polynomial expressions, then a spline could be used to connect the five segments, which may provide higher resolution in the results and reveal more insights of the influences of the design variables.

There are several things that we can learn from the optimization results. The best design from Stage 1 exhibits a nearly tapered configuration, as shown in Figure 5-13. Thicker terpolymer and substrate layers are observed in the segments close to the root, while thinner layers appear in the segments close to the tip, which indicates that the segments close to the root exert greater influence on the blocked force and conversely the segments close to the tip play a more important role in enhancing free deflection. Moreover, the segments very close to either the root or the tip are notably longer than the segments in between, indicating that the tip and root regions dominate in the actuation performance and the transition between them is relatively short.

The best design from Stage 2 demonstrates that the actuation performance is further improved from Stage 1 by adjusting the shape of the segment surface. Without sacrificing any free deflection, the blocked force is further improved by 30% in Stage 2. Good convergence is observed in the last 11 generations.

#### 5.3 Design of an Origami-inspired Multifield "Coffee Table"

# **5.3.1 Introduction**

To demonstrate the applicability of the proposed two-stage design optimization procedure to multifield responsive structures, an origami-inspired "coffee table" configuration is optimized, where both electroactive terpolymer and the MAE are used to realize multifield actuation. This configuration has been applied in real life for furniture, as shown in Figure 5-19. In this section, this "coffee table" configuration is modified as shown in Figure 5-20 (c) and then used as a case study for demonstration of the two-stage optimization since achieving folds at different locations is an appropriate case for multifield actuation. The electrostrictive terpolymer and the MAE have been demonstrated as applicable actuation mechanisms for self-folding configurations in chapters 2 and 3, and are also used to achieve the target shape shown in Figure 5-20 (c). Here, the folds in the middle of the "coffee table" and the four corners are decomposed and actuated using the MAE and terpolymer respectively, with corresponding target shapes shown in Figure 5-20 (a) and (b).



Figure 5-20. A real origami-inspired coffee table [158].

The objectives in the design of the "coffee table" are to minimize the shape error between the simulation and the target shape, as defined in Section 4.3.1, and also to minimize the total volume of the active materials. The two-stage design optimization procedure is implemented, wherein Stage 1 a computational inexpensive rigid body dynamic model is used as the low-fidelity model to evaluate design performance because there are validated rigid body dynamic models to simulate the actuations of the MAE and terpolymer, and the best design is selected as the baseline design in Stage 2; while in Stage 2 FEA models are used to further improve the designs. A flowchart for the two-stage design optimization procedure where rigid body models are used in Stage 1 is described in Figure 4-2, and it is slightly simplified based on the "coffee table" design in this section. More details in the problem formulation and optimization results are presented in the following sections.

### 5.3.2 The Two-Stage Design Optimization Procedure

### 5.3.2.1 Stage 1

A specific flowchart of Stage 1 for the "coffee table" design is presented in Figure 5-21, in which the actions in each step, design variables, design objectives and optimizer are specified and are defined later in this section.



Figure 5-21. Schematics of the target shapes for the "coffee table" upon application of magnetic field (a), electric field (b)and both fields simultaneously(c).



STAGE 1

Figure 5-22. The flowchart of Stage 1 for the "coffee table" design.

To determine the crease pattern of the base structure, a software called "origami pattern designer" is used, which was developed by our collaborators Xi and Lien [18]. In the software, two types of creases, namely, mountain creases and valley creases, can be created based on the direction of fold, as depicted in Figure 5-22. According to the final deformed target shape of the "coffee table", the crease pattern is created on the initially flat base structure, as shown in Figure 5-23 (a), where the red creases represent mountain creases, the blue ones represent valley creases while the gray ones are auxiliary creases to facilitate folds in the software. Folding angles are assigned to each crease to a achieve the target shape shown in Figure 5-20 (c). Here, the folding angles at the four corners, on the two long mountain creases and on the middle valley crease are 90°, 45° and 90°, respectively, measured between the two adjacent panels connected by that creaase. The deformed shape in the software is shown in Figure 5-23 (b).



Figure 5-23. A crease can be folded as either a mountain fold (in red) or a valley fold (in blue) in the software "origami pattern designer" [18].

As stated in Chapter 4, the applicability of rigid body dynamic models to self-folding structures has been demonstrated. In this section, a rigid body dynamic model is developed in the Multibody Dynamics module of the commercial FEA software package COMSOL Multiphysics. A schematic of the rigid body dynamic model is depicted in Figure 5-25. The base structure is divided into eight subdomains, each of which is modeled as a rigid panel. Every pair of two adjacent panels are connected by a one-degree-of-freedom hinge joint, where rotation is allowed in only one

direction. Panel 3 in yellow is fixed in the reference spatial frame. To fold the four corners, torques representing the actuation of the terpolymer, denoted as  $T_E$  and referred to as "electric torques", are applied on the four corner creases. Torques representing the actuation of the MAE, denoted as  $T_M$  and referred to as "magnetic torques", are applied on the three blue panels where the MAE patches are attached.



Figure 5-24. (a) The crease pattern of the "coffee table" designed in the software "origami pattern designer", where the red creases represent mountain creases, while the blue ones represent valley creases. (b) The deformed shape with corresponding folding angles.



Figure 5-25. Schematic of the rigid body dynamic model of the "coffee table".

The selection of design variables is described here. As introduced in Section 4.3, the potential design variables are the external torques T that can be applied to the rigid panels in x, yand z directions, and the torsional spring constants K on the hinge joint. However, in this design problem, one objective is to minimize the total torque magnitude  $T_{tot}$  needed. According to Equation 4-3, to achieve a particular folding angle, the required torque is proportional to the torsional spring constant. Intuitively, to reduce  $T_{tot}$ , low spring constants K should be used. The torsional springs represent the bending stiffness of the crease material; so, a compliant material PDMS is assumed as the crease material to connect the three blue magnetic panels, and the corresponding spring constants K are determined, as shown in Table 5-6. Therefore, in Stage 1 of this design problem, the only design variables are the torques  $T_E$  and  $T_M$ . The next action is to apply the variable filter. As described in Section 4.3, to meet kinematic compatibility, the resultant torque on each panel should align with its rotation direction. Therefore, instead of torques applied in x, yand z directions, the magnetic torques are applied only in the y direction, and the resultant electric torques are applied along the corresponding crease directions. To reduce number of design variables, an assumption is made, based on the symmetry of the structure between the upper and lower halves, that the electric torques are the same on Creases 1 and 7, denoted as  $T_{E1}$ , and the same on Creases 2 and 8, denoted as  $T_{E2}$ . To summarize, there are five design variables in Stage 1, including three magnetic torques and two electric torques.

The dimensions and material properties are listed in Table 5-6. The unit panel length Lp is 1 cm. To determine the torsional spring constants K using the SLFP model introduced in Section 4.3, the width of the creases connecting magnetic panels (referred to as "magnetic creases" in the rest of the chapter)  $w_{cM}$  is selected as 0.2Lp (0.2cm), while the width of the four corner creases (referred to as "electric creases" in the rest of the chapter) is selected as 0.3Lp (0.3cm) to achieve sufficient folding angles. The positions of these creases are denoted in Figure 5-25.

parameter	value	parameter	value
Lp	1 cm	$K_{E,1}$	2.81× 10 <sup>-7</sup> Nm/°
$Y_{cE}$	0.02 GPa	$K_{E,2}$	$K_{E,1}$
Y <sub>ter</sub>	0.2 GPa	$K_{M,1}$	$8.33 \times 10^{-7} \text{ Nm/}^{\circ}$
$t_{cE}$	15 μm	<i>K</i> <sub><i>M</i>,2</sub>	$K_{M,1}$
$t_{ter}$	20 µm	<i>K</i> <sub><i>M</i>,3</sub>	$K_{M,1}$
W <sub>cE</sub>	0.3 Lp	T <sub>low</sub>	0
Y <sub>cM</sub>	0.5 MPa (PDMS)	$T_{upper}$	$\pi \cdot K$
$t_{cM}$	100 µm		
W <sub>cM</sub>	0.2 Lp		

Table 5-6. The dimensions, material properties and corresponding torsional spring constants in Stage 1.



Figure 5-26. The positions of the magnetic and electric creases.

In order to achieve target shape consisting of folding angles of 90° for terpolymer actuation at the four corners, the analytical models developed in Section 5.2 are used to determine appropriate dimensions and material properties in the electric creases. As a result, the elastic modulus of the substrate material  $Y_{cE}$  is selected as 0.02 GPa, and the thicknesses of the substrate and terpolymer are determined as 15  $\mu m$  and 20  $\mu m$ , respectively. Based on the material properties and dimensions discussed above, the effective torsional spring constants in the creases are calculated using Equation 4-2, and the values are listed in Table 5-6.

To avoid self-intersection of the panels, which leads to divergence of the FEA model, kinematic constraints are applied with the corresponding strategy expressed as in Equations 5-20:

$$0 < \theta_k < \theta_{k,0} + \Delta\theta \tag{5-20}$$

where  $\theta_k$  and  $\theta_{k,0}$  are the folding angles of the *k*th crease in simulation and in the target shape, respectively, and  $\Delta\theta$  determines how much  $\theta_k$  can possibly go beyond  $\theta_{k,0}$ . According to the  $\theta_k$ and geometry of the "coffee table",  $\Delta\theta = \pi/4$  is selected, and the specific inequalities for kinematic constraints are expressed in Equation 5-24, which is derived later in this section.

Besides  $T_{tot}$ , the other design objective is to minimize the shape error  $\psi$ , which is defined in Section 4.3 as the summation of point-to-point shape error over all nodes of interest. For the rigid body model, 20 nodes on the vertices and edges are selected in calculation of shape error, as shown in Figure 5-26.



Figure 5-27. Simulation result of a deformed rigid body model, where the dots represent the nodes used in shape error calculation.

Although the design of the "coffee table" is only a case study and may not be directly applied as a real product, a low shape error is a key factor for such a design to function properly. Therefore, in the optimization algorithm, a penalty function is used to penalize the designs exhibiting a shape error larger than a cut-off value, which is determined by the designer and denoted as  $\psi_{cut}$ . Note that the penalty term is assigned to the other design objective  $T_{tot}$  when  $\psi > \psi_{cut}$ , as expressed in Equation 5-21:

$$T_{tot} = T'_{tot} + w\Omega \tag{5-21}$$

where  $T_{tot}'$  is obtained from the rigid body model, *w* is the weight for the objective, and  $\Omega$  is the penalty term as shown in Equation 5-22:

$$\Omega = \lambda_n \left( \frac{\psi'}{\psi_{cut}} - 1 \right) \tag{5-22}$$

in which  $\psi_{cut}$  is the cut-off value determined by designer, and  $\lambda_n$  is a dual factor deciding when to apply the penalty term as expressed as follows:

$$\lambda_n = \begin{cases} 0 & if \ \frac{\psi'}{\psi_{cut}} - 1 \le 0\\ 1 & otherwise \end{cases}$$
(5 - 23)

The expected outcome by applying the penalty function is that the number of designs that exhibit  $\psi > \psi_{cut}$  will be largely reduced, so that the majority of the designs in a generation are "functional" designs exhibiting  $\psi \le \psi_{cut}$ . In this problem, the parameters are selected as w = 1 and  $\psi_{cut} = 5 \ cm^2$ .

Similar to Equation 4-4, the design optimization problem in Stage 1 is formulated in Equation 5-24:

Minimize:  

$$\psi = \sum_{j=1}^{N} \psi_j$$

$$T_{tot} = T_{tot}' + w\Omega$$
where  $T_{tot}' = 2T_{E1} + 2T_{E2} + T_{M1} + T_{M2} + T_{M3}$ 

$$(5 - 24)$$
Subject to:  

$$0 = T_h^l \le |\mathbf{T}_{h,i}| \le T_h^u$$

inematic  
onstraints  
$$\begin{cases}
0 < \frac{T_{E1}}{K_1} < \frac{3\pi}{4} \\
0 < \frac{T_{E2}}{K_2} < \frac{3\pi}{4} \\
0 < \frac{T_{M1} - T_{M2} + T_{M3}}{K_3} < \frac{\pi}{2} \\
0 < \frac{T_{M2} - T_{M3}}{K_4} < \frac{3\pi}{4} \\
0 < \frac{T_{M3}}{K_5} < \frac{\pi}{2} \\
i = \{1, ..., P\}, \text{ the index of panels.}
\end{cases}$$

ki cc

 $j = \{1, \dots, N\}$ , index of nodes.

 $k = \{1, \dots, C\}$ , index of creases.

where the lower bound of the torques  $T_h^l$  is 0, and the upper bound  $T_h^u$  equals  $\pi \cdot K$  to limit the folding angles below 180° and thus avoiding divergence in the rigid body model.

## 5.3.2.2 Stage 2

In Stage 2, the FEA models are developed using shell module of COMSOL Multiphysics and then optimized to further improve design performance from Stage 1. A flowchart illustrating the optimization procedure is presented in Figure 5-27, in which the actions in each step, design variables, design objectives and optimizer are specified.

The meshed FEA model is depicted in Figure 5-28 (a) where the entire geometry is included and the fixed edge boundary condition is shown. Based on our experience with FEA methods as noted in the previous chapters, FEA models are computationally costly. Therefore, several techniques and assumptions are applied to reasonably reduce computational cost as discussed next.



Figure 5-28. The flowchart of Stage 2 for the design of "coffee table".



Figure 5-29. The meshed FEA model for (a) the entire geometry and (b) the half geometry.

First, since the entire geometry is symmetric, only half of the geometry is used in the FEA model. Accordingly, the total volume of the active materials  $V_{tot}$  and the shape error  $\psi$  obtained from the half geometry model should be doubled for the entire geometry.

Second, in Stage 2, an assumption is made that the electric and magnetic actuations are independent, hence can be optimized in parallel. This assumption is justified based on two facts. First, the actuation locations of the MAE and terpolymer are separated in the "coffee table"; in other words, there is no panel on which both  $T_E$  and  $T_M$  are applied. Second, based on our knowledge on the MAE and terpolymer from chapters 2 and 3, although the magnitude of a magnetic torque varies with panel orientation, the actuation of terpolymer is not affected by change of orientation, and exerts little influence on the regions where no terpolymer is attached. Therefore, in this case simultaneous actuation can be approximated as two fields applied independently. Similarly, the total shape error can be approximated as sum of the shape errors of the two actuations. The modeling and optimization of the electric and magnetic auctions are discussed separately next.

The magnetic actuation is modeled using the half-geometry FEA model, as shown in Figure 5-29 (a) with the 10 nodes in the shape error calculation. The MAE patches are attached on the three panels as shown in Figure 5-24. To visualize the corresponding continuum material system, a front view of two circled MAE panels and the connecting magnetic crease is depicted in Figure 5-28 (b), where the substrate attached below the MAE patches are used to increase the panel stiffness so that folding will be localized in the creases.



Figure 5-30. (a)The half-geometry FEA model for magnetic actuation and (b) the front view of the magnetic panels and creases.

The FEA model in Stage 2 is based on the method described in Section 3.2.2. Here, the direction of the remanent magnetization M is not necessarily along with the normal vector n, as shown in Figure 5-30 (a). In the initial configuration, the angle between M and a horizontal reference line is denoted as  $\alpha$ , which is determined from the best design in Stage 1. According to Equation 3-9, the maximum magnetic torque T is achieved when M and the magnetic field H are perpendicular to each other. Therefore, when the optimal torque T is determined in Stage 1, M in the final deformed shape is prescribed to be perpendicular to field H so that the volume of the MAE is minimized. Note that the deformed shapes in Stage 1 and Stage 2 may be different but should be close to one another.



Figure 5-31. Schematics to illustrate (a) the directions of remanent magnetization M and normal vector n in the initial configuration and (b) the deformed magnetic panel.

Although the orientation of the MAE panel changes, the angle from n to M remains unchanged as  $-(\alpha + \pi/2)$  as shown in Figure 5-30, where the negative sign indicates the clockwise direction from n to M. Similar to Equation 3-16, the rotated M is:

$$\boldsymbol{M} = \boldsymbol{R}\boldsymbol{n}\boldsymbol{M} \tag{5-25}$$

where R denotes the rotation matrix from n to M, expressed as follows:

$$\boldsymbol{R} = \begin{bmatrix} \cos\left(-\alpha - \frac{\pi}{2}\right) & 0 & -\sin\left(-\alpha - \frac{\pi}{2}\right) \\ 0 & 1 & 0 \\ \sin\left(-\alpha - \frac{\pi}{2}\right) & 0 & \cos\left(-\alpha - \frac{\pi}{2}\right) \end{bmatrix} = \begin{bmatrix} -\sin\left(\alpha\right) & 0 & \cos\left(\alpha\right) \\ 0 & 1 & 0 \\ -\cos\left(\alpha\right) & 0 & -\sin\left(\alpha\right) \end{bmatrix} (5 - 26)$$

The magnetic torques for a unit volume of MAE are expressed as in Equation 5-27:

$$T = M \times \mu_0 H = MR(n + a) \times \mu_0 H$$
  
=  $M \left( \begin{bmatrix} -\sin(\alpha) & 0 & \cos(\alpha) \\ 0 & 1 & 0 \\ -\cos(\alpha) & 0 & -\sin(\alpha) \end{bmatrix} \begin{bmatrix} n_{x0} + a_x \\ n_{y0} + a_y \\ n_{z0} + a_z \end{bmatrix} \right) \times \mu_0 H_0 \left( \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \right)$  (5 - 27)  
=  $\mu_0 H_0 M \begin{bmatrix} n_{y0} + a_y \\ (n_{x0} + a_x) \sin(\alpha) - (n_{z0} + a_z) \cos(\alpha) \end{bmatrix}$ 

We can see from Equation 5-27 that the magnitudes of the magnetic torques are changing with orientation, which makes the FEA problem highly nonlinear and thus difficult to converge. In order to save computational cost, a two-step method is applied to facilitate the convergence of the FEA model, wherein Step (a), prescribed rotations are assigned to the magnetic panels with rotation angles the same as the deformed shape of the best design in Stage 1, and in Step (b), starting from the deformed shape, the prescribed rotations are released, and then the magnetic torques expressed in Equation 5-27 are applied on the magnetic panels to achieve the static equilibrium. An example is shown in Figure 5-31, in which the deformed shapes at both steps are depicted. The two-step method reduces computational cost only when the final deformed shapes in both steps are close to each other, which is the case for the magnetic actuation. In this way, information from Stage 1 is well utilized in Stage 2.



Figure 5-32. An example to illustrate the deformed shapes when the two-step method is applied in FEA.

In order to implement the FEA model in Stage 2, the rigid body dynamic model used in Stage 1 needs to be first converted to continuum material model. The outcomes of Stage 1 are the optimal torques  $T_{M,i}^1$ . In Stage 2, the MAE is assumed to uniformly cover the entire panel with surface area  $A_i$ , and the total volume of MAE is  $V_{MAE,i} = A_i t_{MAE,i}$ . To generate  $T_{M,i}^1$ , the following expression needs to be satisfied:

$$T_{M,i}^1 = M V_{MAE,i} H = M A_i H t_{MAE,i}$$

$$(5-28)$$

and the corresponding MAE thickness is:

$$t_{MAE,i}^{1} = \frac{T_{M,i}^{1}}{MA_{i}H}$$
(5 - 29)

which is the best design in Stage 1 and baseline design in Stage 2. As discussed in Section 4.3.2, the design domains of the MAE thicknesses  $t_{MAE,i}$  are adjusted to achieve better performance than the best design in Stage 1.

Besides  $t_{MAE}$ , the crease widths  $w_{cM}$  can also affect design performance. In the rigid body model, a crease is modeled as a hinge joint with a torsional spring, where the spring constant is determined using the SLFP model, as shown in Equation 4-2. In fact, the approximation quality of this model is related to the width of the crease, so that  $w_{cM}$  is considered as a design variable to investigate its influence. To reduce the number of design variables, the three magnetic creases are assumed to share the same width. In addition, the torsional spring constants from Stage 1 are  $K_{k_1}$ fixed so that investigation is exclusively conducted on  $t_{MAE,i}$  and  $w_{cM}$ .

To summarize, the design Stage 2 for the magnetic actuation is formulated in Equation 5-30:

Minimize:

$$\varepsilon_h = \sum_{j=1}^{10} \varepsilon_{h,j}$$
$$V_{tot} = \sum_{j=1}^{3} A_i t_{MAE,i}$$
(5 - 30)

Subject to:

$$var^{1}(1 - \alpha^{l}\%) \le \{t_{MAE,i}, w_{cM}\} \le var^{1}(1 + \alpha^{u}\%)$$

$$\frac{Y_{cM,k}l_{cM,k}t_{cM,k}}{12w_{cM,k}} - K_{k_1} = 0$$
  
 $i = \{1,2,3\}$ , the index of panels.  
 $j = \{1, \dots, 10\}$ , index of nodes.

,3

where  $var^1$  denotes the best values of the design variables in Stage 1, and  $\alpha^l$  and  $\alpha^u$  are the adjustable coefficients to determine lower and upper bounds of the design domains in Stage 2.

For the electric actuation, terpolymer strips are assumed to be attached to the substrate to achieve folding. Since the target folding angles at the four corners are all equal to 90°, the assumption is made that the dimensions and material properties are all the same at the four corners. Therefore, to reduce computational cost, only one corner is modeled using FEA method in Stage 2. The meshed geometry and the fixed edge boundary condition are presented in Figure 5-32 (b), as well as the three nodes used in the shape error calculation.



Figure 5-33. Meshed FEA model for terpolymer actuation and the nodes to calculate shape error  $\psi$ .

According to our previous experience with terpolymer-based actuation as discussed in Chapter 2, the aspect ratio of the terpolymer strip, namely, the ratio of the length along the folding direction and the width, is the key to achieve folding successfully. In this design problem, the aspect ratio of the terpolymer on an electric crease is designed as 3, as shown in Figure 5-33 (a). A front view of the corresponding continuum material model is shown in Figure 5-33 (b).



Figure 5-34. Schematics of (a) top view and (b) front view of the electric crease shown as the dashed part in the model for terpolymer actuation.

Similar to the magnetic actuation, the rigid body model in Stage 1 needs to be converted to a continuum model first to implement FEA method. Again, the outcomes of Stage 1 are optimal torques  $T_{E,k}^1$  on the creases at the four corners. Since in Stage 2, the four corners share the same parameter variables, the  $T_{E,k}^1$  that results in a deformed shape closer to the target shape will be adopted here, denoted as  $T_E^1$ . According to Equation 5-8, the electric torque is equivalently expressed in terms of the bending moment for a unimorph, as shown in Equation 5-31:

$$T_E = M_{eq} = YI\kappa \tag{5-31}$$

where YI is the bending stiffness of the unimorph and  $\kappa$  is the bending curvature, which can be obtained by solving Equation 5-6 and 5-7 jointly. From these equations, we can see that  $T_E$  is nonlinearly coupled with material thicknesses  $t_{ter}$  and  $t_{cE}$ , and properties  $Y_{cE}$  and  $Y_{ter}$ . The purpose of the material conversion is to solve for these parameters that lead to  $T_E^1$ . So far,  $T_E^1$  and  $Y_{ter}$  are known quantities, and there are three equations for four unknown variables, namely,  $t_{ter,k}$ ,  $t_{cE}$ ,  $Y_{cE}$  and  $\kappa$ . To make this problem solvable,  $t_{cE}$  is assumed unchanged as 15 um, and the remaining three variables are solved as the optimal values in Stage 1, which will also be the baseline design in Stage 2.

To summarize, the design Stage 2 for the terpolymer actuation is formulated in Equation 5-32:

Minimize: 
$$\psi = \sum_{j=1}^{3} \psi_j$$

$$V_{tot} = 4w_{cE}w_{ter}t_{ter} \tag{5-32}$$

Subject to:

*lower bounds* 
$$\leq$$
 { $t_{ter}$ ,  $w_{cE}$ ,  $Y_{cE}$ ,  $t_{cE}$  }  $\leq$  *upper bounds*  
 $j =$  {1,2,3}, index of nodes.

### 5.3.3 Results

#### 5.3.3.1 Results of Stage 1

In Stage 1, the rigid body dynamic model is used to evaluate design performance, and NSGA-II is adopted as the optimizer. In Stage 1, the population size is selected as 125 accounting for the five design variables which are two electric torques  $T_E$  and three magnetic torques  $T_M$ . The lower and upper bounds of the variables and the kinematic constraints are described in Section 5.3.2.1. The algorithm parameters are the same as noted in Section 5.2.3.2. It takes about 30 seconds for one rigid body simulation to converge, and the optimization algorithm converged upon completion of the 23rd generation with a total computing time of 24 hours.

The performance space of the converged 23rd generation is shown in Figure 5-34, in which each blue dot represents a feasible design and its position shows the objective values. The horizontal axis is the total magnitude of the torques  $T_{tot}$ , while the vertical axis shows the shape error  $\psi$  in  $cm^2$ . From Figure 5-34, we can clearly see the trade-off between  $T_{tot}$  and  $\psi$ . In general, the designs with low  $T_{tot}$  exhibit relatively high  $\psi$ , indicating that the design is under actuated and deformed shape is far from the target shape, while the designs with high  $T_{tot}$  exhibit relatively low  $\psi$ , implying that desired deformed shapes are achieved with corresponding torques applied. In addition, all the designs exhibit shape error  $\psi \leq \psi_{cut} = 5cm^2$ , which demonstrates the effect of the penalty function described in Section 5.3.2.1.

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Figure 5-35. The performance space of the 23rd generation with the best design circled.

As desbribed in Chapter 4, a single best desgin is selected from Stage 1 and will be used as the baseline design in Stage 2. The selection metric in Stage 1 is discussed here. The wide spread of the Pareto front provides a large pool of the optimal designs on the Pareto front, where no design is dominated by other designs. As stated in Section 5.3.2.1, a low shape error is the key factor for this "coffee table" to function properly. Therefore, the design with minimum shape error is selected as the best desgin in Stage 1, with  $T_{tot} = 5.53 \times 10^{-6}$   $N \cdot m$  and  $\psi = 0.365$  cm<sup>2</sup>. The corresponding torques are listed in Table 5-7.

The simulated deformed shape of Stage 1 best design is presented in Figure 5-35 (a), which is close to the target shape depicted in Figure 5-20 (c). To quantatitively evaluate the design, the deformed angles are measured between the rotated panels and the horizontal axis, as shown in Figure 5-35 (b) and (c), and the angle values listed in Table 5-7. The angles of the three magnetic panels are 44.07°, 36.03° and 2.745°, while the corresponding values in the target shape are 45°, 45° and 0°, which means that larger magnetic torques are needed to achieve even closer deformed shape to the target shape. In addition, these rotation angles are used in Step (a) of the two-step

method used in the FEA model in Stage 2, as introduced in Section 5.3.3.2. In Stage 2, the angles between the unit normal vector  $\mathbf{n}$  and magnetization  $\mathbf{M}$ , i.e.,  $\alpha_i$ , are used to calculate the magnetic torques, and the values are listed in Table 5-7. The angles for the electric panels are 75.2° and 72.7°, while the target values are both 90°, implying that larger electric torques are needed. Since in Stage 2, we do not differentiate the four corners; so,  $T_{E,1}$  showing better design performance is adopted in Stage 2.

# 5.3.3.2 Results of Stage 2

As introduced in Section 5.3.2.2, in Stage 2 of the "coffee table" design, the magnetic and electric actuations are optimized independently. In this section, the results of the two optimizations are presented separately as well.



Figure 5-36. (a) The simulated deformed shape of the best design in Stage 1, with the angels measured between the horizontal line and the magnetic panels in (b) and the electric angles in (c).

torque	value $(10^{-7}N \cdot m)$	angle	value (°)
$T_{My,1}$	1.798	$eta_1$	44.07
$T_{My,2}$	1.728	$\beta_2$	36.03
$T_{My,3}$	5.648	$\beta_3$	2.745
$T_{E,1}$	3.635	$eta_4$	75.2
$T_{E,2}$	3.559	$\beta_5$	72.7
		$\alpha_1$	135.9
		$\alpha_2$	36.03
		$\alpha_3$	177.3

Table 5-7. The torques and deformed angles of the best design in Stage 1.

As described in Chapter 4 and previous sections in this chapter, the design domain in Stage 2 is determined based on both the parameter values and design performance of the best design in Stage 1. To obtain the parameter values, the material conversions are conducted to transform the torques in Stage 1 to material dimensions and properties in Stage 2, and the processes are described in Section 5.3.2.2. For magnetic actuation to achieve  $T_{M,i}^1$  listed in Table 5-8, the thicknesses of MAE  $t_{MAE,i}^1$  are calculated using Equation 5-29, where a magnetic field H = 0.8 Tesla is used according to lab capabilities. The results of Stage 1 indicate that larger magnetic torques are needed to achieve deformed shape closer to the target shape. Hence, the limits for  $t_{MAE,i}$  are determined in such a way that the values in Stage 1  $t_{MAE,i}^1$  are the lower bounds in Stage 2, and the coefficient  $\alpha^u$  in Equation 4-8 is selected as 20, leading to an upper bound of  $t_{MAE,i}^1 \times 120\%$ . For the other design variable, namely, the crease width  $w_{cM}$ , the coefficients are determined as  $\alpha^l = \alpha^u = 10$ . To summarize, the design domains for  $t_{MAE,i}$  and  $w_{cM}$  are expressed in Equation 5-33, with values listed in Table 5-8.

$$t_{MAE,i}^{1} \le t_{MAE,i} \le t_{MAE,i}^{1} \times 120\%$$
 (5 - 33)  
 $w_{cM}^{1} \times 90\% \le w_{cM} \le w_{cM}^{1} \times 110\%$
variable	value or range
$t_{MAE,1}^1$	0.252 μm
$t_{MAE,2}^1$	0.242 μm
$t_{MAE,3}^1$	0.106 µm
t <sub>MAE,1</sub>	$[0.252 \ \mu m, 0.302 \ \mu m]$
t <sub>MAE,1</sub>	[0.242 μm, 0.290 μm]
t <sub>MAE,1</sub>	[0.106 µm, 0.127 µm]
W <sub>cM</sub>	[0.18 cm, 0.22 cm]

In Stage 2, each FEA model for magnetic actuation takes 13-37 minutes to converge, which

Table 5-8. Values of the MAE thicknesses in the best design in Stage 1 and the ranges of the design variables in Stage 2.

depends on how many iterations of computing are implemented before convergence. In order to complete an entire generation within the walltime of the ACI terminal of Pennsylvania State University, which is 48 hours, the population size is selected as 70, accounting for the four design variables. Since Stage 2 is used to fine-tune the best design in Stage 1, the convergence criterion in Stage 2 is not as strict as in Stage 1. The stopping criterion is defined as when the average spread change evaluted for the latest 5 generations is smaller than the function tolerance of  $2 \times 10^{-3}$ . The Pareto fraction is set as 0.5, the elite fraction is 0.05, the crossover fraction is 0.8, and the mutation option is "Mutationadaptfeasible", in which the mutation direction and step length are calculated based on the variable bounds and linear constraints. The optimization algorithm converged upon completion of the 17th generation, with a total computing time of 21 days, and the corresponding performance space is presented in Figure 5-36.

As introduced in previous sections, in this "coffee table" design, minimization of shape error  $\psi$  plays a more important role than material volume  $V_{tot}$  to achieve successful application. Hence, a distance measure is used to determine the best design in Stage 2, as expressed in Equation 5-34,

$$U = \sqrt{[0.2(\frac{V_{MAE}(x)}{V_{MAE}^{0}} - 1)]^{2} + [0.8(\frac{\psi(x)}{\psi^{0}} - 1)]^{2}}$$
(5 - 34)

where a higher weight is assigned to  $\psi$  as  $c_{\psi} = 0.8$ , while the weight for material volume is  $c_v = 1 - c_{\psi} = 0.2$ .  $V_{tot}^{0}$  and  $\psi^{0}$  are the best values appearing in the 1st generation, with values  $V_{MAE}^{0} = 1.16 \times 10^{-4} \ cm^{3}$  and  $\psi^{0} = 0.329 \ cm^{2}$ . The design that exhibits the minimum distance measure is circled in Figure 5-36, and the deformed shape is presented in Figure 5-37. Its design parameters listed in Table 5-9, and objective and distance measures U are listed and compared with the baseline design, in which the parameters are the same as the best design in Stage 1, as shown in Table 5-10. Compared to the baseline design, the best design in Stage 2 reduces  $\psi$  by 67.1% with an increase in  $V_{ter}$  by 15.7%, thus showing a much better overall performance especially when  $\psi$  is much more valued.



Figure 5-37. The performance space of the 2nd generation.



Figure 5-38. The deformed shape of (a) a half-geometry model and (b) a full-geometry model of the best design in Stage 2 of magnetic actuation.

Table 5-9. The parameters of the best design in Stage 2 of magnetic actuation.

	$t_{MAE,1}$	$t_{MAE,2}$	$t_{MAE,3}$	W <sub>cM</sub>
values	0.297 µm	0.283 μm	$0.115\mu m$	0.202 cm

 Table 5-10. A comparison of the objectives and distance measure between the best, baseline and two other designs in Stage 2 of electric actuation.

	$V_{MAE}$	$\psi$	<i>U</i> (weights of 0.2, 0.8)
Best design in Stage 2	$1.33 \times 10^{-4} \ cm^3$	$0.329 \ cm^2$	0.0299
Design 1	$1.31 \times 10^{-4} \ cm^3$	$0.349 \ cm^2$	0.0553
Design 2	$1.26 \times 10^{-4} \ cm^3$	$0.433 \ cm^2$	0.164
The baseline design	$1.15 \times 10^{-4} \ cm^3$	$1.00 \ cm^2$	1.64

Note that as shown in Figure 5-36, all the designs in Stage 2 have higher MAE volumes than the baseline design since the design space of Stage 2 is determined in such a way that the MAE thicknesses used in the best design of Stage 1 are the lower bounds in Stage 2, which is because the shape error  $\phi$  is assigned a much higher weight than the MAE material volume  $V_{MAE}$  in the calculation of the distance measure. Therefore, by using more  $V_{MAE}$  which leads to a reduced  $\phi$ , a better overall design performance is achieved.

The sensitivity of the distance measure to the weight is investigated here. The current best design is determined based on the weights of 0.2 for  $V_{MAE}$  and 0.8 for  $\psi$ . If in other applications where  $V_{MAE}$  and  $\psi$  are equally important in the design and the corresponding weights of  $V_{MAE}$  and  $\psi$  are both 0.5, the best design shifts to Design 1, with design performance shown in Figure 5-36

and listed in Table 5-10. We can see from Table 5-10 that since  $V_{MAE}$  is more valued in the latter case, the overall best design decided by the updated weights, namely, Design 1, exhibits a lower  $V_{MAE}$  and accordingly a higher  $\psi$ . Furthermore, if the designer values  $V_{MAE}$  more than  $\psi$ , and the corresponding weights of  $V_{MAE}$  and  $\psi$  are 0.8 and 0.2, respectively, the best design shifts to Design 2. We can see from Table 5-10 that there is a further reduction in  $V_{MAE}$  and increase in  $\psi$  in Design 2 from Design 1. This investigation indicates that the distance measure and choice of the best design are closely related to the weights of the design objectives, which should be carefully decided by the designer.

For the electric actuation, as described in Section 5.3.2.2, the electric torque  $T_E$  is nonlinearly related to the material properties and dimensions. To determine the design parameters in the best design in Stage 1, the process described in Section 5.3.3.2 is used, where  $T_E = T_{E,1}$ , and the corresponding parameter values are presented in Table 5-9. To investigate the influence of these parameters, the design domains are selected as follows:

$$t_{ter}^{1} \times 90\% \leq t_{ter} \leq t_{MAE,i}^{1} \times 110\%$$

$$t_{cE}^{1} \times 90\% \leq t_{cE} \leq t_{cE}^{1} \times 110\%$$

$$w_{cE}^{1} \times 90\% \leq w_{cE} \leq w_{cE}^{1} \times 110\%$$

$$0.5Y_{cE}^{1} \leq Y_{cE} \leq 2Y_{cE}^{1}$$

The design domains of the variables are listed in Table 5-11.

Each FEA model for electric actuation takes 12 minutes to converge. The population size is selected as 90 accounting for the four design variables. The optimization algorithm parameters and the stopping criterion are the same as in Stage 2 magnetic actuation. The optimization algorithm converged upon completion of the 13th generation, with a total computing time of 182 hours (7.6 days).

variable	value or range
$t_{ter}^1$	24.4 μm
$t_{cE}^1$	15 μm
$W_{cE}^1$	0.3 cm
$Y_{cE}^1$	0.042 GPa
$t_{ter}$	[22.0 µm, 26.8 µm]
$t_{cE}$	[13.5 µm, 16.5 µm]
W <sub>cM</sub>	[0.27 cm, 0.33 cm]
Y <sub>cE</sub>	[0.021 <i>GPa</i> , 0.084 µm]

Table 5-11. Values of the MAE thicknesses in the best design in Stage 1 and the ranges of the design

The performance space of the 13th generation is presented in Figure 5-38, where each red dot represents a feasible design in the 13th generation, and the blue triangle represents the best design in Stage 1 which is also the baseline design in Stage 2. The horizontal axis is the terpolymer volume in  $cm^3$ , and the vertical axis is the shape error  $\psi$  in  $cm^2$ . From Figure 5-38, we can see that the baseline design is behind the Pareto front, indicating that designs with better performance have been achieved through optimization in Stage 2. Similar to the magnetic actuation, the metric to determine the best design of the electric actuation is the distance measure expressed as Equation 5-34 is used, except that  $V_{MAE}$  and  $V_{MAE}^0$  are replaced by  $V_{ter}$  and  $V_{ter}^0$ , respectively. Here,  $V_{ter}^0 = 1.61 \times 10^{-4} cm^3$  and  $\psi^0 = 0.335 cm^2$ . The design that exhibits the minimum distance measure is circled in Figure 5-38, and the deformed shape is presented in Figure 5-39. Its design parameters are listed in Table 5-12, and the objective and distance measures U are listed and compared with the baseline design in Table 5-13. Compared to the baseline design, the best design in Stage 2 reduces  $\psi$  by 19.4% with an increase in  $V_{ter}$  by 5.9%, thus showing a better overall performance especially when  $\psi$  is much more valued.



Figure 5-39. The performance space of the 13th generation of terpolymer actuation in Stage 2, with the baseline design shown and best design circled.



Figure 5-40. The deformed shape of (a) a corner model and (b) a full-geometry model of the best design in Stage 2 of electric actuation.

Table 5-12. The parameters of the best design in Stage 2 of electric actuation.

	t <sub>ter</sub>	$t_{cE}$	W <sub>cE</sub>	$Y_{cE}$
values	22.1 µm	13.6 µm	0.325 cm	0.0386 GPa

Table 5-13. A comparison of the objectives and distance measure between the best and baseline designs inStage 2 of electric actuation.

	V <sub>ter</sub>	$\psi$	U
Best design in Stage 2	$2.33 \times 10^{-4} \ cm^3$	$0.344 \ cm^2$	0.091
The baseline design	$2.20 \times 10^{-4} \ cm^3$	$0.427 \ cm^2$	0.231

### 5.3.4 Discussion

In this section, the proposed two-stage design optimization procedure is successfully applied to determine the best design for an origami-inspired multifield "coffee table" configuration, which is actuated using an EAP, namely, the PVDF-based terpolymer, and the MAE. The design optimization problems are formulated, where the design objectives are to simultaneous minimize active material volume  $V_{tot}$  and shape error  $\psi$ . NSGA-II is adopted as the optimization algorithm for its capacity to deal with multi-objective optimization problems and to find the global optima with a good amount of design variables and large design space. In Stage 1, a low-fidelity rigid body dynamic model is used to optimize the electric and magnetic torques, while in Stage 2 high-fidelity FEA models are used to further improve design performance by optimizing the material properties and dimensions.

The computational efficiency of the two-stage optimization procedure is demonstrated as follows. First, in Stage 1, the low-fidelity rigid body dynamic body is used to optimize the external torques and their directions, and the total computing time is 24 hours. If a corresponding FEA model is used to optimize the torques, based on our knowledge in Chapter 3 and Chapter 5, each design would take about 30 minutes to converge, and the total computing time would be 60 days when the same stopping criteria were adopted, which is computationally intractable. Second, the outcomes of Stage 1, including the optimal torques and the corresponding deformed shapes, form the baseline of Stage 2, based on which the design domains of the variables are efficiently adjusted. For example, in the optimization of magnetic actuation, the best design in Stage 1 shows an under-actuated deformed shape; therefore, the optimal MAE thicknesses obtained from Stage 1 becomes the lower bound in Stage 2 since we know larger magnetic torques are desired. If there were no such Stage 1 and a full FEA-based optimization were implemented, the number of design variables would increase, and the design domains of the variables would largely expand, making the

optimization computationally intractable. As a result, satisfactory "coffee table" designs are achieved through the two-stage optimization procedure with a total computing time of about 9 days, which could be otherwise months.

There are other techniques applied to reduce computational cost. According to the symmetry of the structure, the number of design variables is reduced in both Stage 1 and Stage 2. Based on the feature of the "coffee table" that the electric and magnetic actuations are applied at different locations and hardly affect each other, they are assumed to be independent and the optimizations for the two actuations are conducted independently. This simplification remarkably reduces the computational cost because the two optimizations can be conducted in parallel, and the computing time of an FEA model of single field actuation is much less than a multifield model. For example, each FEA model of electric/magnetic actuation takes 12/29 minutes to converge; however, an FEA model accounting for both electric and magnetic actuations simultaneously takes at least 90 minutes to converge. Besides, the number of design variables in a multifield model is the summation of the number of variables in the two single-field models, which will unfavorably further increase the computational cost of the optimization.

To reduce the computing time, a two-step method is used in the FEA model of magnetic actuation as introduced in Section 5.3.2.2, wherein Step (a) the magnetic panels are prescribed to rotate to match the deformation of the best design in Stage 1, then in Step (b) the prescribed rotations are released and the structure reaches a new equilibrium deformation solely depending on the magnetic torques. One concern when using the two-step method is that the nonlinearity of the FEA model may lead to multi-stability. The FEA model is not only geometrically nonlinear but also contains magnetic torques of varying magnitudes with panel orientations. However, based on our experience and knowledge of the MAE, with a constant vertical magnetic field and the corresponding initial orientations of the remanent magnetization described in Section 5.3.3, the initial directions of rotations of the three magnetic panels are exclusively determined, and the

torques will increase until a new equilibrium state is achieved. Therefore, the applicability of the two-step method is validated. Typically, for a converged FEA model, it takes about 20 minutes to converge when the two-step method is used, and about 40 minutes when the simulation starts with a flat initial configuration.

During the optimization in Stage 2 of the magnetic actuation, we found that the convergence of the FEA model is sensitive to the ratio of the magnetic torques. When any one of the torques increases more than 10% while the other two remains unchanged, the structure will deform far from what we expected and lead to divergence of the model. Note that the diverged designs always exhibit high shape error. To overcome the divergence issue, the maximum iteration number allowed in Step (b) is selected as 400, within which the designs with proper parameters will definitely converge.

The determination of the design space of Stage 2 is discussed here. In general, the goal of Stage 2 is to fine-tune the best design in Stage 1, and the computational cost of Stage 2 is usually much higher than Stage 1, so, a narrower design space is adopted in Stage 2 which is determined based on the parameters of the best design in Stage 1, and is expressed in Equation 4-8:

$$var^{1}(1 - \alpha^{l}\%) \le var^{2} \le var^{1}(1 + \alpha^{u}\%)$$
 (4 - 8)

where the upper and lower bounds are determined using coefficients  $\alpha^u$  and  $\alpha^l$ . In a particular design, the values of  $\alpha^u$  and  $\alpha^l$  are selected by the designer to further improve the design performance while remaining in a reasonable range. For example, in the design of the "coffee table",  $\alpha^u$  and  $\alpha^l$  are determined as 10% for  $w_{cM}$ ,  $t_{ter}$ ,  $t_{cE}$  and  $w_{cE}$ , and 100% and 50%, respectively, for  $Y_{cE}$ , which are considered as reasonable ranges by the designer. From the performance space of Stage 1, as shown in Figure 5-34, we know that higher magnetic torques are needed to achieve deformed shapes closer to the target shape, therefore,  $\alpha^u$  and  $\alpha^l$  are determined as 20% and 0%, respectively, for  $t_{MAE}$  to ensure higher magnetic torques in Stage 2.

Similar to what is discussed in Section 5.2.5, the trade-off between model accuracy and computational efficiency is illustrated by comparing the rigid body model used in Stage 1 and the FEA model used in Stage 2, as presented in Figure 5-41. For model accuracy, shape error  $\psi$  is used as the metric; the model accuracy is defined as the ratio of the rigid body model results and the FEA results, and model accuracy is set to 1 for the FEA model. We can see from Figure 5-41 that as the fidelity of the model increases, the model accuracy also increases with a notable sacrifice in the computational efficiency. This trend remains as the structures and the corresponding models become more complex.



Figure 5-41. The trade-off between model accuracy and computational efficiency is presented by comparing the rigid body model and FEA model.

There are several things that we can learn from the optimization results. First, with a fixed torsional spring constant value, wider creases are favorable for the electric actuations, while crease width exerts no notable influence for the magnetic actuation. The optimal values in Stage 2 for electric actuation is  $w_{cE} = 0.325 \ cm$ , which is larger than the values used in Stage 1 and are actaully close to the upper bounds. This is because a large folding angle will be achieved with same bending curvature. However, in the magnitic actuation, the designs with low distance measures U exhibit

crease width either higher or lower than the value used in Stage 1, which indicates that the SLFP model is a good approxiamtion for the magnetic actuation. Second, in the electric actuation, thinner terpolymer and substrate are favorable to achieve a higher bending curvature. The optimal values in Stage 2 are  $t_{ter} = 22.1 \ \mu m$  and  $t_{cE} = 13.6 \ \mu m$ , which are less than the optimal values in Stage 1 with  $t_{ter} = 24.4 \ \mu m$  and  $t_{cE} = 15 \ \mu m$ .

The high fidelity of the FEA models in Stage 2 contributes to the improvement in the design objectives. In the rigid body dynamic model in Stage 1, all the panels are assumed to be rigid, and a hinge joint with a torsional spring is used to connect any pair of adjacent panels; while in the FE models in Stage 2, all the panels and creases are modeled as continuous linear elastic materials, thus increasing the model fidelity. For the electric actuation, instead of applying a torque  $T_E$  as the load, the material properties and dimensions of both terpolymer and substrate are defined as the design variables in Stage 2, which drives the improvement from Stage 1 to Stage 2.

Manufacturability needs to be considered before we fabricate the product or a prototype using the optimized design parameters. For example, as listed in Table 5-9, the optimized thicknesses of the MAE patches are less than  $0.3 \,\mu m$ , which is far below a manufacturable value. Note that the magnetic torque generated by an MAE patch is related to its volume. Therefore, for a better manufacturability, instead of covering the entire panel, and the surface areas of the MAE decrease until the thicknesses are manufacturable while the volumes remain fixed. The MAE patches with recuded surface areas are then placed in the center of each panel, achieving the same actuation performance with original dimentions. For the electric actuation, the optimizal values of the substrate are  $t_{cE} = 13.6 \,\mu m$  and  $Y_{cE} = 0.0386 \,GPa$ . A feasible material needs to be found to meet these requirements. According to [159], Ethylene vinyl acetate (EVA), which is the copolymer of ethylene and vinyl acetate, exhbits a similar elastic mudulus ranging from 0.011 *GPa* to 0.7 *GPa* depending on the fabrication conditions so that can be used as the substrate.

Several improvements could be make for better performance of the two-stage design optimization procudure. First, in both stages 1 and 2, the optimizations are conducted in series, which largely wastes computing time. An solution to this issue is to run optimization algorithms in parallel, in which case multiple designs in the same generation are evaluted simultaneously. Second, interaction between Stage 1 and Stage 2 could be developed to effectively reduce computational cost with a likely reduced number of FEA evaluations, and also achieve designs with better performance, which is missing in this dissertation. There are always differences between the low- and high-fidelity models in terms of the deformed shapes with the same design parameters. Although the low-fidelity models used in this design prodecure are physics-based and validated with experiments, the discrepancy between low- and high-fidelity models may result in local optima. A potential approach to develop such an interaction is that correction factors could be applied to the low-fidelity models based on the comparison of the objectives generated from the low- and high-fidelity models. Techniques, such as machine learning, could also faciliate such an interaction.

## 5.4 Summary

To demonstrate the applicability and computational efficiency of the proposed two-stage optimization procedure, two case studies were investigated in this chapter, namely, a three-finger soft gripper actuated using terpolymer, and an origami-inspired multifield responsive "coffee table" configuration actuated using the terpolymer and the MAE. NSGA-II was adopted as the optimization algorithm for its capacity to deal with multi-objective optimization problems and to find the global optima with a good amount of design variables and large design space. In Stage 1, low-fidelity analytical models and rigid body dynamic models were implemented within an optimization of the topology of the structure, including the placement of the materials, the connectivity between sections and the amount and orientation of external loads. Distance measures and minimum shape error were applied as metrics to determine the best design in Stage 1, which then served as the baseline design in Stage 2. In Stage 2, the high-fidelity FEA models were used within an optimization to fine-tune the baseline design. As a result, designs with better performance than the baseline design were achieved at the end of Stage 2 with computing times of 15 days for the gripper and 21 days for the "coffee table", which would be over 3 months and 2 mothers for full FEA-based optimizations, respectively.

## **Chapter 6**

## **Conclusions and Future Work**

#### **6.1 Summary and Conclusions**

This dissertation focused on developing predictive models and optimizing the folding performance of origami-inspired multifield responsive structures.

In Chapter 1, the origami-inspired folding and assembly of materials and structures were broadly introduced. In general, origami-inspired structures can be classified into two categories based on their actuation mechanisms, namely, manual-folding structures and self-folding structures, and this dissertation focused on the latter for their capacity to actively achieve complex deformations upon application of external fields. The features were summarized and compared among different active materials, modeling methods and optimization methods for self-folding origami structures, respectively. In this dissertation, the PVDF-based terpolymer and the magneto active elastomer (MAE) were selected as actuator materials, because the terpolymer exhibits relatively high induced strain, blocked stress, elastic energy density and fast response time, while MAE exhibits the capacity to fold to large angles bidirectionally with fast response time. Research objectives and tasks were described in Chapter 1, where investigation of multifield origami-inspired structures was emphasized.

In Chapters 2 and 3, two different FEA modeling approaches were developed for the PVDF-based terpolymer and the MAE, and then validated through experiments using several single-field and multifield origami-inspired structures. In Chapter 2, the FEA models were developed using 3-D continuum elements, where surface tractions were used to simulate the external electric and magnetic fields. The simulation results were compared with experimental results for notched single-field structures and a bifold multifield structure, and good agreements

were achieved, thus validating the models. The geometric parameter studies showed that folding angles increase as the notch length or beam length increases, while beam width did not have an appreciable effect on folding performance. However, one limitation of this modeling method was the unbalanced force, which is due to the assumption that the pressure remains constant on both sides of the terpolymer. When the surface areas increase unevenly, the difference will lead to the imbalance of the total force, which may lead to some deviation from experimental results, especially when the structure is very thin, and the deformation is very large.

In Chapter 3, constitutive relations were developed for the terpolymer and MAE, and were then implemented in the FEA models using shell elements. The FEA models successfully predicted the coupled responses of the active materials and the resulting folding performances of several single-field structures as well as the simultaneous actuation of the multifield bimorph. To model the multilayer terpolymer benders, glue layers were modeled between the terpolymer layers in the FEA models. Through a parametric study, it was found that the glue layers exert more influence on the 4-layer and 6-layer bender than the 2-layer terpolymer bender, and the thickness has more influence than the elastic modulus. In the simultaneous actuation of the multifield bimorph structure, an anticlastic curvature was observed in both the FEA results and experiments, where the curling in the cross-section prevented the bimorph from further deforming with an increasing external field. The anticlastic curvature resulted in a history-dependent folding performance that was observed in experiments and successfully simulated by the geometrically nonlinear FEA model.

The advantages of the constitutive-modeling-based shell models over the surface-tractionbased continuum models were discussed. For the terpolymer-based actuation, the application of the coupling coefficients  $M_{13}$ ,  $M_{23}$ ,  $M_{33}$  and the parameter k in the constitutive modeling provided more insights on what parameters may affect the material behavior. Moreover, since the terpolymer is quite thin with an aspect ratio higher than 100, it was very computationally expensive to apply continuum elements, while the shell elements effectively reduced the number of degrees-offreedom and saved computational cost. For MAE-based actuation, the three spatial components of the magnetic torque  $T_x$ ,  $T_y$  and  $T_z$  described in the constitutive models allow a non-uniform distribution of the magnetic torques, while the surface traction method used in the continuum models always requires uniform magnetic torques.

In Chapter 4, a computationally efficient two-stage optimization procedure was developed as a systematic method for the design of multifield origami-inspired self-folding structures. In Stage 1, low-fidelity models are used within an optimization of the topology of the structure, while in Stage 2, high-fidelity FEA models are used within an optimization to further improve the best design from Stage 1. The design procedure was described in a general formulation, applicable to any modeling methods. Further, to illustrate the optimization procedure, a specific formulation using a rigid body dynamic model in Stage 1, followed by FEA in Stage 2, is also developed.

To demonstrate the applicability and computational efficiency of the proposed two-stage optimization procedure, two case studies were investigated in Chapter 5, namely, a three-finger soft gripper actuated using terpolymer, and an origami-inspired multifield responsive "coffee table" configuration actuated using the terpolymer and the MAE. NSGA-II was adopted as the optimization algorithm for its capacity to deal with multi-objective optimization problems and to find the global optima with a good amount of design variables and large design space. In Stage 1, low-fidelity analytical models and rigid body dynamic models were implemented within an optimization of the topology of the structure, including the placement of the materials, the connectivity between sections and the amount and orientation of external loads. Distance measures and minimum shape error were applied as metrics to determine the best design in Stage 1, which then served as the baseline design in Stage 2. In Stage 2, the high-fidelity FEA models were used within an optimization to fine-tune the baseline design. As a result, designs with better performance than the baseline design were achieved at the end of Stage 2 with computing times of 15 days for

the gripper and 9 days for the "coffee table", which would be over 3 months and 2 mothers for full FEA-based optimizations, respectively.

There were several things we learned from the optimizations. In the design of the gripper, the best design exhibited a nearly tapered configuration, where thicker terpolymer and substrate layers were observed in the segments close to the root, while thinner layers close to the tip, which indicated that the segments close to the root exert greater influence on the blocked force and conversely the segments close to the tip play a more important role in enhancing free deflection. Moreover, the segments very close to either the root or the tip are notably longer than the segments in between, indicating that the tip and root regions dominate in the actuation performance. In the design of the "coffee table", wider creases were found favorable for the electric actuation for higher folding angles, while exert no notable influence for the magnitic actuation, indicating a good approximation by using the SLFP model. Moreover, in the electric actuation, thinner terpolyemr and substrate were favorable to achieve a higher bending curvature. The optimal values of  $t_{ter}$  and  $t_{cE}$  in Stage 2 were less than the optimal values in Stage 1.

## **6.2 Research Contributions**

Research contributions of this dissertation to the field of self-folding origami include:

- Development of the models for the active materials and self-folding origami-inspired structures
  - The surface-traction-based FEA models with continuum elements and the constitutive-modeling-based FEA models with shell elements were developed for several notched single-field and multifield configurations to simulate the actuation

mechanisms of the electroactive terpolymer and magneto-active elastomer. The FEA models were then validated through experiments.

- An analytical model was developed to calculate the tip displacement and the blocked force for segmented EAP-actuated structures.
- Modeling of simultaneous actuation of multifield responsive structures
  - Constitutive-modeling-based FEA model with shell elements was developed, and it successfully predicted the folding performance of simultaneous actuation of the multifield bimorph.
  - The history-dependent folding behavior of the multifield bimorph that was observed in experiments was successfully predicted by the FEA model.
- Development of a systematic procedure for design optimization of multifield self-folding structures
  - A two-stage design optimization procedure was proposed generally and then successfully implemented in two case studies, where the validated physics-based models with low and high fidelities were utilized in the optimization processes.
  - Implementation of the design procedure was described in detail, including the application of the variable filter to reduce the number of design variables, suitable metrics to select the best design from the Pareto front, the determination of appropriate design domains in Stage 2, and material conversion methods from low-to high-fidelity models. These techniques are expected to be helpful in the design of origami-inspired structures.
- Techniques to reduce computational cost of FEA-based optimization
  - Once the independence of the terpolymer- and MAE-induced actuations was justified in the "coffee table" design, the optimizations were conducted separately for the two actuation mechanisms, which notably reduced in the computing time

to 9 days from an estimated computing time of 90 days for the simultaneous optimization.

• A two-step method was used in the FEA model of the MAE-induced actuation, wherein Step (a), the prescribed rotations were assigned to the magnetic panels, in which the rotation angels came from the best design in the previous Stage 1, and in Step (b) the prescribed rotations were released and structure reaches equilibrium from this initial deformed configuration. This two-step method is applicable to the cases where the deformed shapes are similar in Stage 1 and Stage 2, which was true in the design of the "coffee table".

## **6.3 Suggested Future Work**

In this dissertation, the proposed two-stage design optimization procedure was implemented in two case studies, and improvements in the design performance were achieved through both Stage 1 and Stage 2. However, the design performances were evaluted only through simulations, and no samples were fabricated and then tested based on the optimized parameters. To close the design loop, experimental validation of the final optimal designs should be conducted to demonstrate the effectiveness of the design procedure.

In the FEA models presented in this dissertation, "Moving Mesh" is the default mesh setting for large deformations of shell elements, in which case the mass of the object remains the same and the interior nodes are re-distributed according to the deformation, while the number of elements is fixed. However, the technique of "Adaptive Mesh Refinement" featured in COMSOL Multiphysics is suggested for the FEA models in the future, which could be helpful for convergence of highly bent or folded deformed shapes and for saving computational cost as well. By using this approach, there would be relatively fewer elements assigned to the geometry when the deformation is small, and more and more elements would be used as the deformation becomes larger.

As discussed in Section 5.3.4, several improvements could be made to improve the twostage design optimization procedure. First, in both stages 1 and 2, the optimizations are conducted in series, which largely wastes computing time. A solution to this issue is first to run optimization algorithm in Stage 1 in parallel, in which case multiple designs in the same generation are evaluted simultaneously, then to determine the best design in Stage 1, and finally run Stage 2 in parallel. Second, interaction between Stage 1 and Stage 2, which is not accounted for in this dissertation, may provide opportunity further reduce computational cost with a likely reduced number of FEA evaluations, and also achieve designs with better performance. There are inevitably differences between the low- and high-fidelity models in terms of the deformed shapes with the same design parameters. Although the low-fidelity models used in this design prodecure are physics-based and validated with experiments, the assumptions made in the low-fidelity models could result in local optima. A potential approach to develop real-time interaction between the low- and high-fidelity models is that correction factors could be applied to the low-fidelity models. Techniques such as machine learning could also faciliate such an interaction.

In this dissertation, the proposed two-stage design optimization procedure was implemented for two case studies, where the folding patterns were relatively less complex compared to existing origami patterns such as the miura-ori and water-bomb, and the active materials were limited to the electroactive terpolymer and the MAE. To further demonstrate the applicability and computational efficiency of this design procedure, designs that exhibit more complex folding patterns and are actuated using other mechanisms should be broadly investigated using the two-stage optimization procedure in the future. However, as the complexity of the structure and deformation increases, the computing cost for each design and whole design optimization process will also increase, especially in stage 2 where high-fidelity FEA models are implemented. To reduce the computational cost, techniques discussed in this dissertation, such as decomposition of the multifield actuation and starting from an expected deformed shape, can be used in the FEA models. If the computational cost remains intractably high, corresponding low-fidelity models should be implemented in optimization instead.

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## **EDUCATION**

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## JOURNAL PUBLICATIONS

Zhang, W., Ounaies, Z., Frecker, M., "A Computationally Efficient two-stage Optimization Procedure for Multifield Responsive Structures," Mechanics Research Communications. (in preparation) 2020

Zhang, W., Ahmed, S., Masters, S., Hong, J., Ounaies, Z., and Frecker, M., "Finite Element Analysis of Electroactive and Magnetoactive Coupled Behaviors in Multifield Origami Structures," J. Intell. Mater. Syst. Struct., **29**(20), pp. 3983–4000. 2018

Zhang, W., Ahmed, S., Masters, S., Ounaies, Z., and Frecker, M., "Finite Element Analysis of Electroactive Polymer and Magnetoactive Elastomer Based Actuation for Origami Folding," Smart Mater. Struct., **26**(10), p. 105032. 2017

## SELECTED CONFERENCE PUBLICATIONS

Zhang, W., Hong, J., Ahmed, S., Ounaies, Z., and Frecker, M., "A Two-Stage Optimization Procedure for the Design of an EAP-Actuated Soft Gripper,"ASME paper No. DETC2019-98169. 2019

Zhang, W., Hong, J., Ahmed, S., Ounaies, Z., and Frecker, M., "Parametric Design of a Soft Gripper Actuated Using the Electrostrictive PVDF-based Terpolymer," ASME paper No. SMASIS2018-7966. 2018

Zhang, W., Erol, A., Ahmed, S., Masters, S., von Lockette, P., Ounaies, Z., & Frecker, M. "Finite Element Analysis of Electroactive and Magnetoactive Coupled Behaviors in Multifield Origami Structures." ASME Paper No. SMASIS2017-3850. 2017

## HONORS AND AWARDS

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